

# Gravitational Waves from the Early Universe

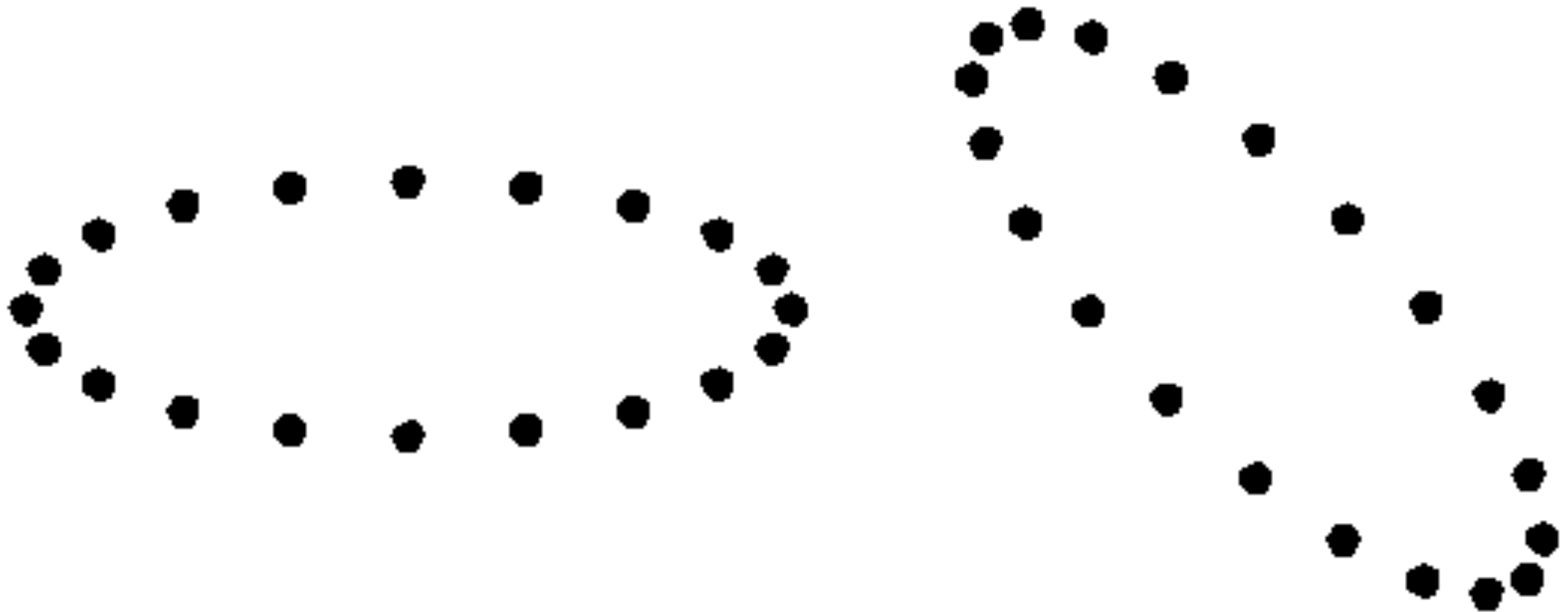
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**GW = Area-conserving distortion  
of distances between two points**



# Distance between two points in space

- Static (i.e., non-expanding) Euclidean space
- In Cartesian coordinates  $\boldsymbol{x} = (x, y, z)$

$$ds^2 = dx^2 + dy^2 + dz^2$$

# Distance between two points in space

- Homogeneously expanding Euclidean space
- In Cartesian **comoving** coordinates  $x = (x, y, z)$

$$ds^2 = \boxed{a^2(t)} (dx^2 + dy^2 + dz^2)$$

“scale factor”



# Distance between two points in space

- Homogeneously expanding Euclidean space
- In Cartesian **comoving** coordinates  $x = (x, y, z)$

$$ds^2 = \boxed{a^2(t)} \sum_{i=1}^3 \sum_{j=1}^3 \delta_{ij} dx^i dx^j$$

“scale factor”

$\delta_{ij} = 1$  for  $i=j$   
 $= 0$  otherwise

# Distance between two points in space

- Inhomogeneous curved space
- In Cartesian **comoving** coordinates  $x = (x, y, z)$

$$ds^2 = a^2 \sum_{i=1}^3 \sum_{j=1}^3 (\delta_{ij} + \boxed{h_{ij}}) dx^i dx^j$$

“metric perturbation”

-> CURVED SPACE!

# Four conditions

- Gravitational waves shall be:
- **Transverse**: the direction of the oscillation of space is perpendicular to the propagation direction  $\vec{k}$

- This means  $\sum_{i=1}^3 k^i h_{ij} = 0$  3 conditions for  $h_{ij}$

- **Area-conserving**: the determinant of the distortion in space remains unchanged

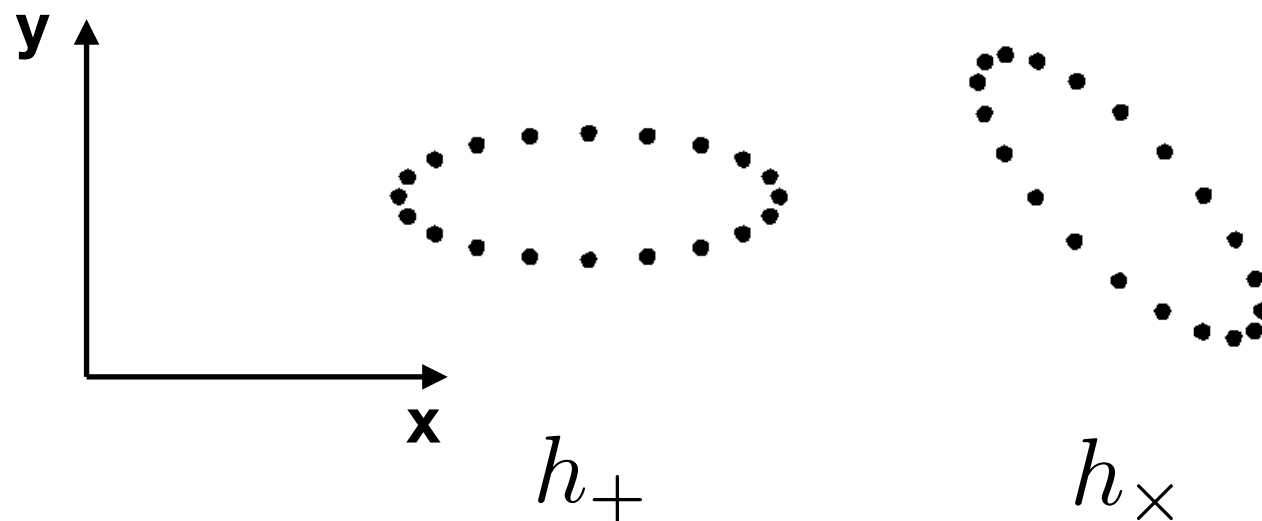
- This means that the trace vanishes:  $\sum_{i=1}^3 h_{ii} = 0$  1 condition for  $h_{ij}$

6 components of  $h_{ij}$  minus 4 conditions = 2 degrees of freedom

# + and x modes

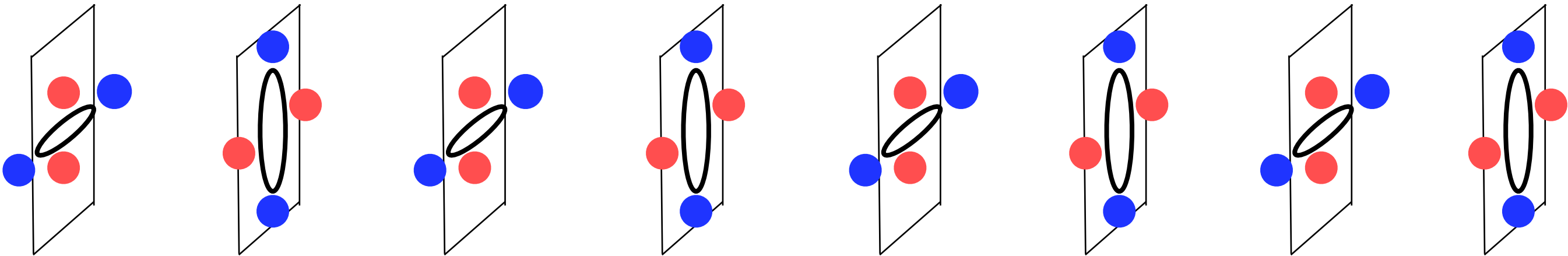
- If the GW is propagating in the z (i=3) direction, we can write

$$h_{ij} = \begin{pmatrix} h_+ & h_\times & 0 \\ h_\times & -h_+ & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

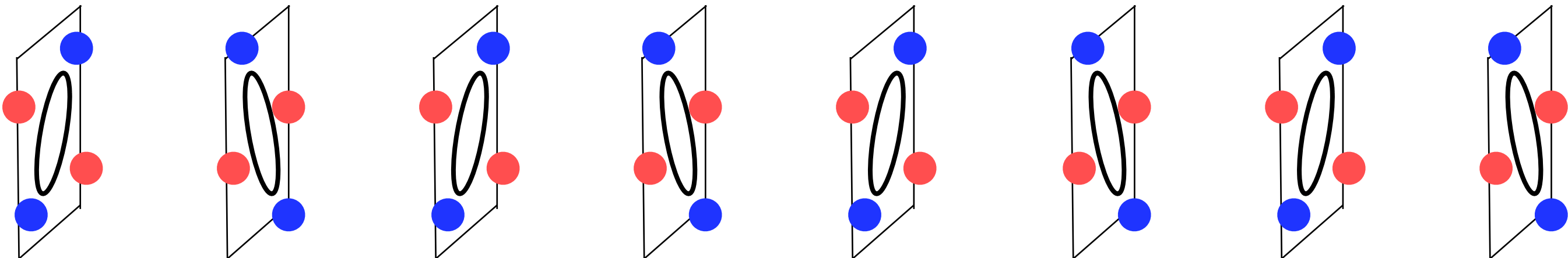


propagation direction of GW  $\vec{k}$  **z**

$$h_+ = \cos(kz)$$



$$h_x = \cos(kz)$$



# Equation of motion

- Writing Einstein's gravitational field equation with

$$ds^2 = a^2 \sum_{i=1}^3 \sum_{j=1}^3 (\delta_{ij} + h_{ij}) dx^i dx^j$$

- We obtain, for a plane wave of GW with the wavenumber  $k$ ,

$$\ddot{h}_{ij} + \frac{3\dot{a}}{a} \dot{h}_{ij} + \frac{k^2}{a^2} h_{ij} = 16\pi G T_{ij}^{GW}$$

source of GW

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source of GW

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source of GW

- Two tricks:

(1) Define “conformal time”

$$\eta = \int \frac{dt}{a(t)}$$

and use this instead of time derivatives

$$a(t) \frac{\partial}{\partial t} = \frac{\partial}{\partial \eta}$$



# Equation of motion

$$h''_{ij} + \boxed{\frac{2a'}{a}} h'_{ij} + k^2 h_{ij} = 16\pi G a^2 T_{ij}^{GW}$$

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source of GW

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**Primes =  
conformal time derivatives**

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- Two tricks:

(2) Multiply  $h_{ij}$  by the scale factor and define

$$u_{ij} = a h_{ij}$$

# Equation of motion

$$u''_{ij} + \left( k^2 - \frac{a''}{a} \right) u_{ij} = 16\pi G a^3 T_{ij}^{GW}$$

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expansion of the Universe affects  $h_{ij}$  source of GW

Defining

$$m^2(\eta) = -\frac{a''}{a}$$

effect of the expansion  
of the Universe

**We obtain a harmonic oscillator with a time-dependent mass term!**

$$u''_{ij} + \left[ k^2 + m^2(\eta) \right] u_{ij} = 16\pi G a^3 T_{ij}^{GW}$$

# Propagation of GW in vacuum: Two regimes

$$u''_{ij} + [k^2 + m^2(\eta)] u_{ij} = 0$$

- Two regimes:

1. *Short wavelength* ( $k \gg |m|$ )

- $u_{ij} \sim \exp(ik\eta) \Rightarrow h_{ij} \sim a^{-1}\exp(ik\eta)$  [decaying]

2. *Long wavelength* ( $k \ll |m|$ )

- $u_{ij} \sim a \Rightarrow h_{ij} \sim \mathbf{constant}$

# Meaning of $m^2$

$$m^2(\eta) = -\frac{a''}{a} = -a^2(2H^2 + \dot{H})$$

Hubble's expansion rate

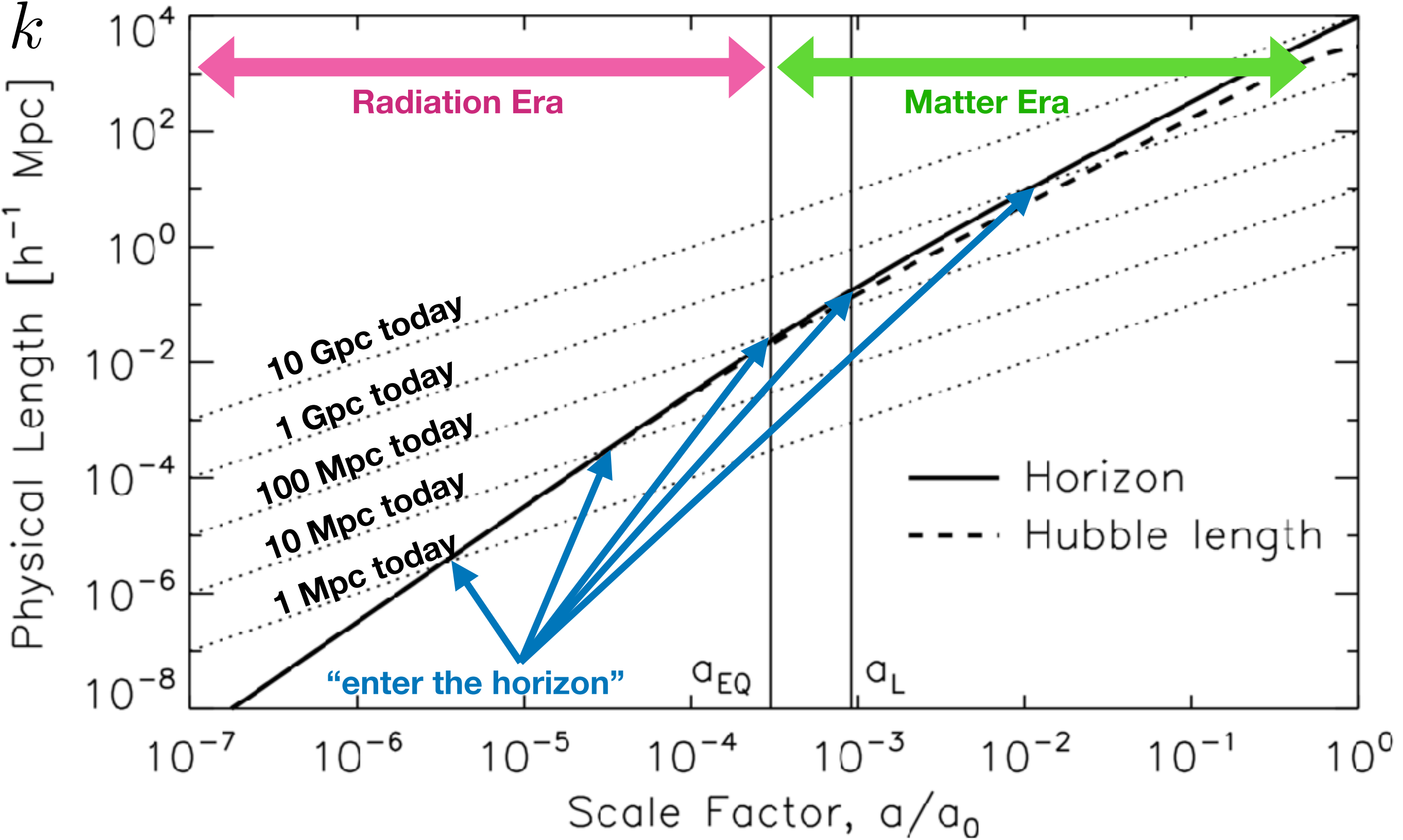
$$H = \frac{\dot{a}}{a}$$

- The inverse of the expansion rate,  $(aH)^{-1}$ , gives an estimate of the (comoving) size of the observable Universe, or “*horizon*”
- So,  $k \ll |m|$  is the “**super-horizon**” mode, and  $k \gg |m|$  is the “**sub-horizon**” mode

# GW “entering the horizon”

- This is a tricky concept, but it is important
- Suppose that GWs exist at all wavelengths
  - Let’s not yet ask the origin of these “super-horizon GW”, but assume their existence
- As the Universe expands, the horizon size grows and we can see longer and longer wavelengths
  - **Fluctuations “entering the horizon”**

$a$   
 $|k|$





# GW Evolution: Summary

- **Super-horizon scales [ $k \ll aH$ ]**
  - The amplitude of GW is **conserved** (i.e.,  $h_{ij} = \text{constant}$ )
- **Sub-horizon scales [ $k \gg aH$ ]**
  - The amplitude of GW decays (i.e.,  $h_{ij} \sim 1/a$ )

Therefore, the long-wavelength GW preserves the initial condition: the beginning of the Universe!

# Source of GW in the early Universe?

$$u''_{ij} + \left( k^2 - \frac{a''}{a} \right) u_{ij} = 16\pi G a^3 T_{ij}^{GW}$$

- Was there any source of GW in the early Universe?
- Yes, in a sense that there are many papers on possible sources in the literature
- See a recent review article by C. Caprini and D. Figueroa, *Classical and Quantum Gravity*, 35, 163001 (2018), arXiv:1801.04268

# Quantum generation of GW in the early Universe!

$$u''_{ij} + \left( k^2 - \frac{a''}{a} \right) u_{ij} = \cancel{16\pi G a^3 T_{ij}^{GW}}$$

- But, even if there was no source, **GW can emerge quantum-mechanically!**

*Grishchuk (1974); Starobinsky (1979)*

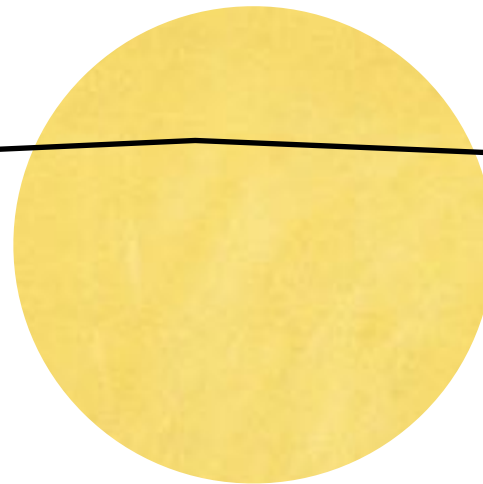
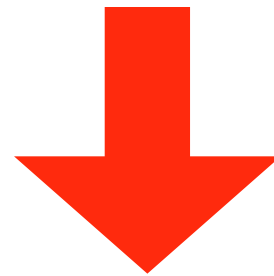
- To see this, we need to quantise the left hand side of the equation

# Cosmic Inflation

Quantum fluctuations on  
microscopic scales



Inflation!



- Exponential expansion (inflation) stretches the wavelength of quantum fluctuations to very large scales

# Cosmic Inflation

- Inflation is the **accelerated**, quasi-exponential expansion. Thus, we must have

$$\frac{\ddot{a}}{a} = \dot{H} + H^2 > 0 \quad \rightarrow \quad \epsilon \equiv -\frac{\dot{H}}{H^2} < 1$$

**Actually, we rather need  $\epsilon \ll 1$ , to have a sustained period of inflation. So  $H(t)$  is a slowly-varying function of time**

$$m^2(\eta) = -\frac{a''}{a} = -a^2(2H^2 + \cancel{\dot{H}})$$

# GW from inflation

$$u''_{ij} + (k^2 - 2a^2 H^2) u_{ij} = 0$$

- During inflation, the scale factor grows exponentially in time,

$$a(t) \propto \exp(Ht)$$

- In conformal time, this means

$$a(\eta) = -(H\eta)^{-1} \quad \text{for } -\infty < \eta < 0$$

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- How do we fix the integration constants,  $A_{ij}$  and  $B_{ij}$ ? **We need QM!**
- We find  $A_{ij}$  and  $B_{ij}$ , such that the  $u_{ij}$  coincides with the known flat-space (Minkowski) results for the quantum fluctuation in vacuum



# Second-order Action

- The action that gives Einstein's field equations is the so-called "Einstein-Hilbert action", given by the Ricci scalar  $R$ :

$$I_{GR} = \int \sqrt{-g} d^4x \left( \frac{1}{2} M_{\text{pl}}^2 R \right) \quad \text{with} \quad \begin{aligned} M_{\text{pl}} &= (8\pi G)^{-1/2} \\ \sqrt{-g} &= a^3 \end{aligned}$$

- Expanding this to second-order in  $h_{ij}$ , we obtain the action that gives the equation of motion for  $h_{ij}$ :

$$\begin{aligned} I_{GR}^{(2)} &= \int a^3 d^4x \frac{1}{4} M_{\text{pl}}^2 \left( \frac{1}{2} \dot{h}_{ij}^2 - \frac{(\nabla h_{ij})^2}{2a^2} \right) \quad \text{with} \quad h_{ij} = \begin{pmatrix} h_+ & h_\times & 0 \\ h_\times & -h_+ & 0 \\ 0 & 0 & 0 \end{pmatrix} \\ &= \int a^3 d^4x \frac{1}{2} M_{\text{pl}}^2 \sum_{\lambda=+, \times} \left( \frac{1}{2} \dot{h}_\lambda^2 - \frac{(\nabla h_\lambda)^2}{2a^2} \right) \end{aligned}$$

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$$= \int \underbrace{a^3 d^4x}_{\text{unwanted pre-factor}} \underbrace{\frac{1}{2} M_{\text{pl}}^2}_{\text{unwanted pre-factor}} \sum_{\lambda=+, \times} \left( \frac{1}{2} \dot{h}_\lambda^2 - \frac{(\nabla h_\lambda)^2}{2a^2} \right)$$

# “Canonically-normalised” mode function

$$\begin{aligned}
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- Two tricks again:

- (1) Use the conformal time:  $a^3 d^4x = a^4 d\eta d^3x$

- (2) Define:  $u_{\lambda} = \frac{M_{\text{pl}}}{\sqrt{2}} a h_{\lambda}$

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- Two tricks again:

- (1) Use the conformal time:  $a^3 d^4x = a^4 d\eta d^3x$

- (2) Define:  $u_{\lambda} = \frac{M_{\text{pl}}}{\sqrt{2}} a h_{\lambda}$  **This is the correct (“canonical”) normalisation!**

# GW from inflation

$$u_{ij}'' + \left( k^2 - \frac{2}{\eta^2} \right) u_{ij} = 0$$

- The solution is

$$u_{ij} = A_{ij} \left[ \cos(k\eta) - \frac{\sin(k\eta)}{k\eta} \right] + B_{ij} \left[ \frac{\cos(k\eta)}{k\eta} + \sin(k\eta) \right]$$

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- In the very short wavelength limit,  $k\eta \rightarrow \infty$ , we want to reproduce the quantum field theory result in the flat (Minkowski) space, which is

$$u_{\lambda} \rightarrow \frac{\exp(-ik\eta)}{\sqrt{2k}}$$

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- The solution is

$$u_{\lambda} = \frac{1}{\sqrt{2k}} \left( e^{-ik\eta} - \frac{i}{k\eta} e^{-ik\eta} \right)$$

**This term dominates in the  
super-horizon mode!**  
***“Particle Production by Inflation”***



# GW from inflation

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- The **super-horizon** solution is

$$u_{\lambda} \rightarrow -\frac{i}{\sqrt{2k^3\eta}} e^{-ik\eta}$$

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**Since**  $u_{\lambda} = \frac{M_{\text{pl}}}{\sqrt{2}} a h_{\lambda}$  **and**  $a(\eta) = -(H\eta)^{-1} \dots$

# GW from inflation

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**The amplitude of GW on  
super-horizon scale is proportional to H!**

# Quantum fluctuations during inflation are proportional to $H$

- Consequence of the uncertainty principle

- $[\text{energy you can borrow}] \sim [\text{time you borrow}]^{-1} \sim H$

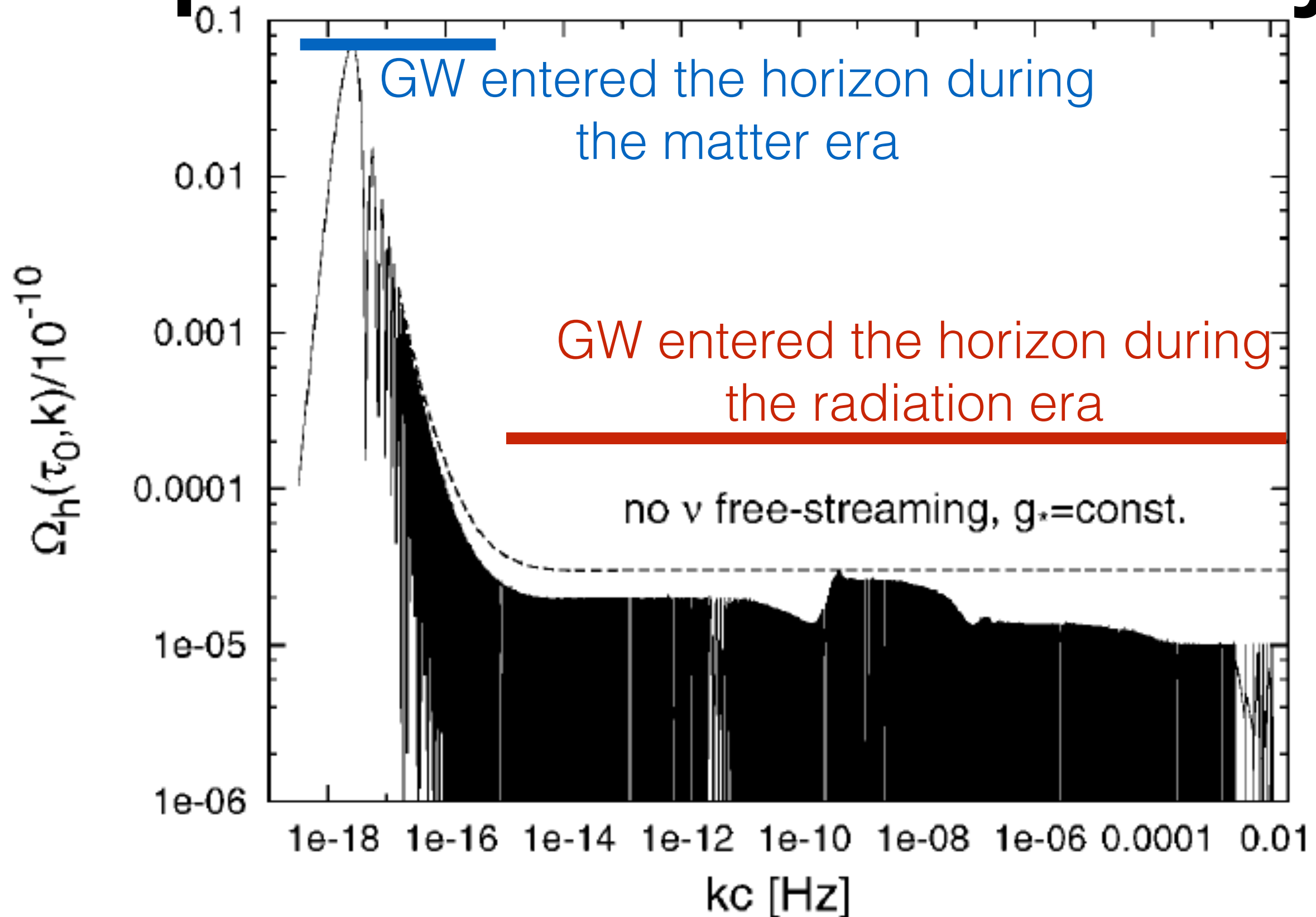
- **THE KEY RESULT:** The earlier the fluctuations are generated, the more its wavelength is stretched, and thus the bigger the angles they subtend in the sky. **We can map  $H(t)$  by measuring fluctuations over a wide range of wavelengths**

# Total Variance of GW

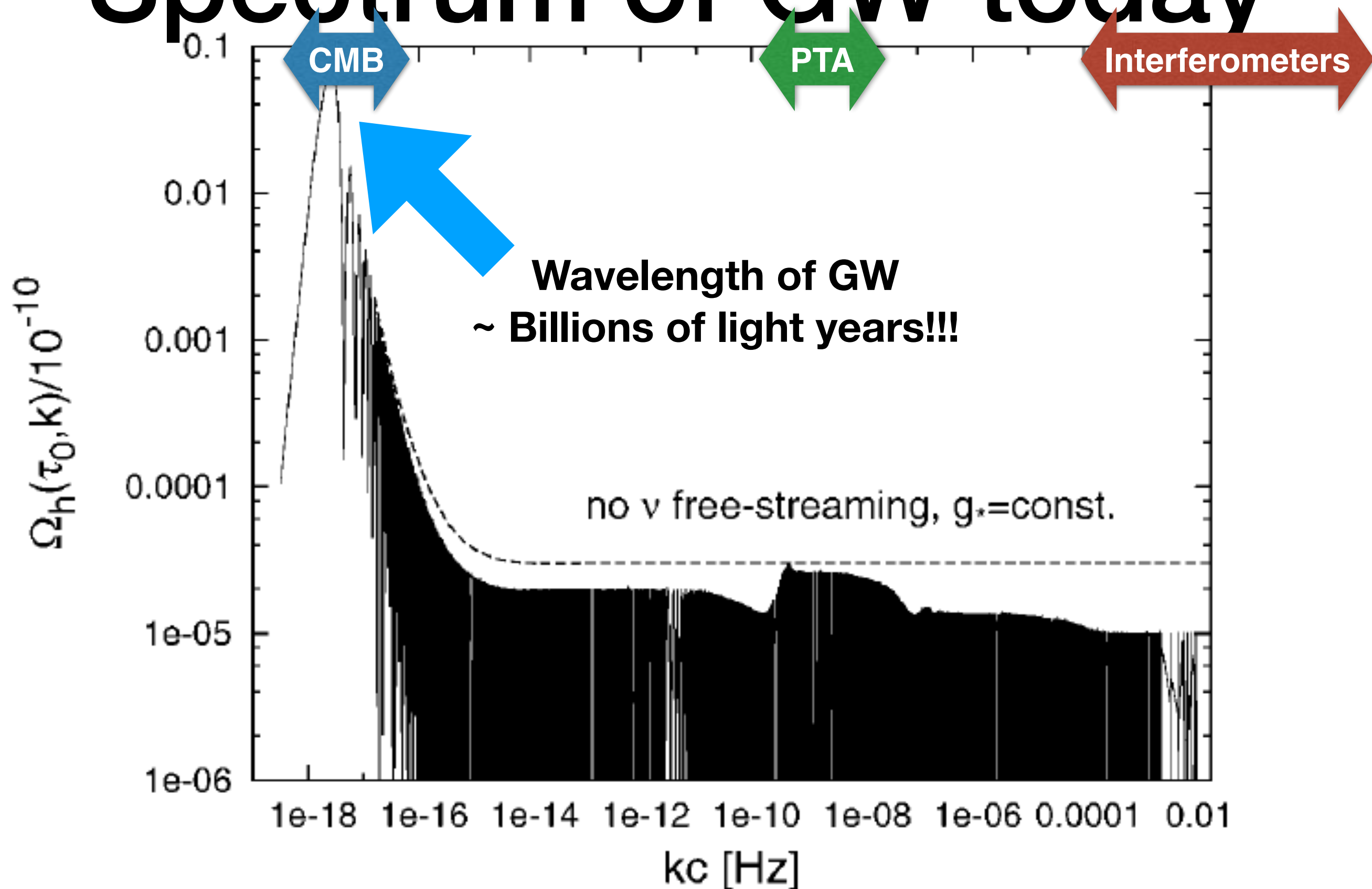
$$\frac{k^3}{2\pi^2} \sum_{ij} \langle h_{ij} h_{ij}^* \rangle = \frac{8}{M_{\text{pl}}^2} \left( \frac{H}{2\pi} \right)^2$$

- Variance depends only on H; thus,
  - It is scale-invariant if H is constant during inflation; or
  - It is **nearly** scale-invariant if H changes slowly during inflation
- In general, H is a decreasing function of time; thus,
  - **The variance of GW is smaller at shorter wavelengths.** This is the key prediction of GW from the *vacuum fluctuation* during inflation

# Theoretical energy density Spectrum of GW today



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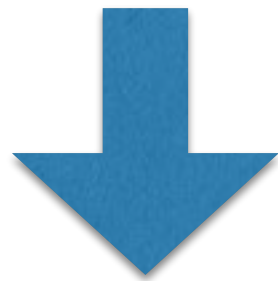


**How do we measure  
GW?**

# Measuring GW

- GW changes distances between two points

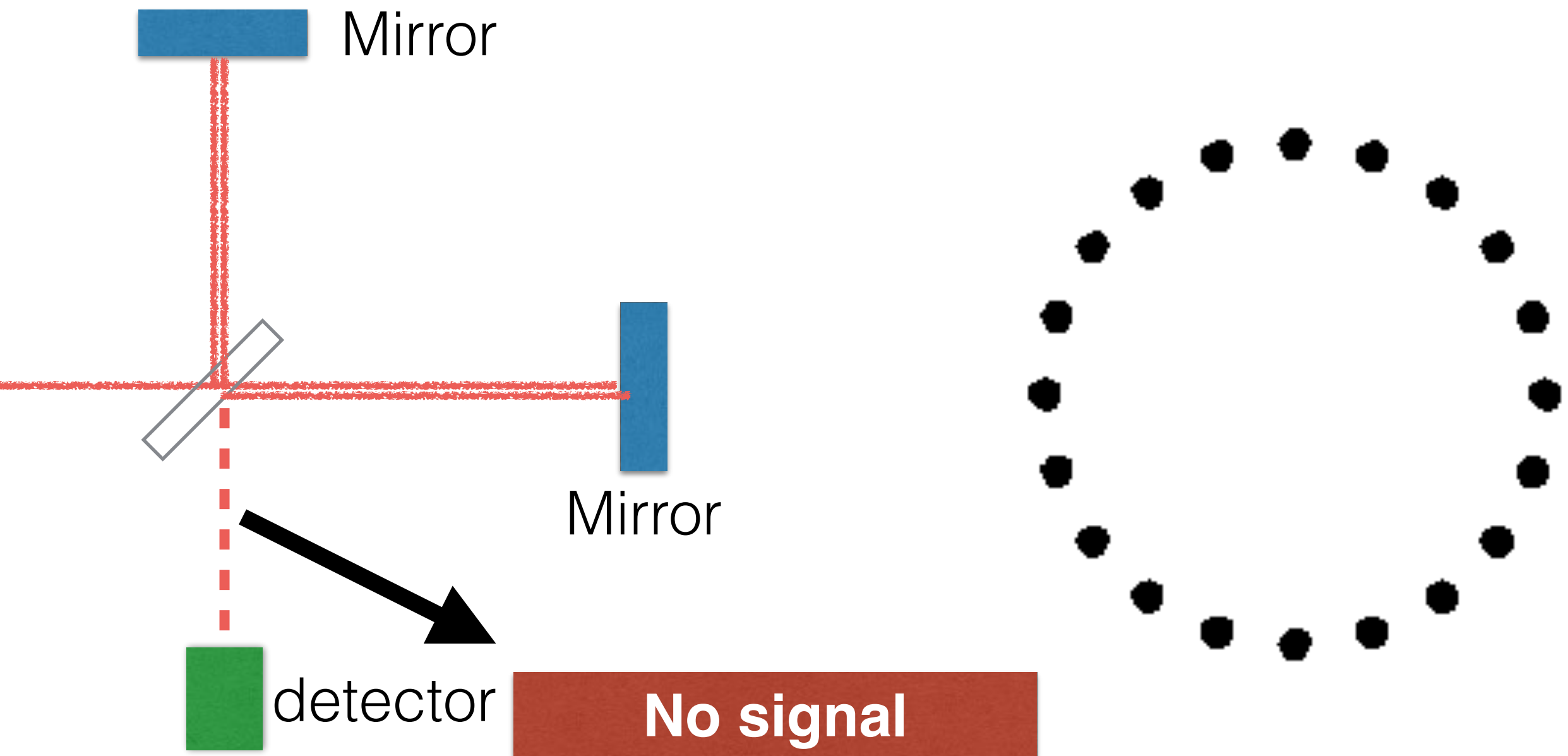
$$d\ell^2 = d\mathbf{x}^2 = \sum_{ij} \delta_{ij} dx^i dx^j$$



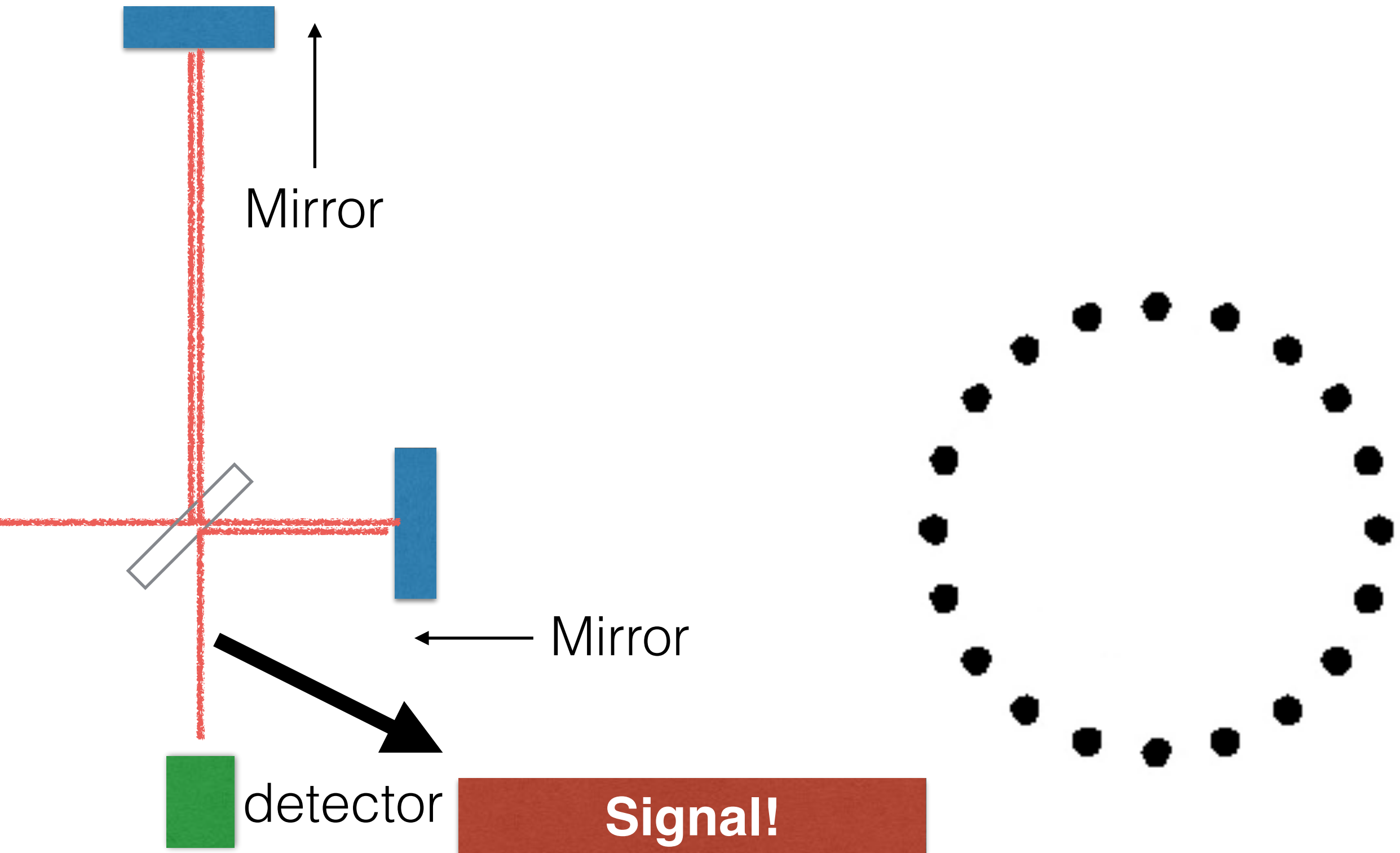
$$d\ell^2 = \sum_{ij} (\delta_{ij} + \text{perturbation}) dx^i dx^j$$



# Laser Interferometer



# Laser Interferometer



LIGO detected GW from a binary blackholes, with the wavelength of thousands of kilometres

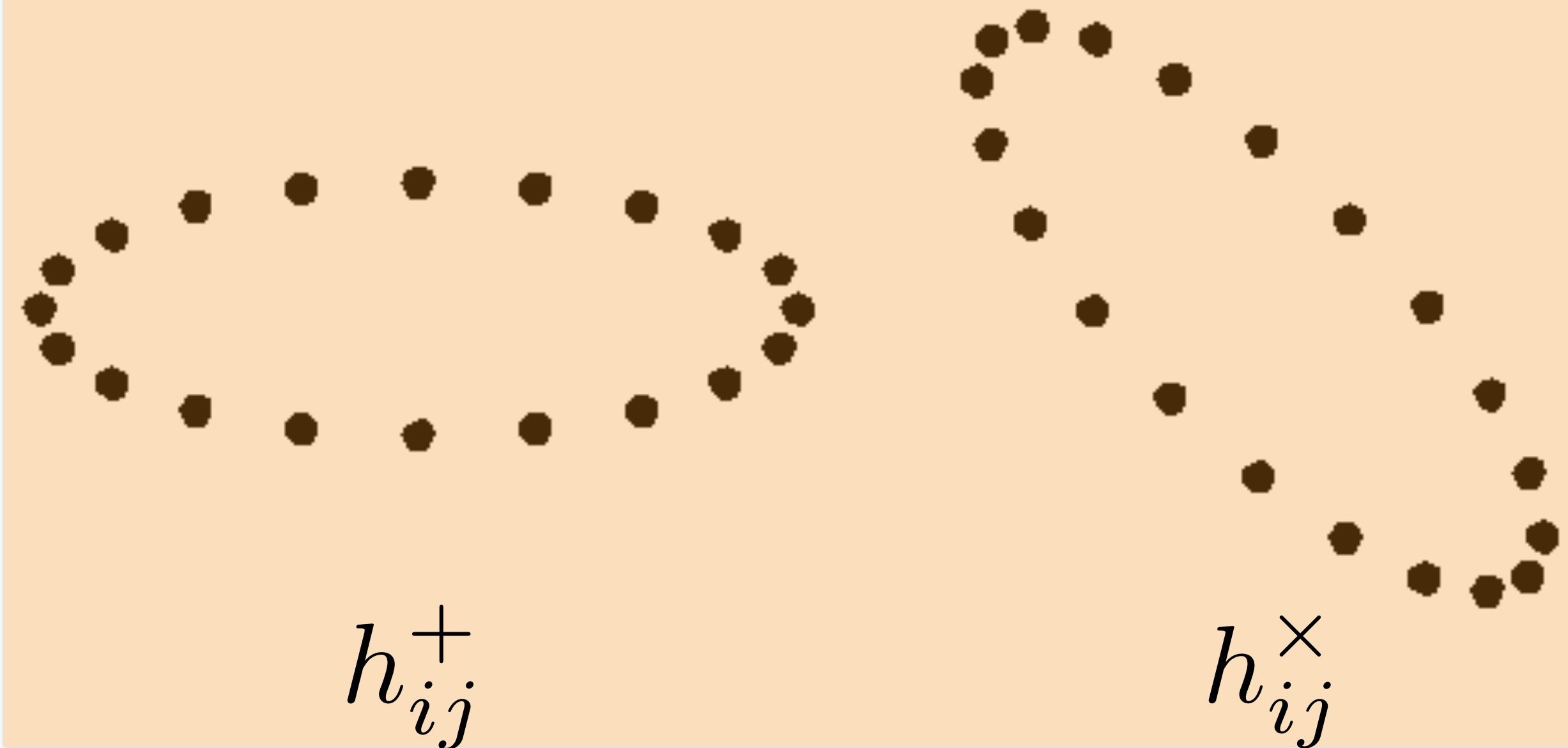
But, the primordial GW affecting the CMB has a wavelength of **billions of light-years!!** How do we find it?

# Detecting GW by CMB

Isotropic electro-magnetic fields

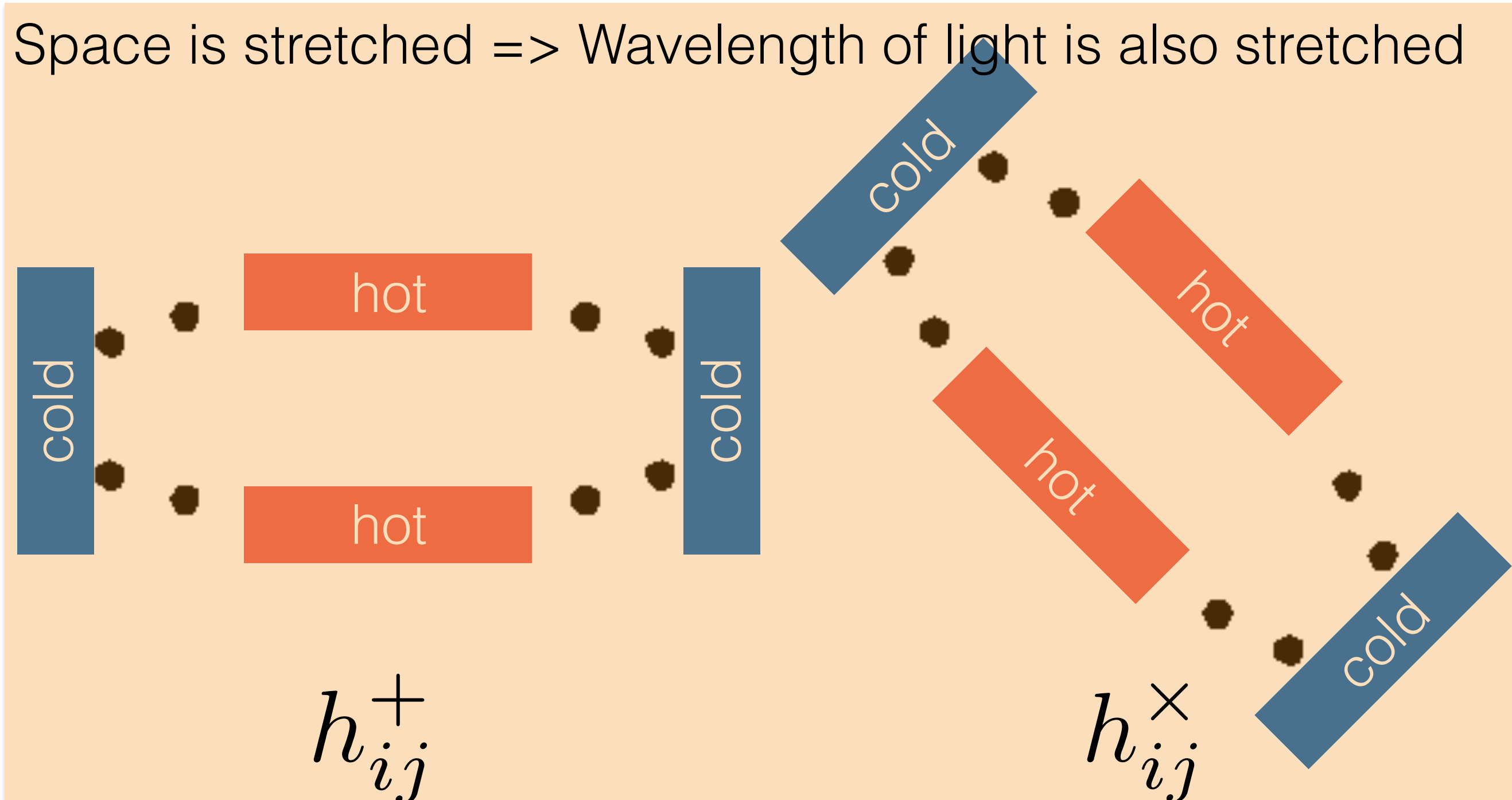
# Detecting GW by CMB

GW propagating in isotropic electro-magnetic fields



# Detecting GW by CMB

Space is stretched => Wavelength of light is also stretched

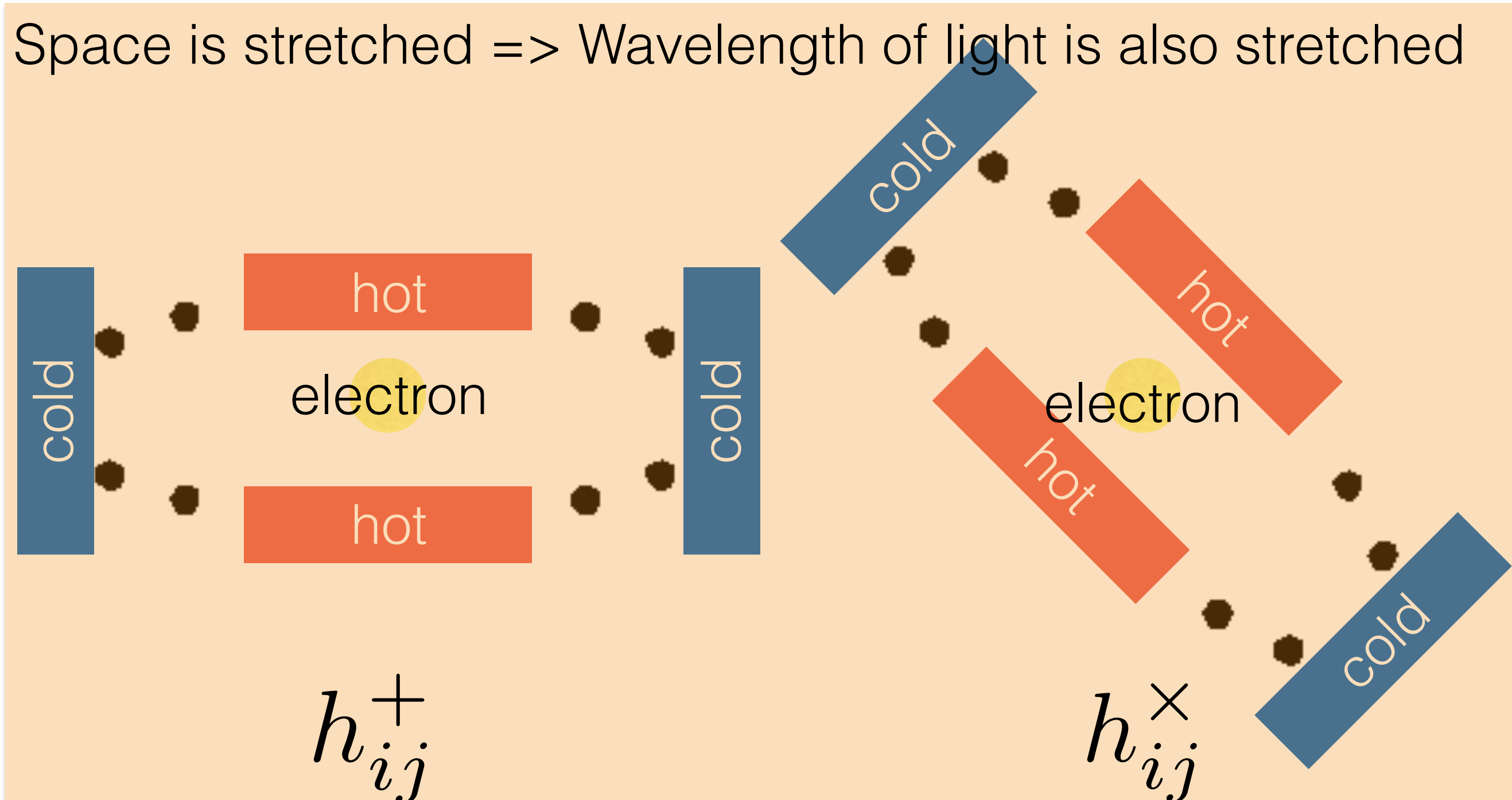




# Detecting GW by CMB

## Polarisation

Space is stretched => Wavelength of light is also stretched



# Detecting GW by CMB **Polarisation**

Space is stretched => Wavelength of light is also stretched

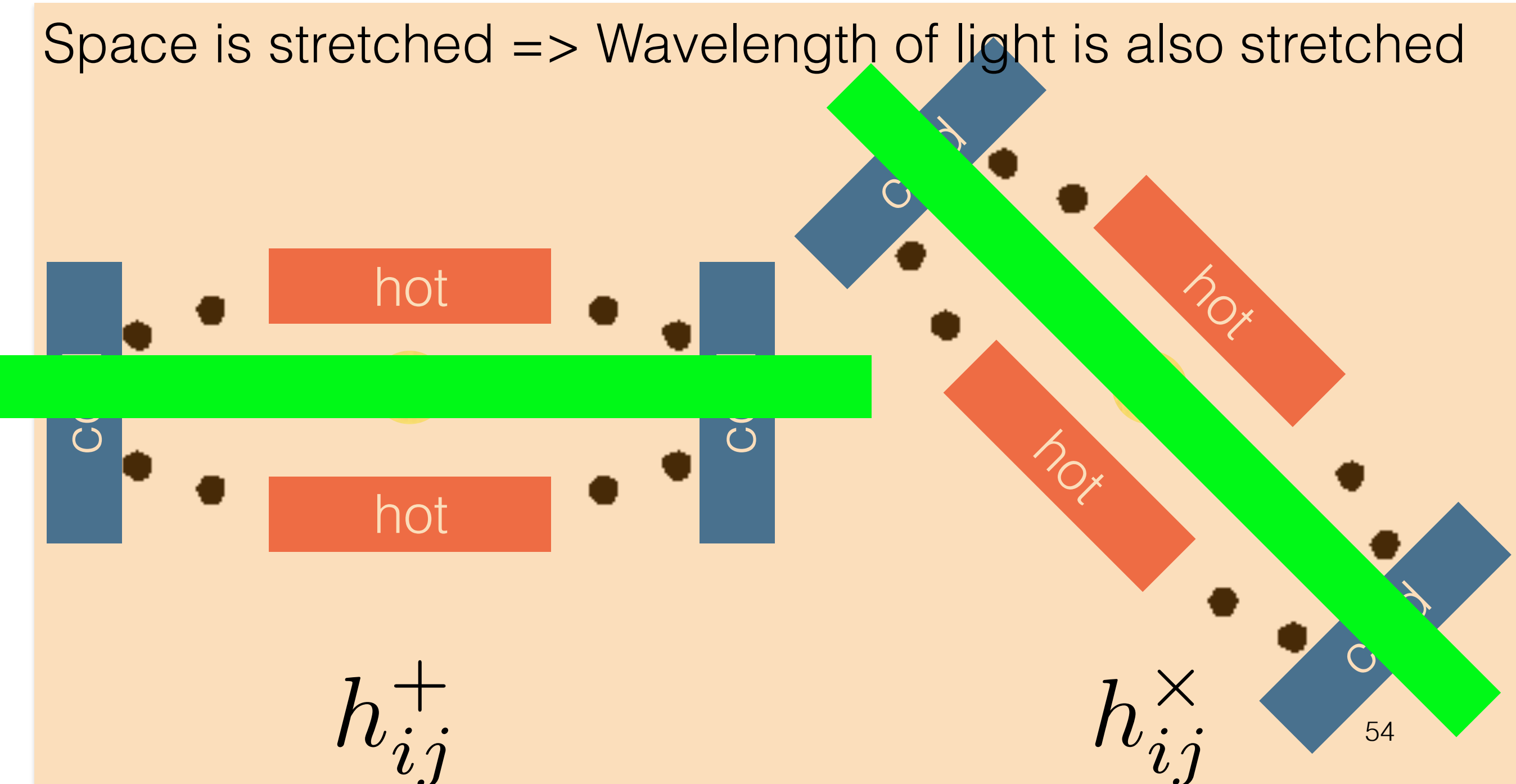


Photo Credit: TALEX



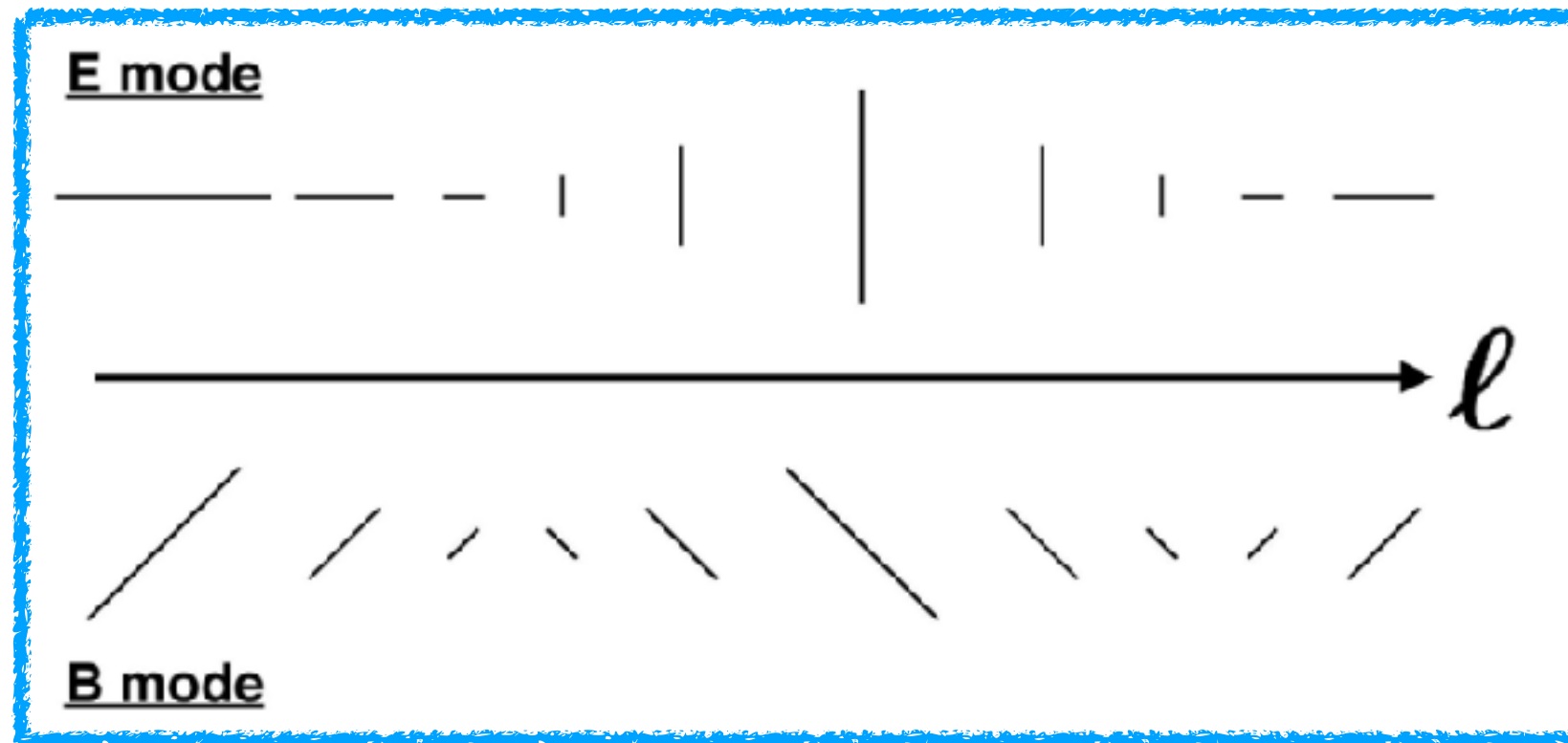
horizontally polarised



Photo Credit: TALEX

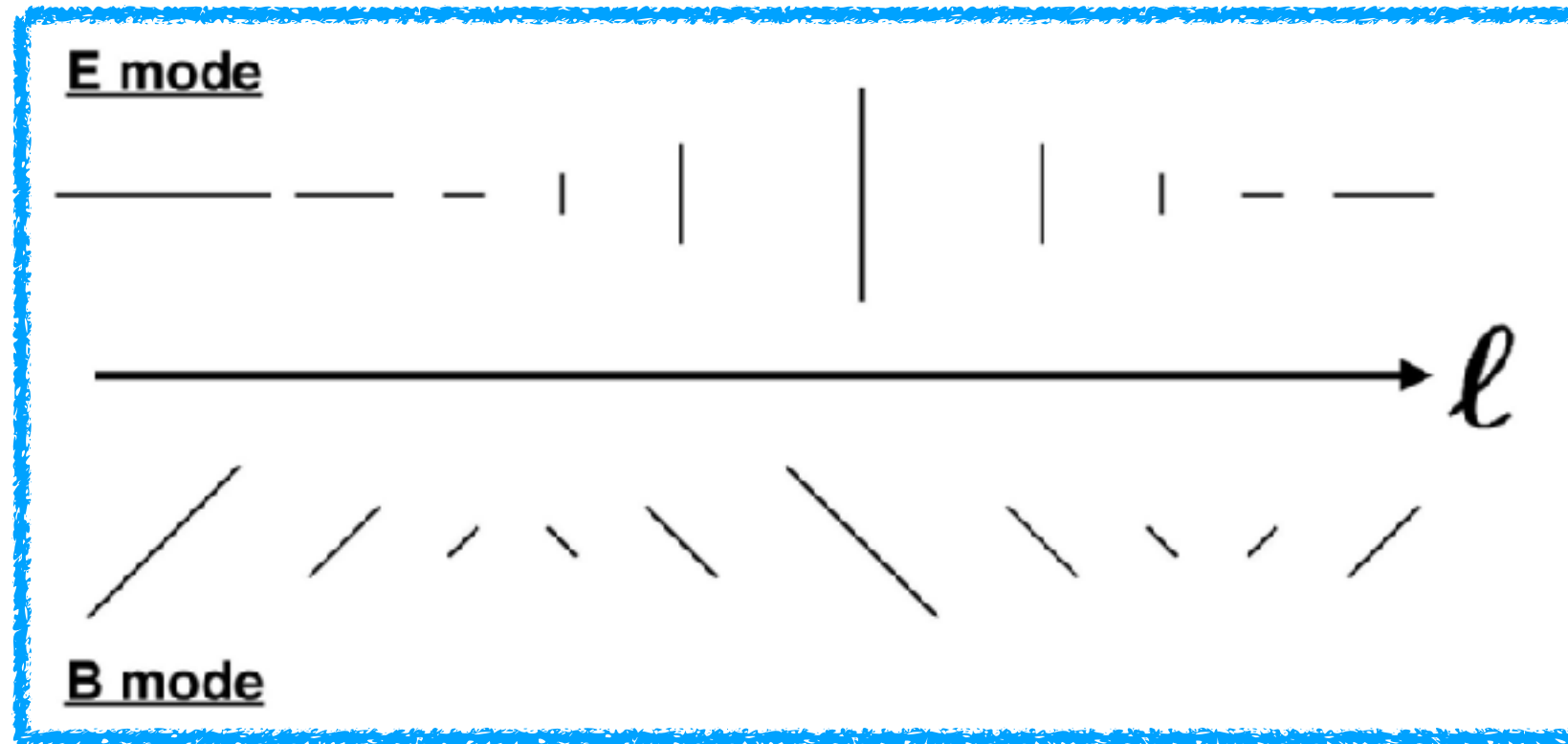


# E and B mode



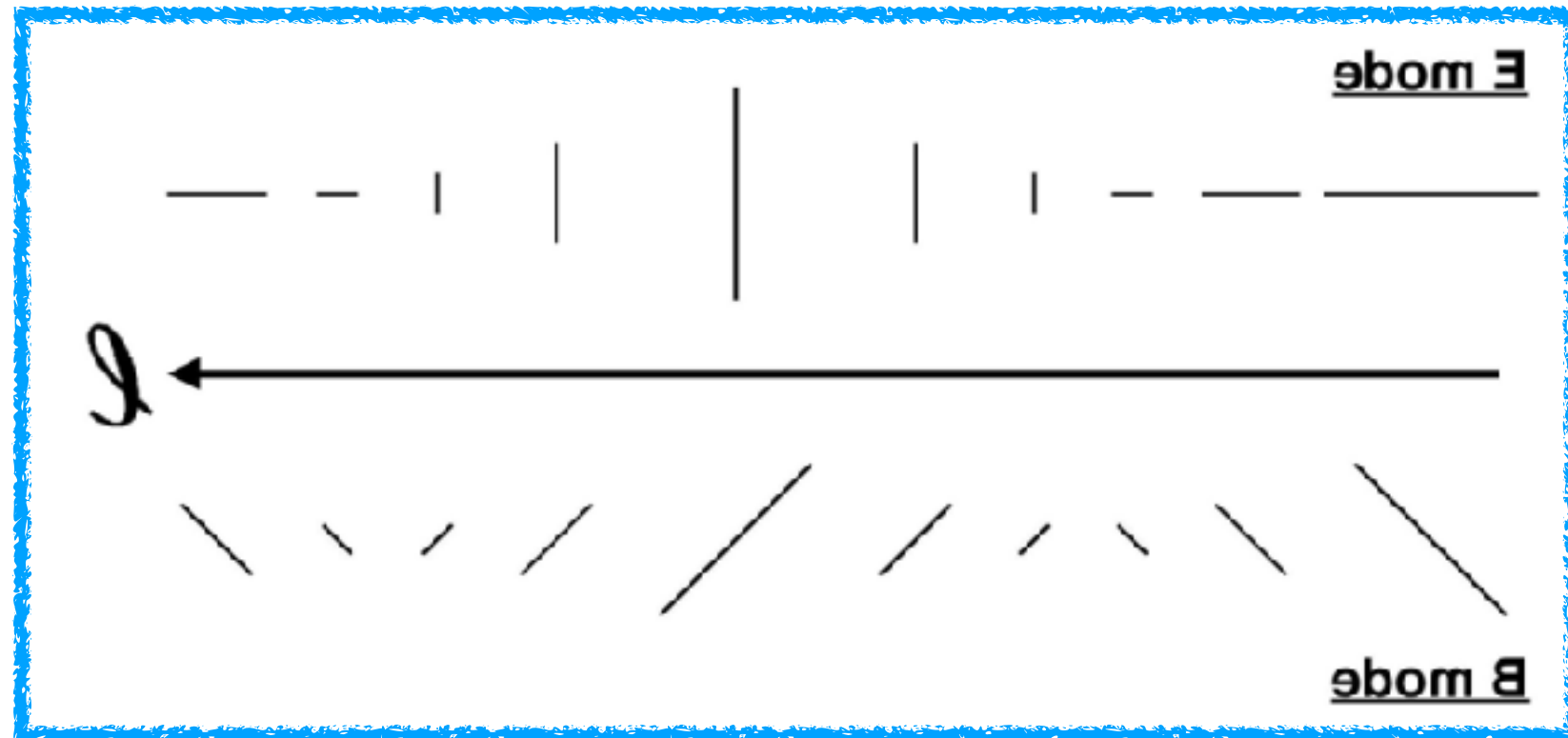
- **E mode**: Polarisation directions **parallel or perpendicular** to the wavevector
- **B mode**: Polarisation directions **45 degree tilted** with respect to the wavevector

# Parity



- E mode: Parity even
- B mode: Parity odd

# Parity



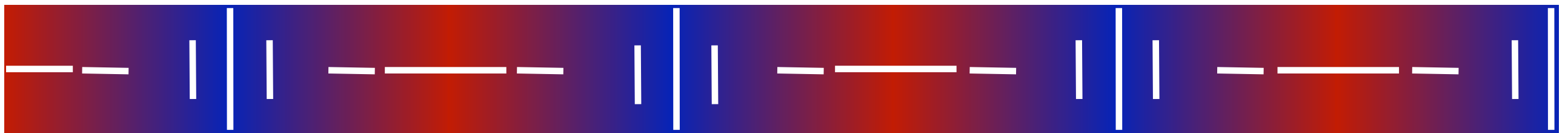
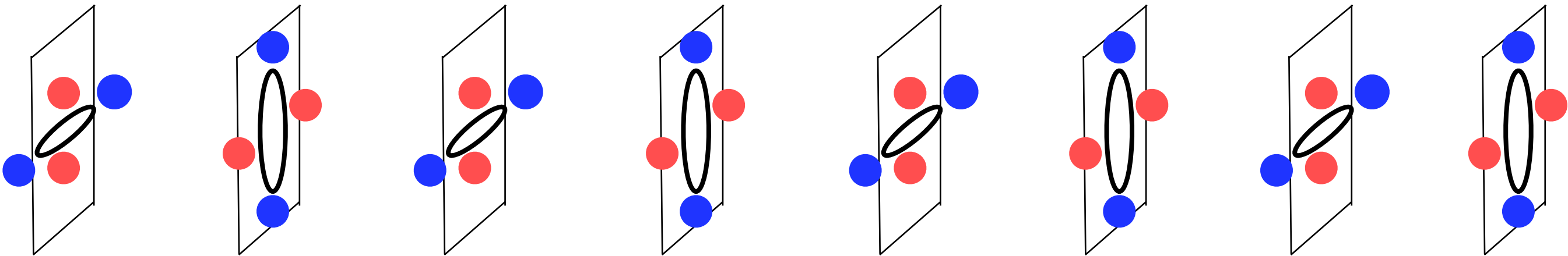
- **E mode**: Parity even
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propagation direction of GW



$$h_+ = \cos(kx)$$



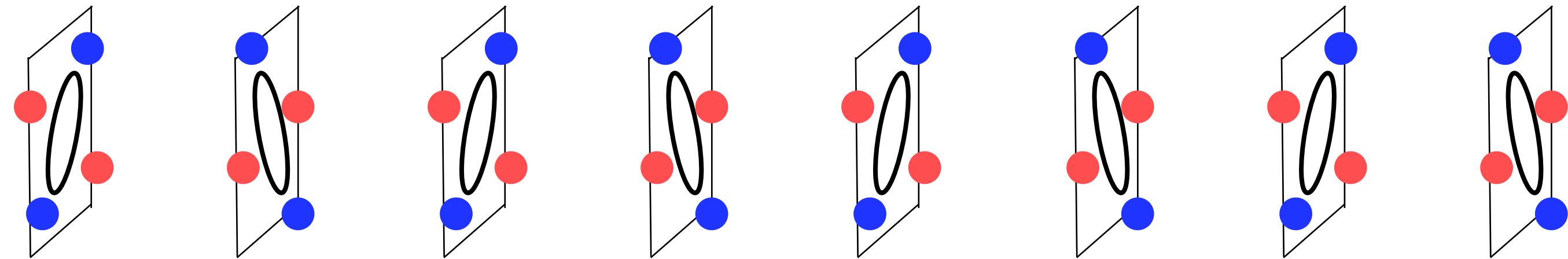
Polarisation directions perpendicular/parallel to the wavenumber vector -> **E mode polarisation**



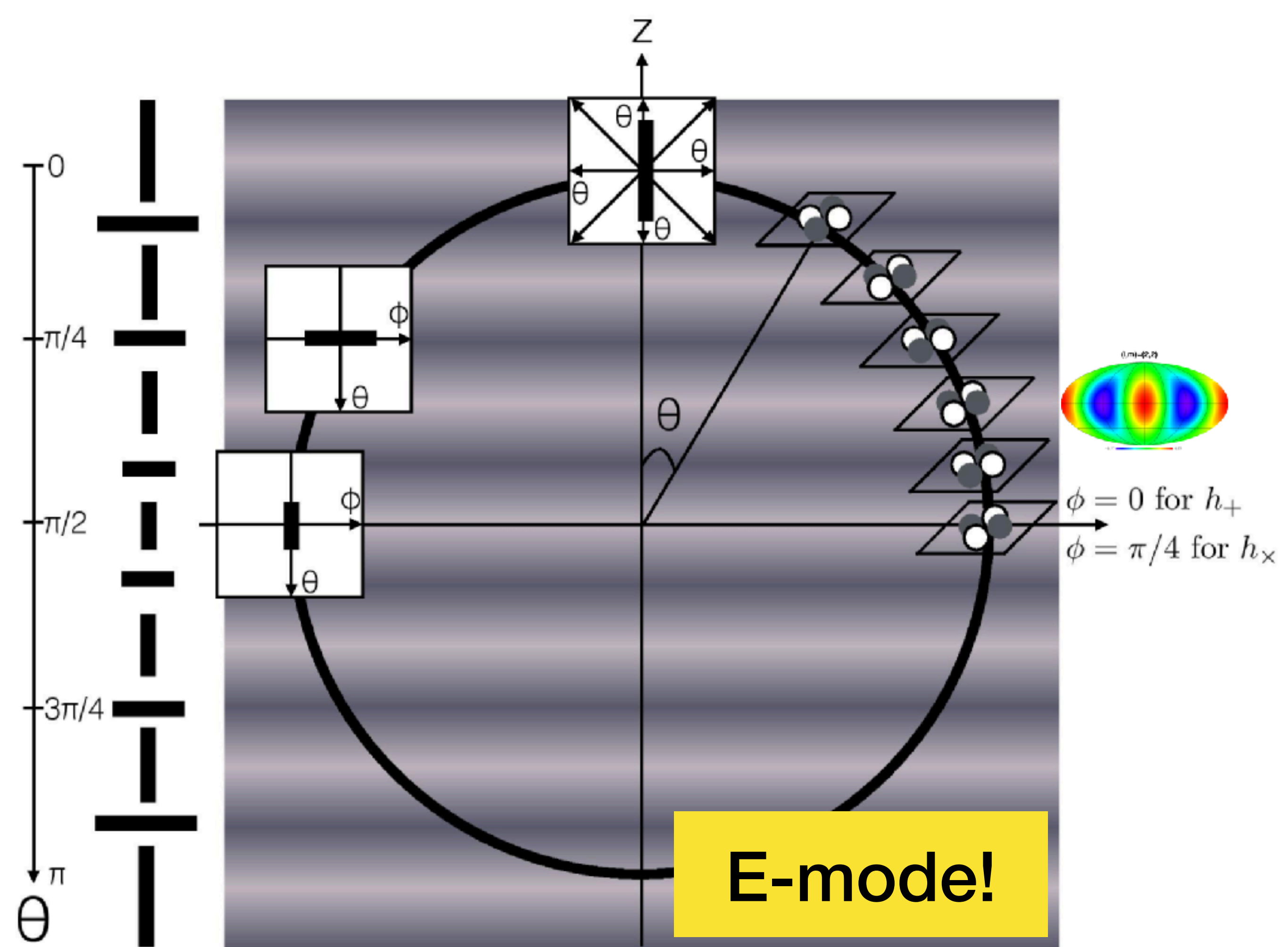
propagation direction of GW

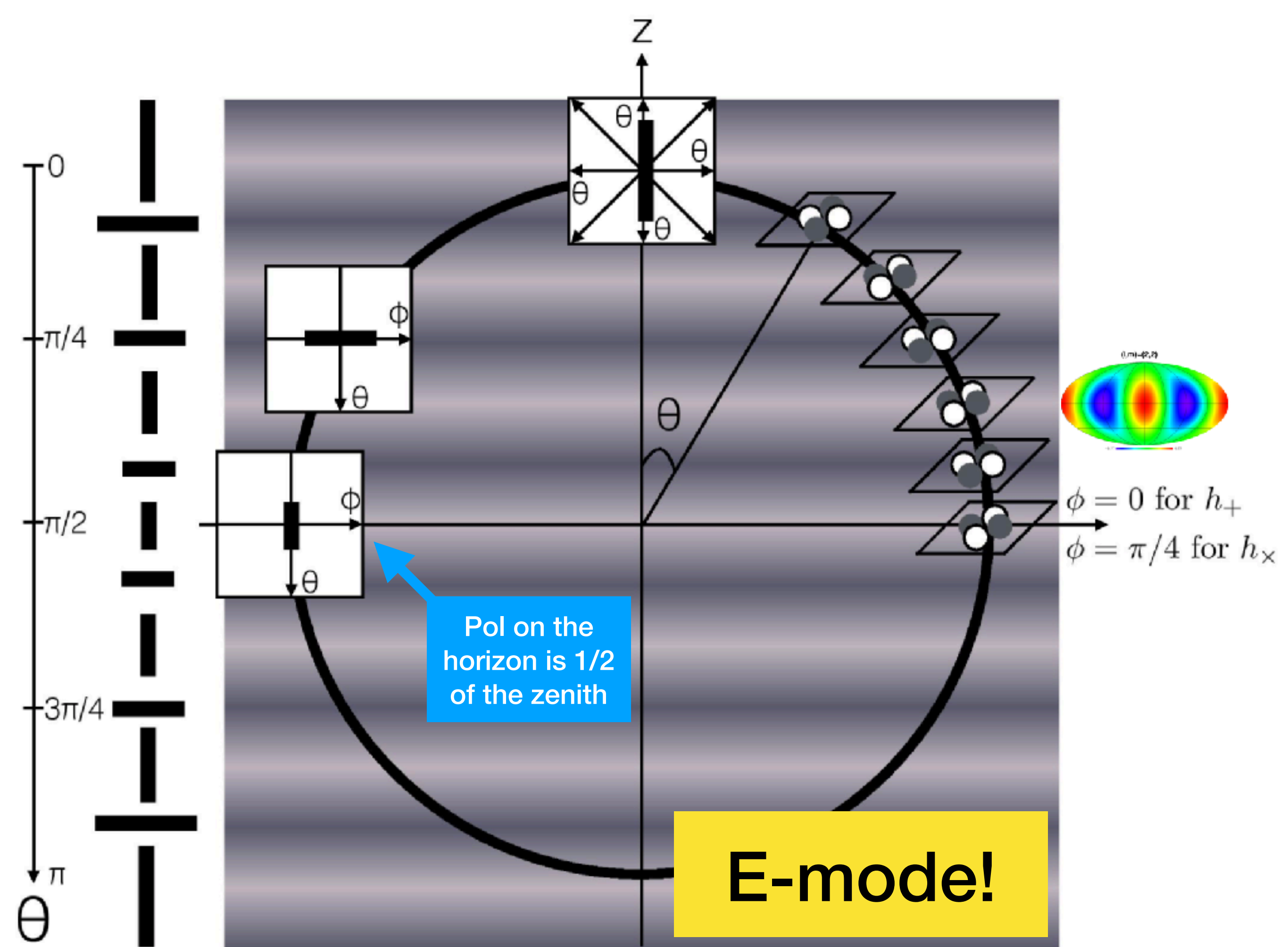


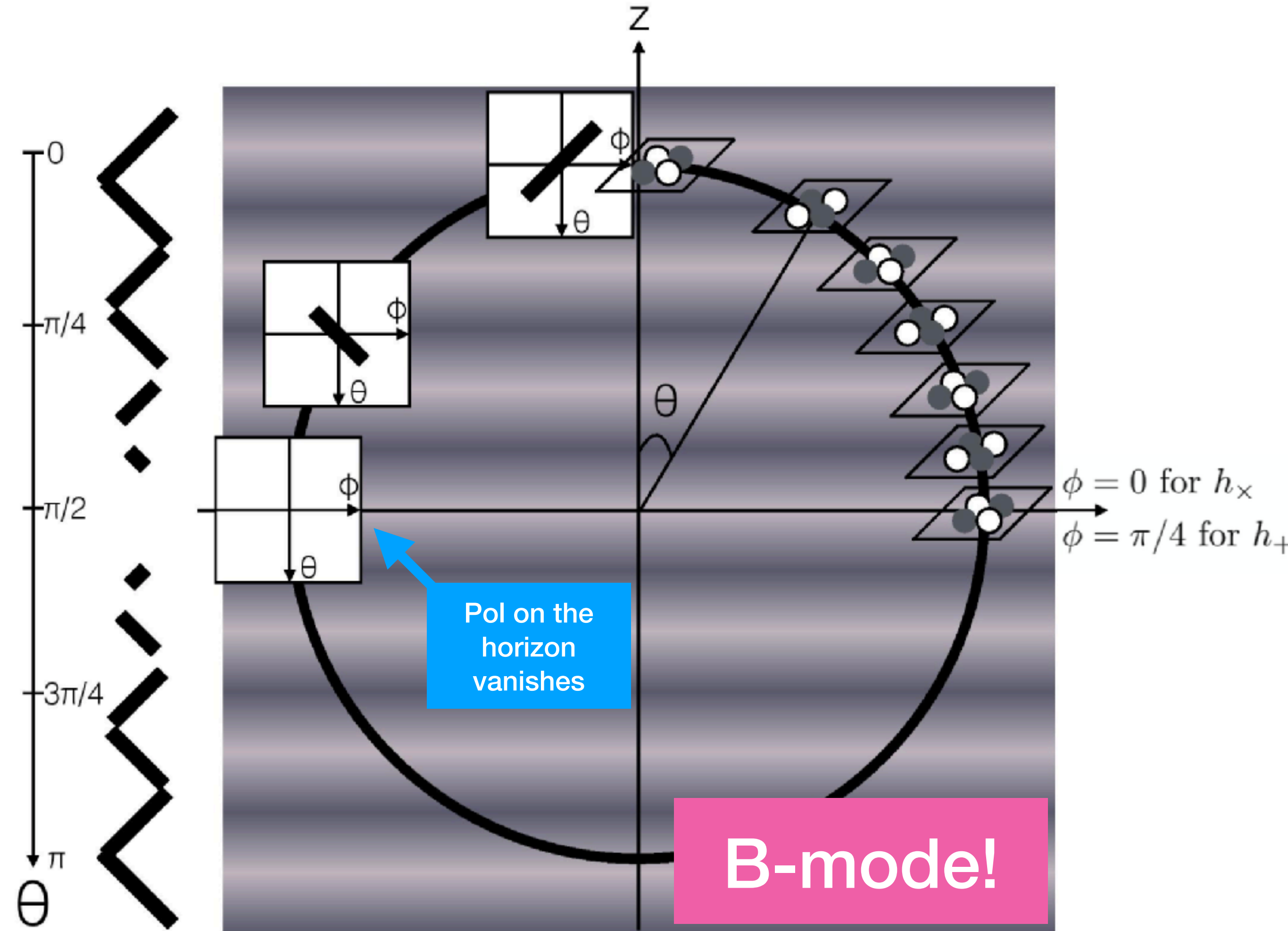
$$h_x = \cos(kx)$$



Polarisation directions 45 degrees tilted from to the wavenumber vector -> **B mode polarisation**



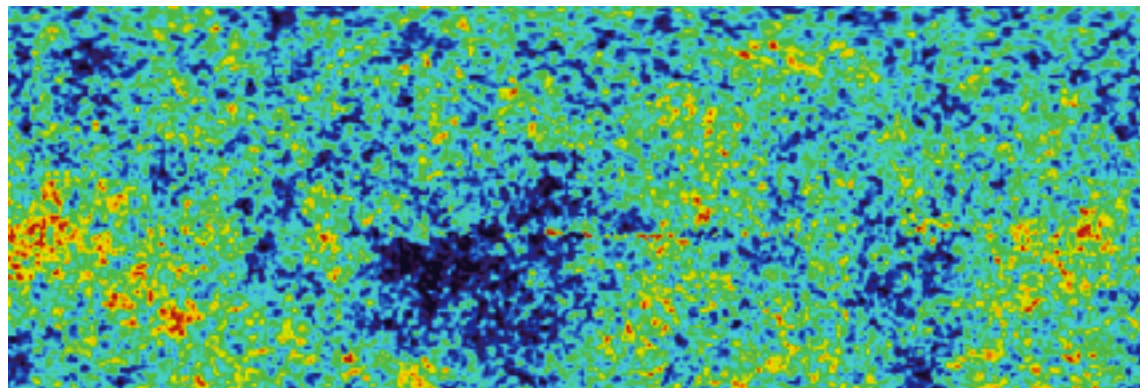
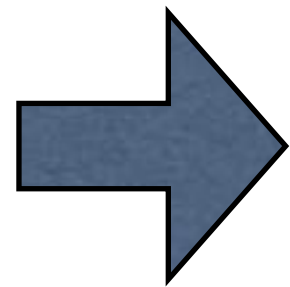




# Inflationary Predictions

$\zeta$

scalar  
mode

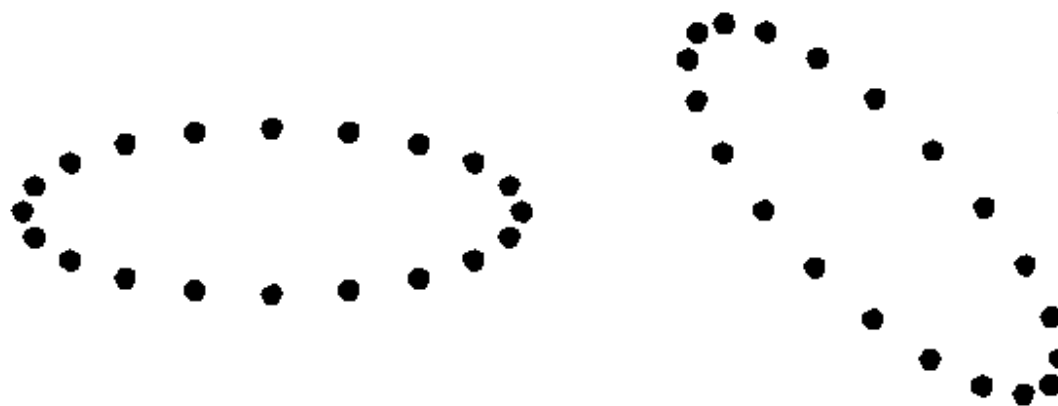
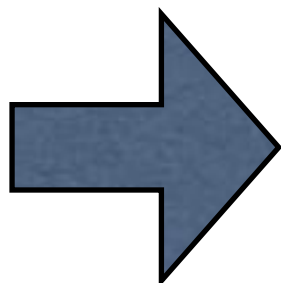


*Mukhanov&Chibisov (1981)*  
*Guth & Pi (1982)*  
*Hawking (1982)*  
*Starobinsky (1982)*  
*Bardeen, Steinhardt&Turner (1983)*

- Fluctuations we observe today in CMB and the matter distribution originate from quantum fluctuations during inflation

$h_{ij}$

tensor  
mode



*Grishchuk (1974)*  
*Starobinsky (1979)*

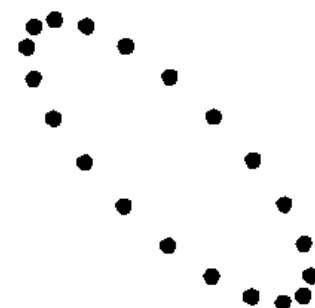
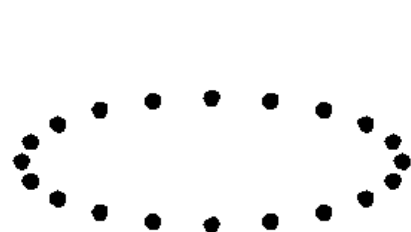
- There should also be *ultra long-wavelength* gravitational waves generated during inflation

# We measure distortions in space

- A distance between two points in space

$$d\ell^2 = a^2(t)[1 + 2\zeta(\mathbf{x}, t)][\delta_{ij} + h_{ij}(\mathbf{x}, t)]dx^i dx^j$$

- $\zeta$  : “curvature perturbation” (scalar mode)
  - Perturbation to the determinant of the spatial metric
- $h_{ij}$  : “gravitational waves” (tensor mode)
  - Perturbation that does not alter the determinant



$$\sum_i h_{ii} = 0$$

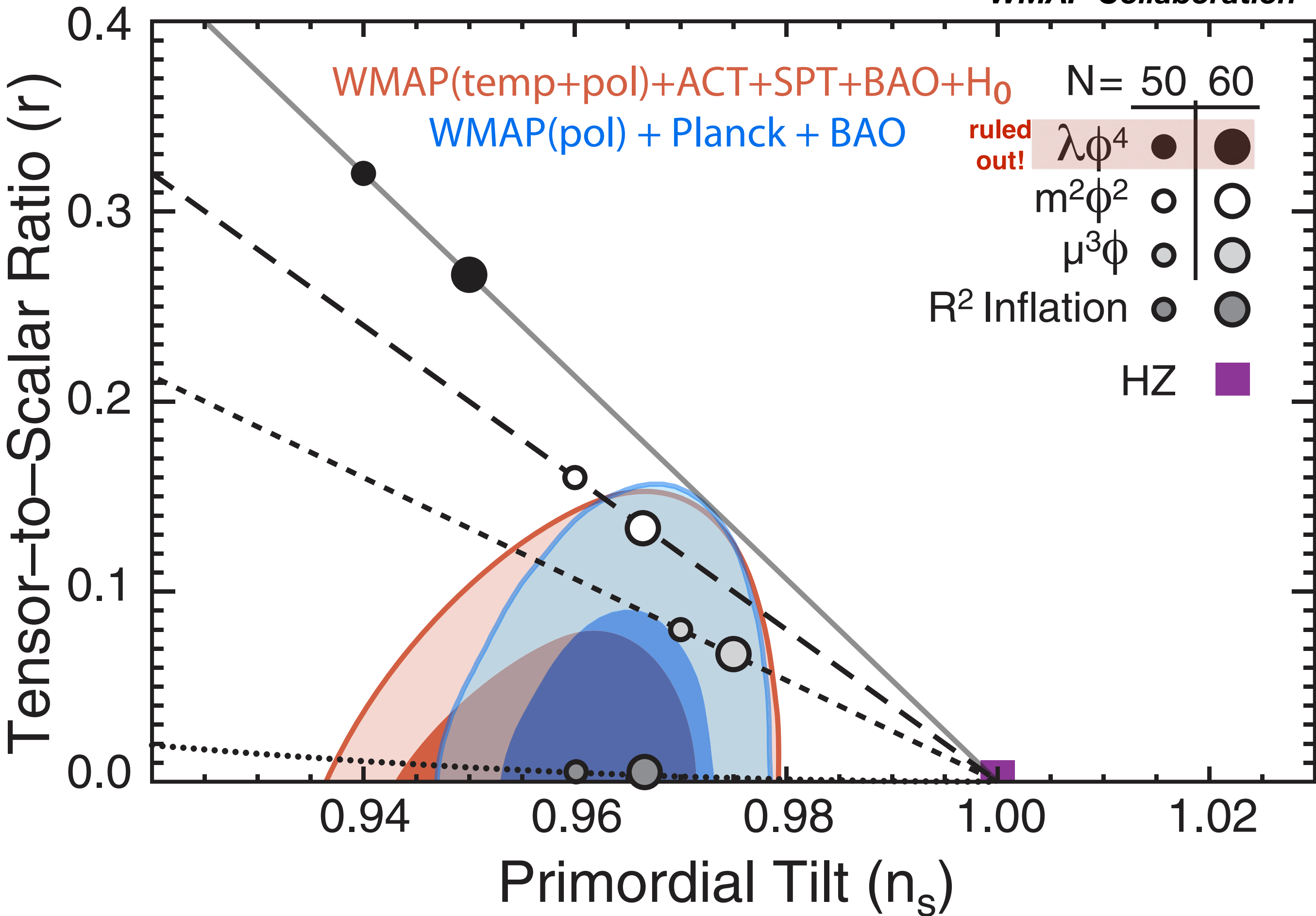


# Tensor-to-scalar Ratio

$$r \equiv \frac{\langle h_{ij} h^{ij} \rangle}{\langle \zeta^2 \rangle}$$

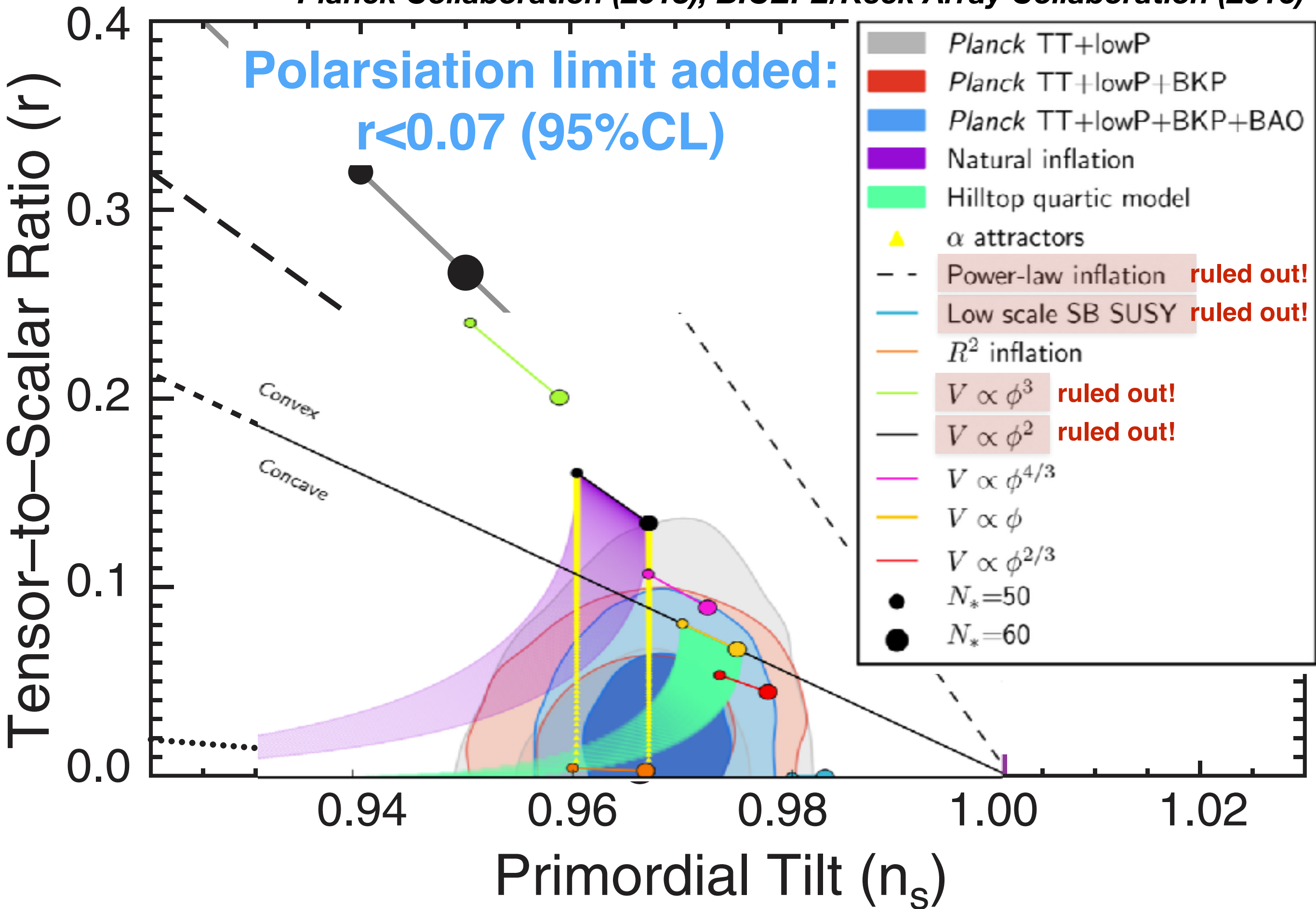
- We really want to find this! The current upper bound is  **$r < 0.06$**  (95%CL)

BICEP2/Keck Array Collaboration (2018)





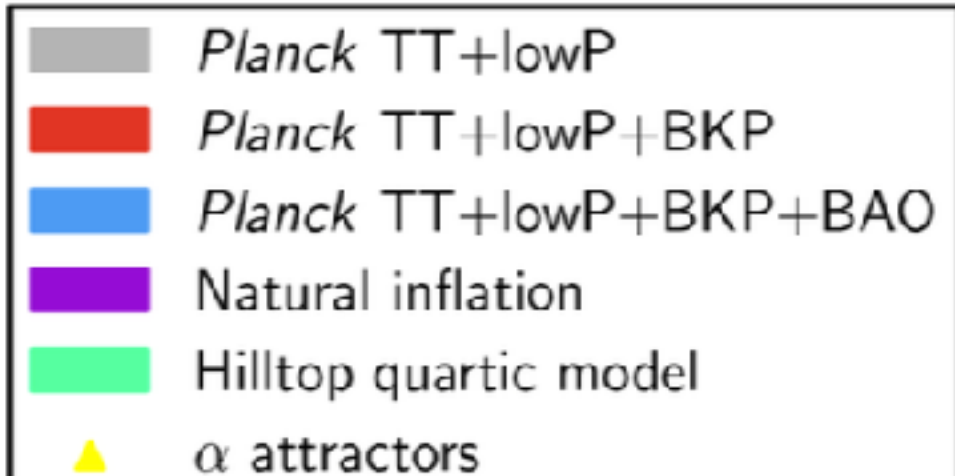
**Planck Collaboration (2015); BICEP2/Keck Array Collaboration (2016)**



Planck Collaboration (2015); BICEP2/Keck Array Collaboration (2016)

Tensor-to-Scalar Ratio ( $r$ )

Polarisation limit added:  
 $r < 0.07$  (95%CL)



0.4  
0.3  
0.2  
0.1  
0.0

Convex  
Concave

Convex  
Concave

Planck TT+ $\tau$  prior+lensing+BAO

+BK15

2018

$r < 0.06$   
(95%CL)

BICEP2/Keck Array  
Collaboration (2018)

0.94

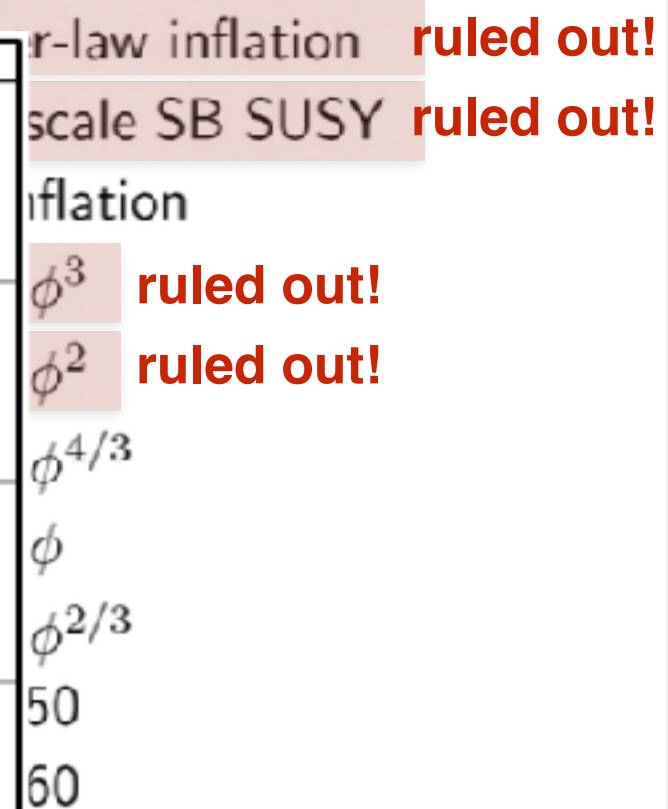
0.96

0.98

1.00

1.02

Primordial Tilt ( $n_s$ )



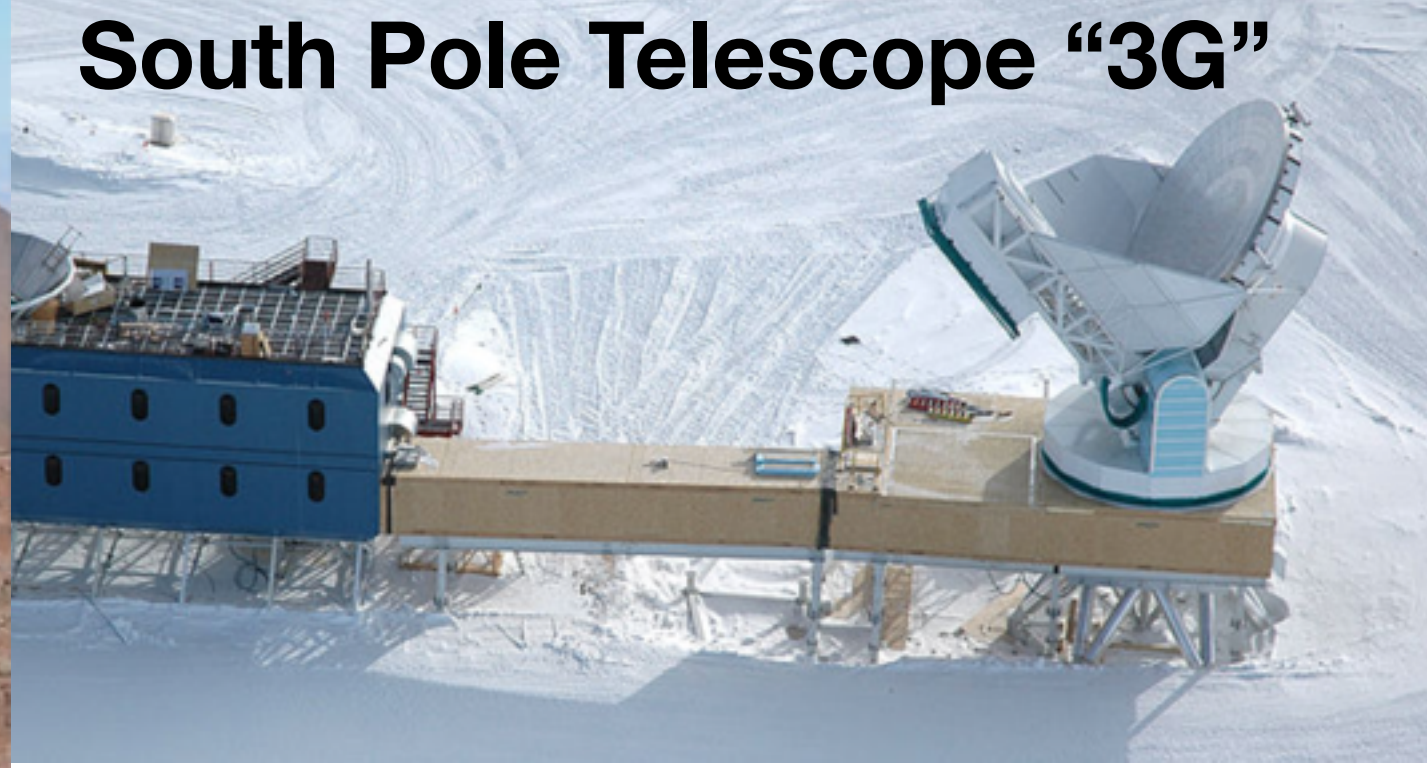
# **CMB: Experimental Landscape**



# Advanced Atacama Cosmology Telescope

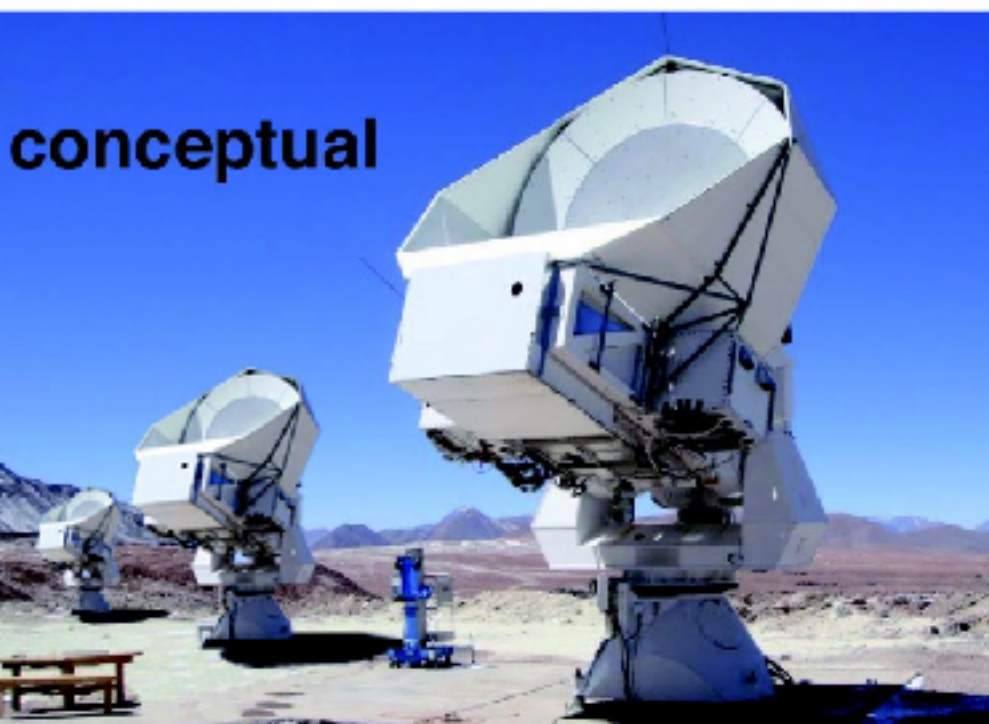


# South Pole Telescope “3G”



# What comes next?

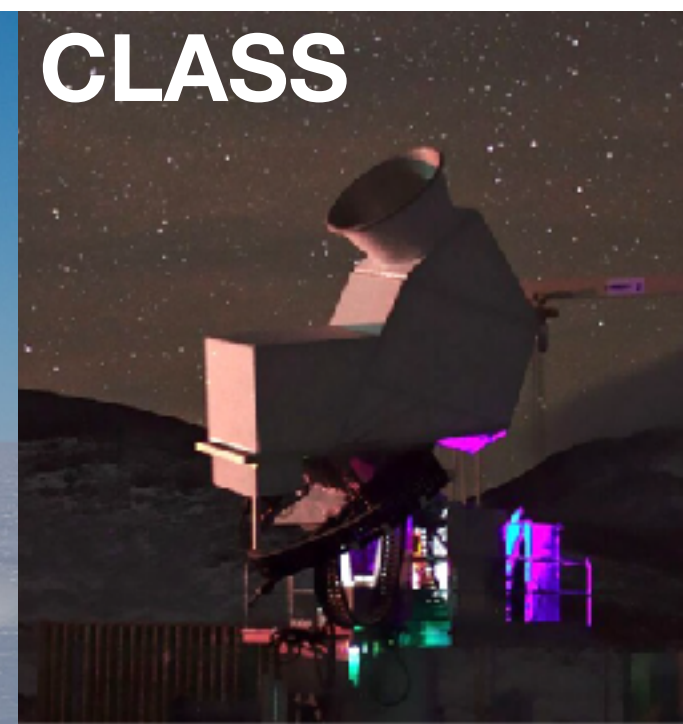
## The Simons Array



## BICEP/Keck Array



## CLASS

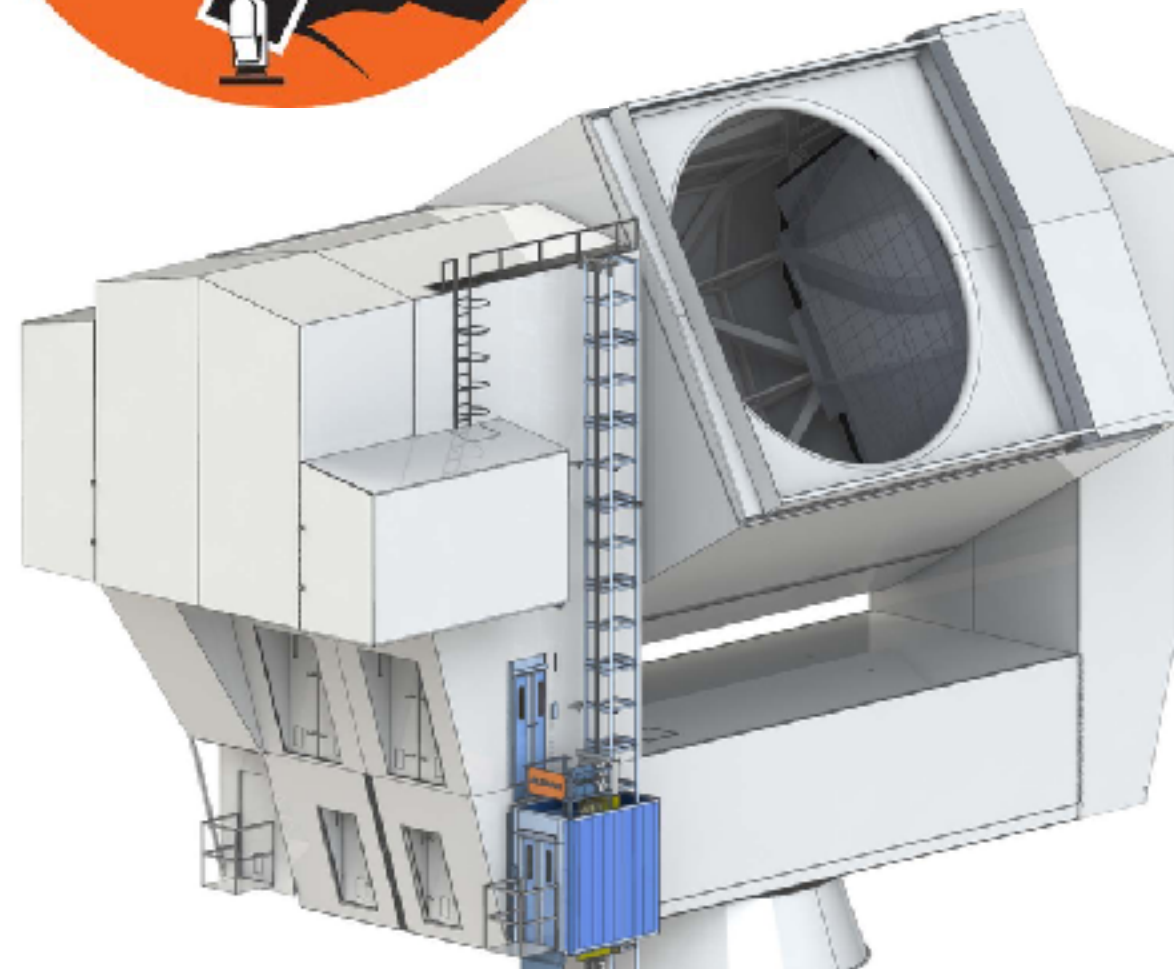




# Advanced Atacama Cosmology Telescope

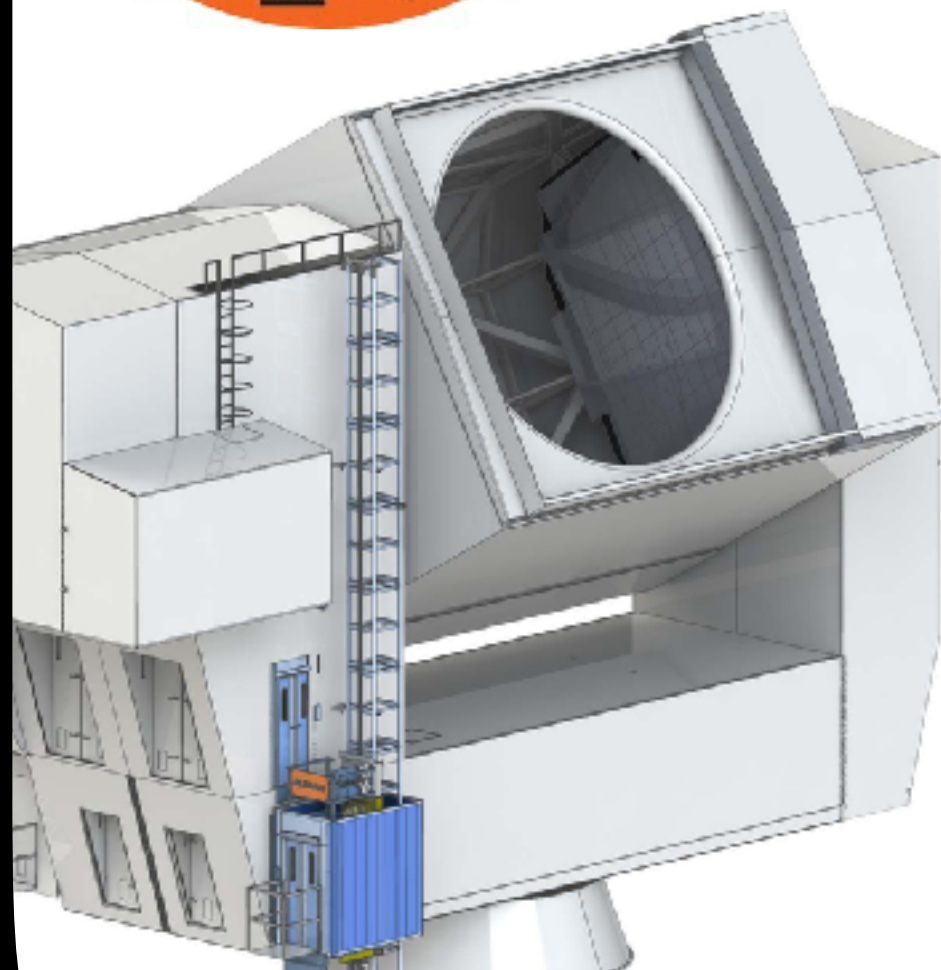
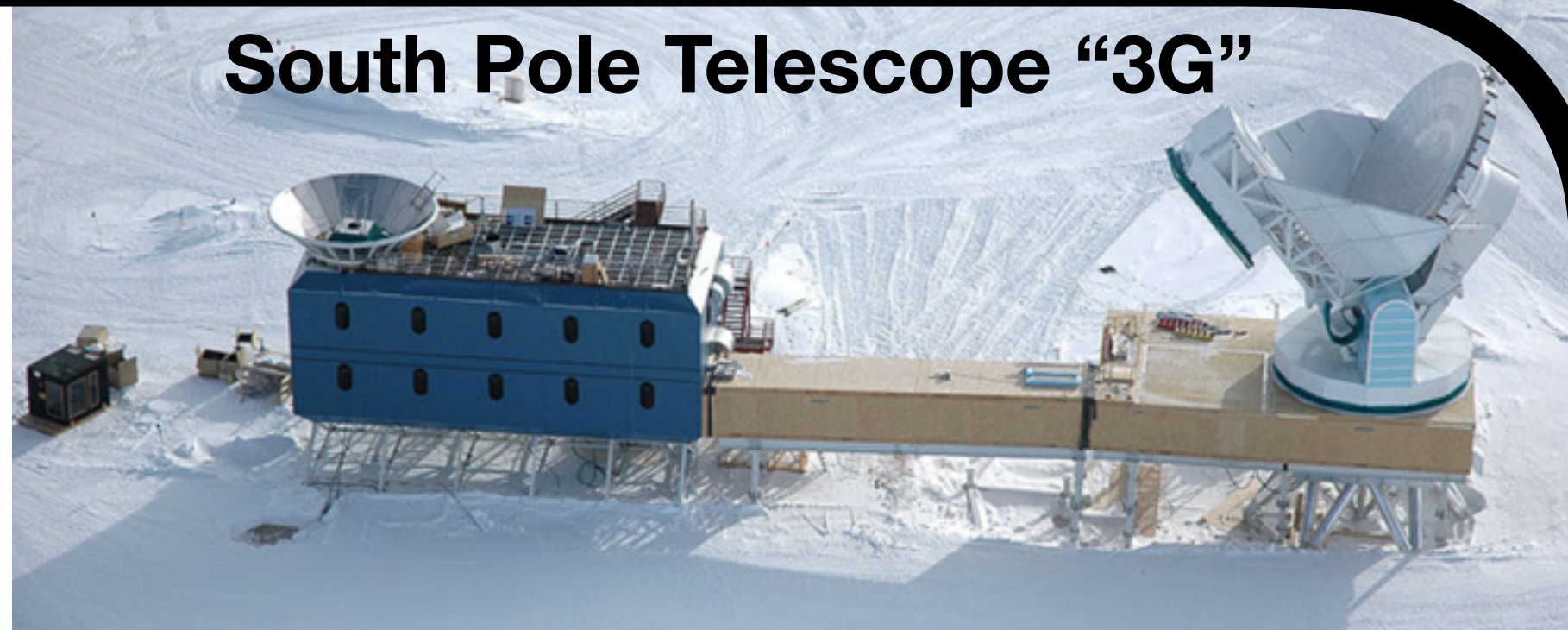


**The Simons Array**

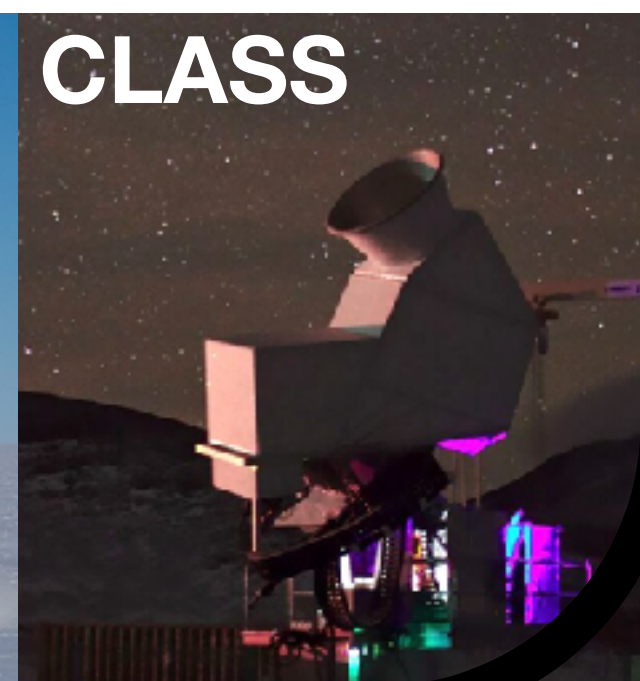


**conceptual**





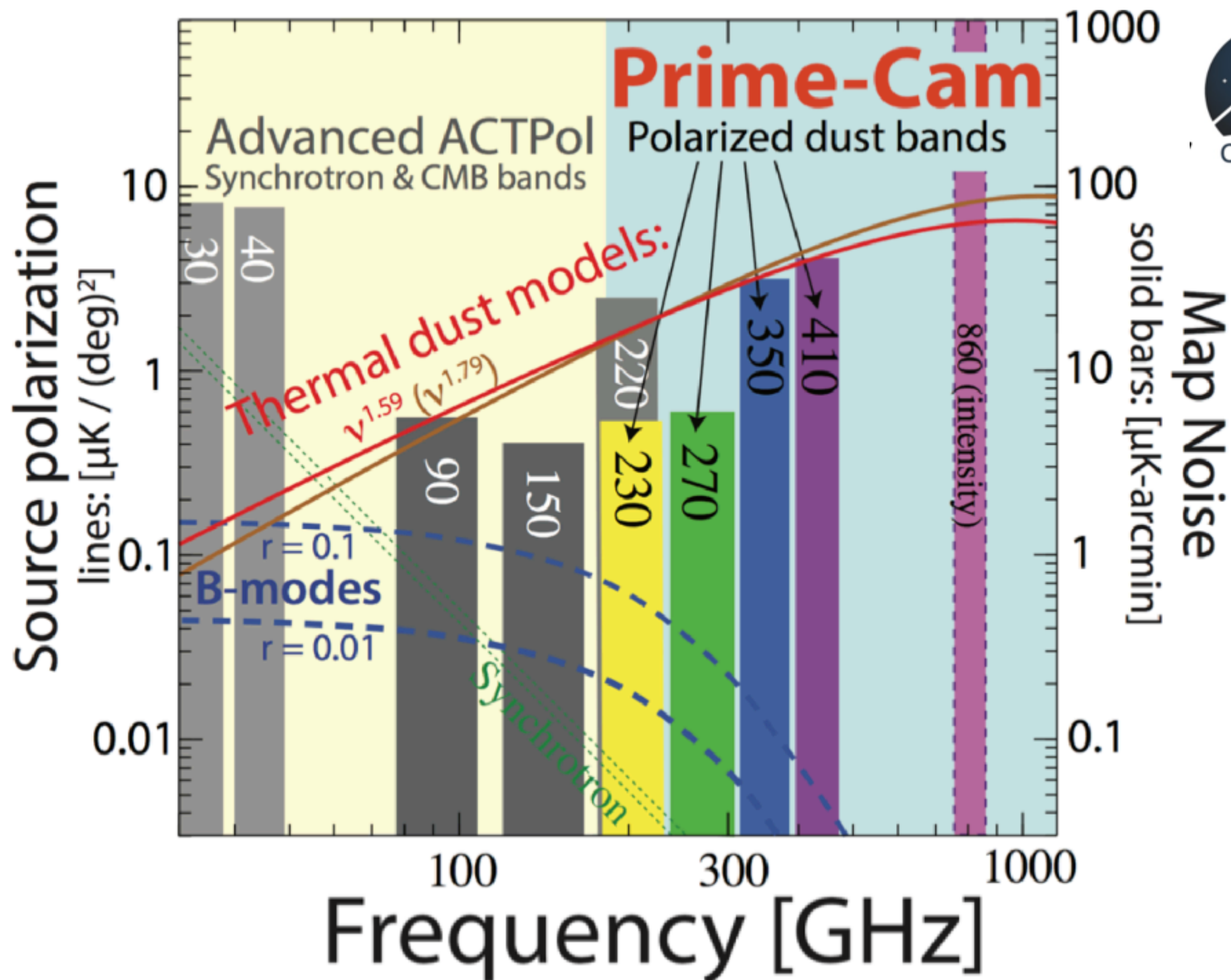
# CMB-S4(?)



# The Biggest Enemy: Polarised Dust Emission

- The upcoming data will **NOT** be limited by statistics, but by systematic effects such as the Galactic contamination
- **Solution**: Observe the sky at multiple frequencies, especially at high frequencies ( $>300$  GHz)
- This is challenging, unless we have a superb, high-altitude site with low water vapour
- **CCAT-p!**







# Where is CCAT-p?

**Cerro Chajnantor at 5600 m w/ TAO**

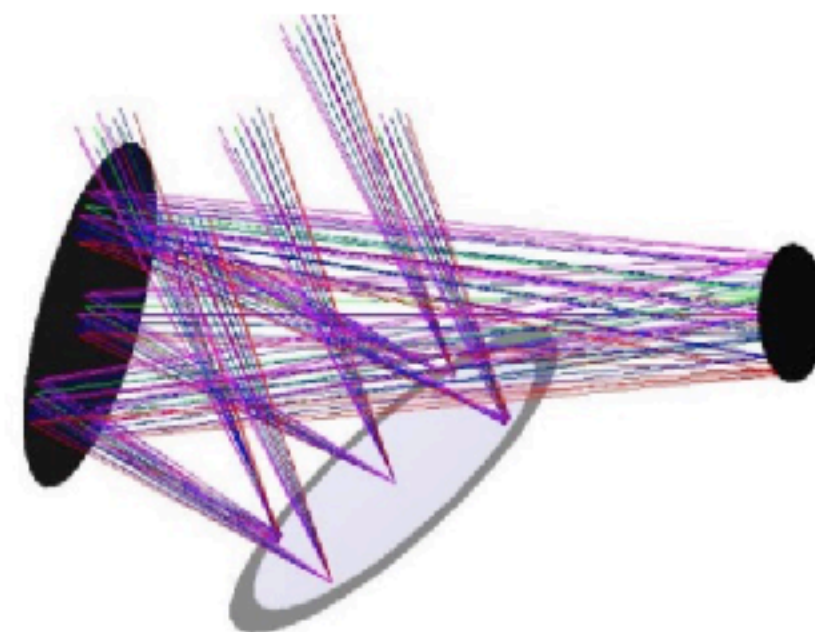
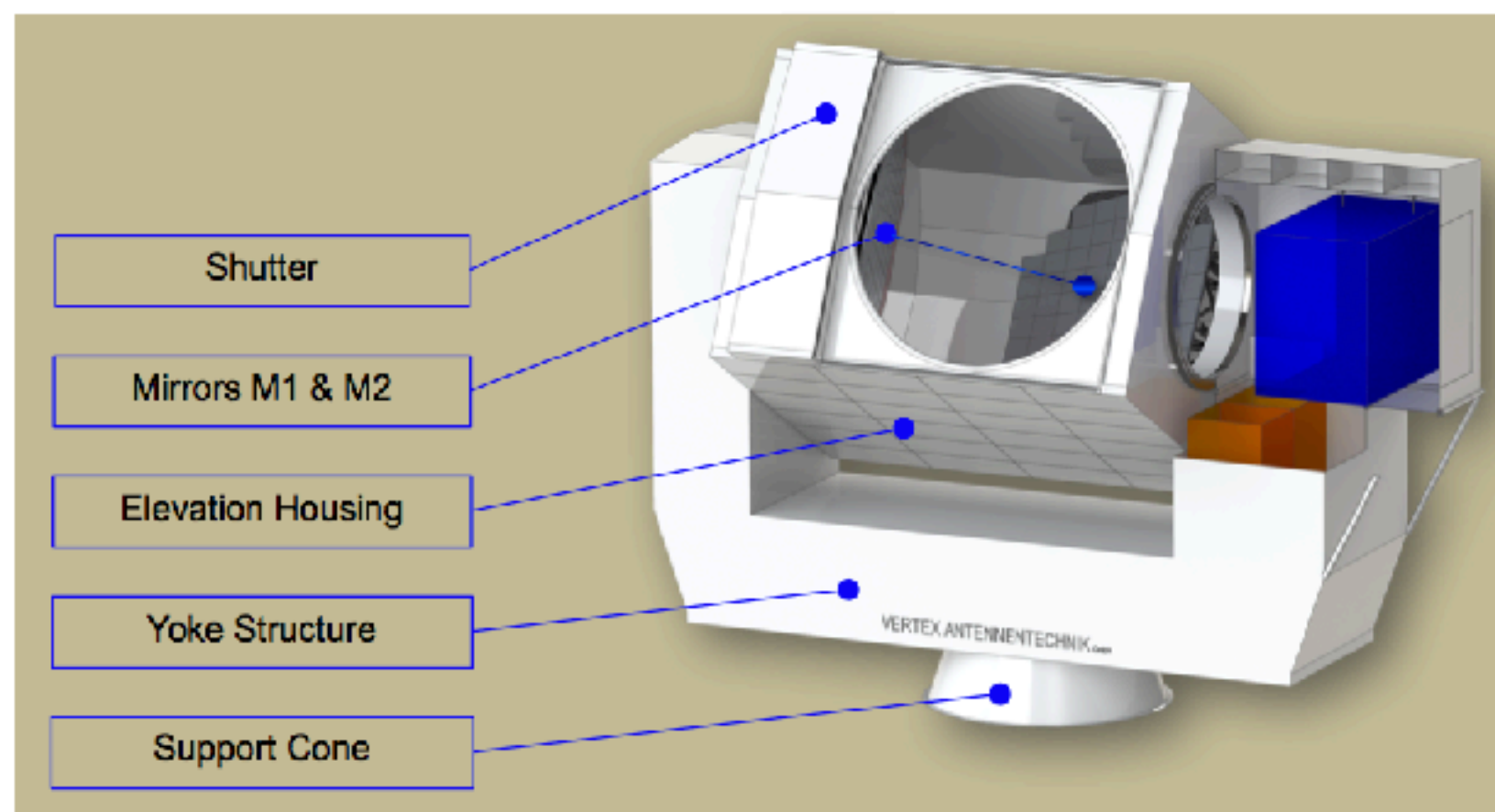






# What is CCAT-p?

CCAT-prime is a high surface accuracy / throughput 6 m submm (0.3-3mm) telescope



Cornell U. + German consortium + Canadian consortium + ...

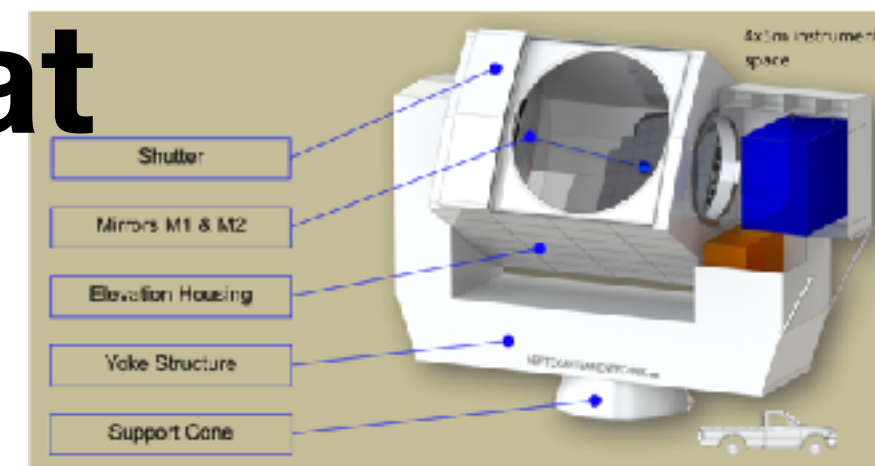
# A Game Changer

- **CCAT-p**: 6-m, **Cross-dragone** design, on Cerro Chajnantor (5600 m)

- **Germany makes great telescopes!**

CCAT-prime

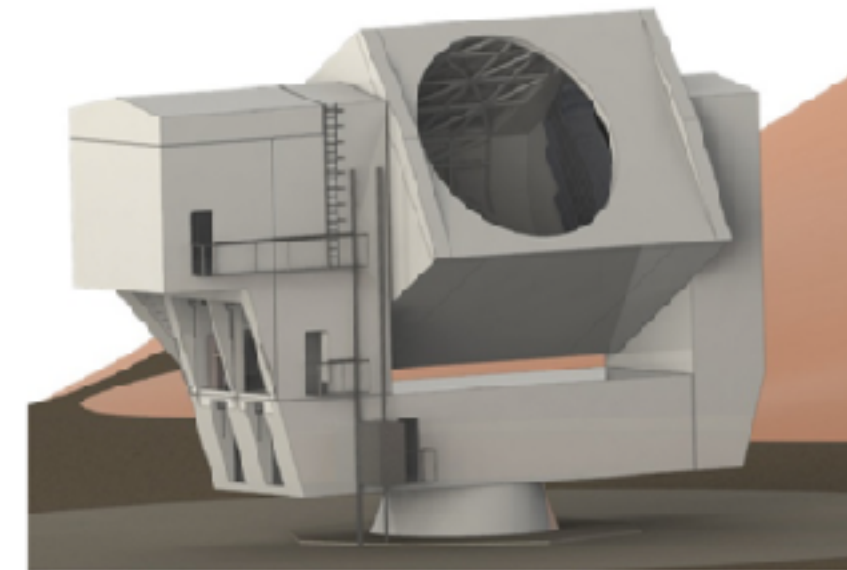
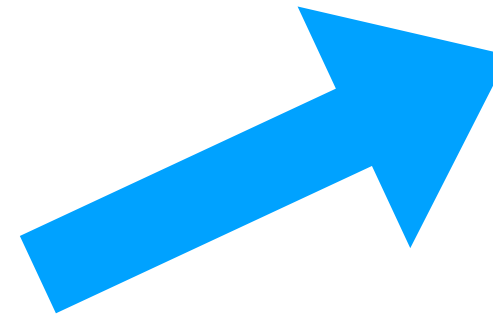
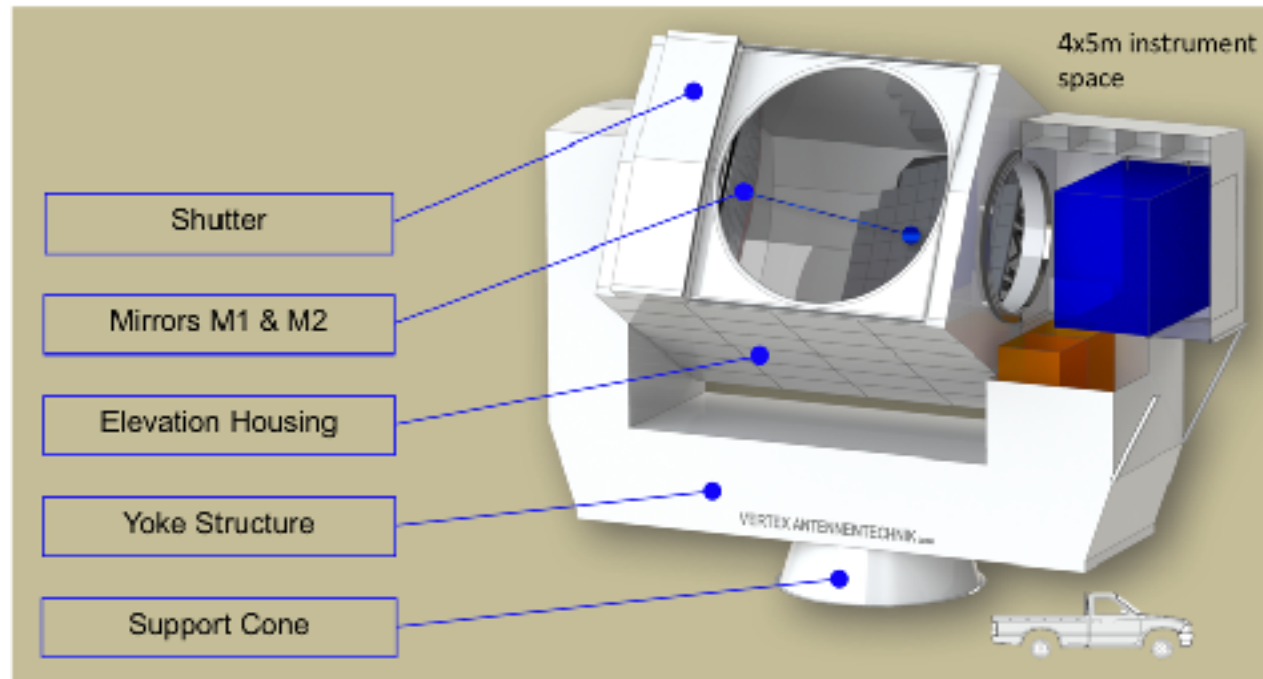
designed and built by Vertex Antennentechnik GmbH, Duisburg



- Design study completed, and the contract has been signed by “VERTEX Antennentechnik GmbH”
  - CCAT-p is a great opportunity for Germany to make significant contributions towards the CMB S-4 landscape (both US and Europe) by providing telescope designs and the “lessons learned” with prototypes.

## CCAT-prime

designed and built by Vertex Antennentechnik GmbH, Duisburg



*A rendering of the unique and powerful radio telescope. Image courtesy of VERTEX ANTENNENTECHNIK.*

## Simons Observatory (USA)

in collaboration



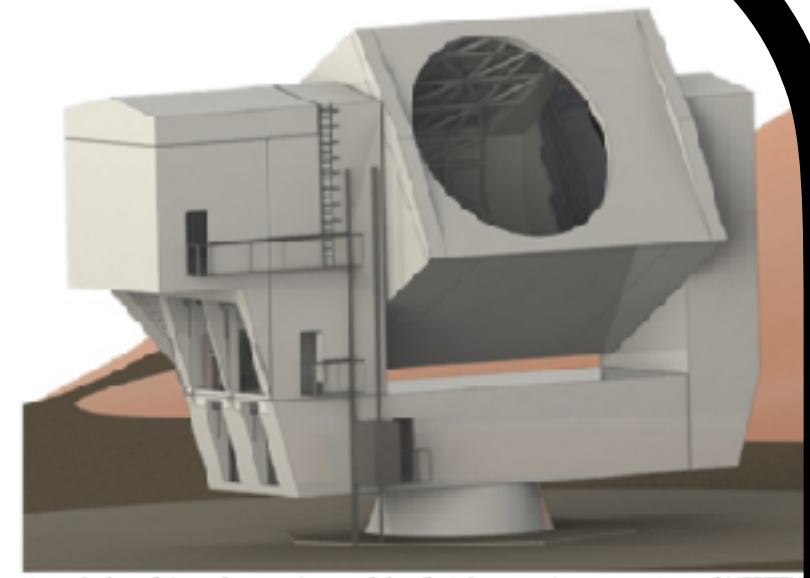
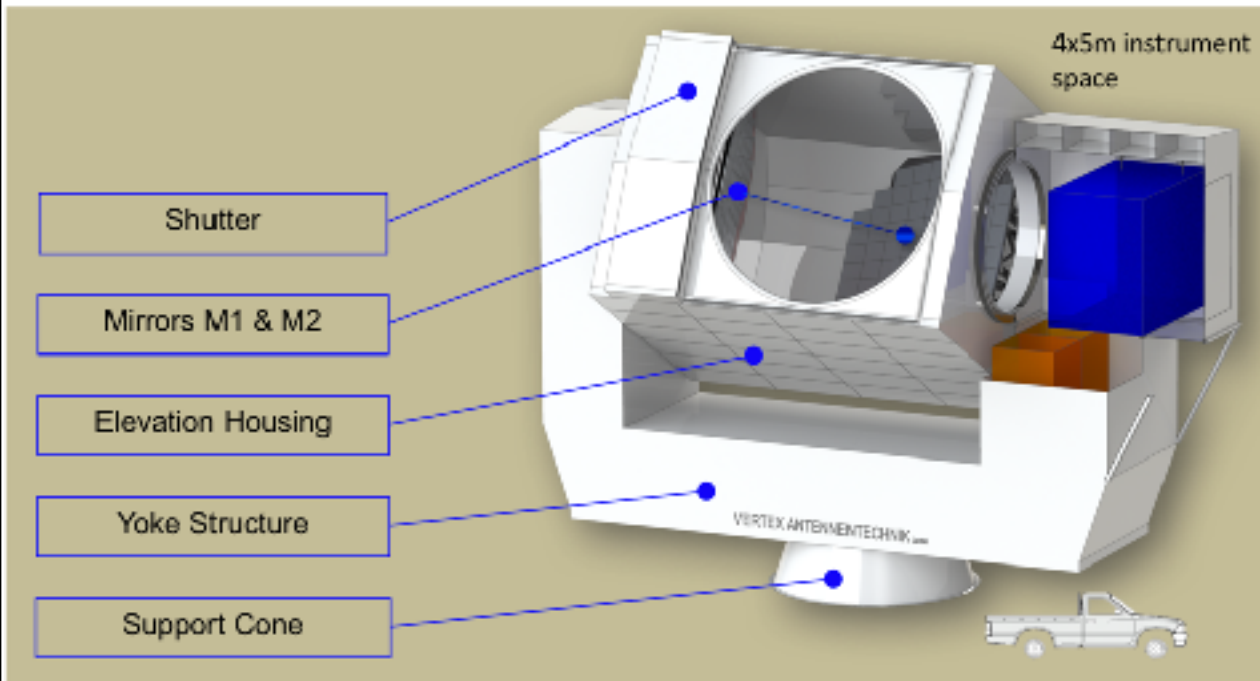
South Pole?



# This could be “CMB-S4”

## CCAT-prime

designed and built by Vertex Antennentechnik GmbH, Duisburg



A rendering of the unique and powerful radio telescope. Image courtesy of VERTEX ANTENNENTECHNIK.

**Simons Observatory  
(USA)**

in collaboration



**South Pole?**

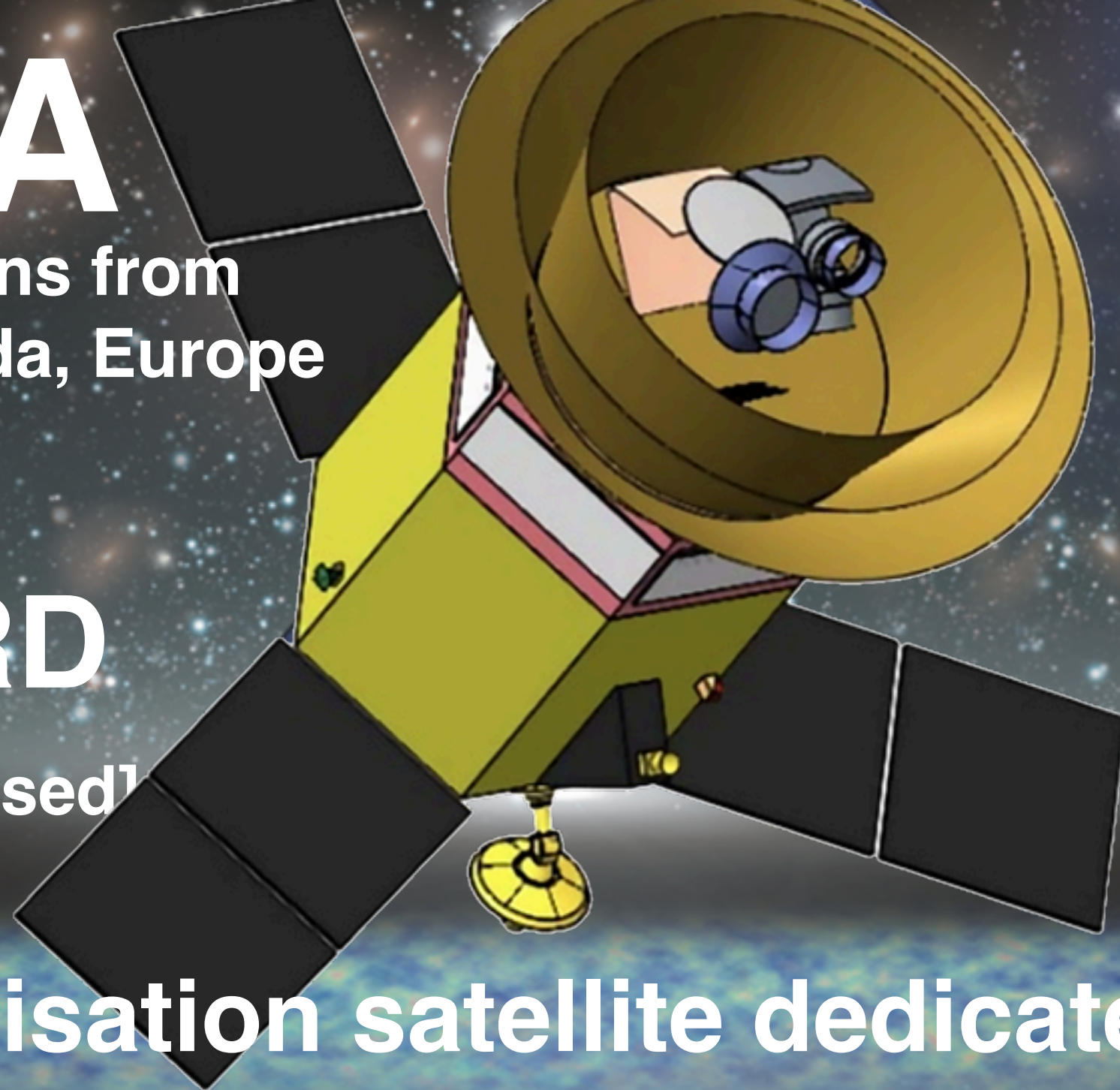
**To have even more  
frequency coverage...**

# JAXA

+ participations from  
USA, Canada, Europe

## LiteBIRD

2027– [proposed]



Polarisation satellite dedicated to  
measure CMB polarisation from  
primordial GW, with a few thousand  
TES bolometers in space



# JAXA

+ participations from  
USA, Canada, Europe

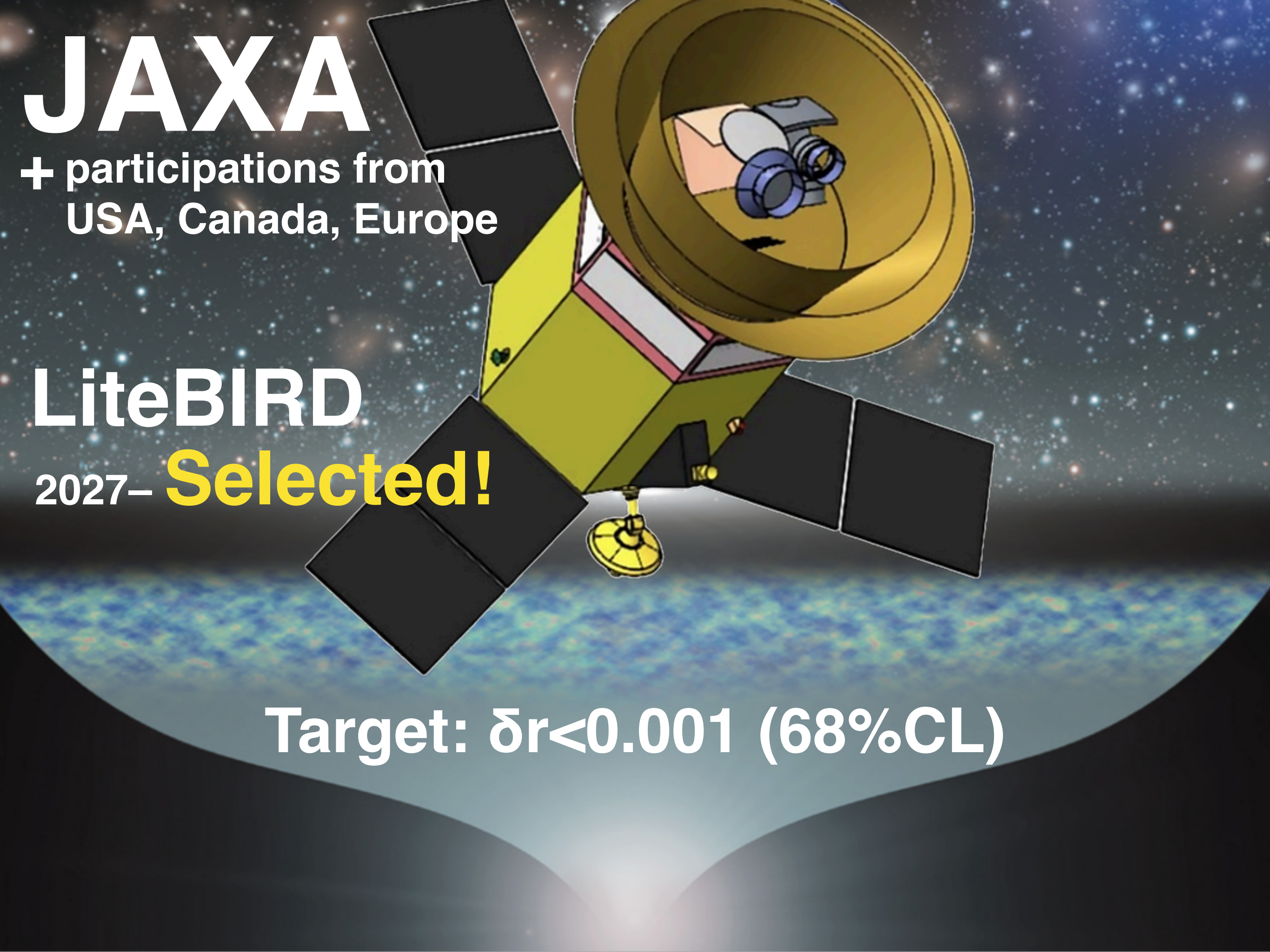
## LiteBIRD

2027– **Selected!**



May 21: JAXA has chosen LiteBIRD  
as the strategic large-class mission.  
*We will go to L2!*





# JAXA

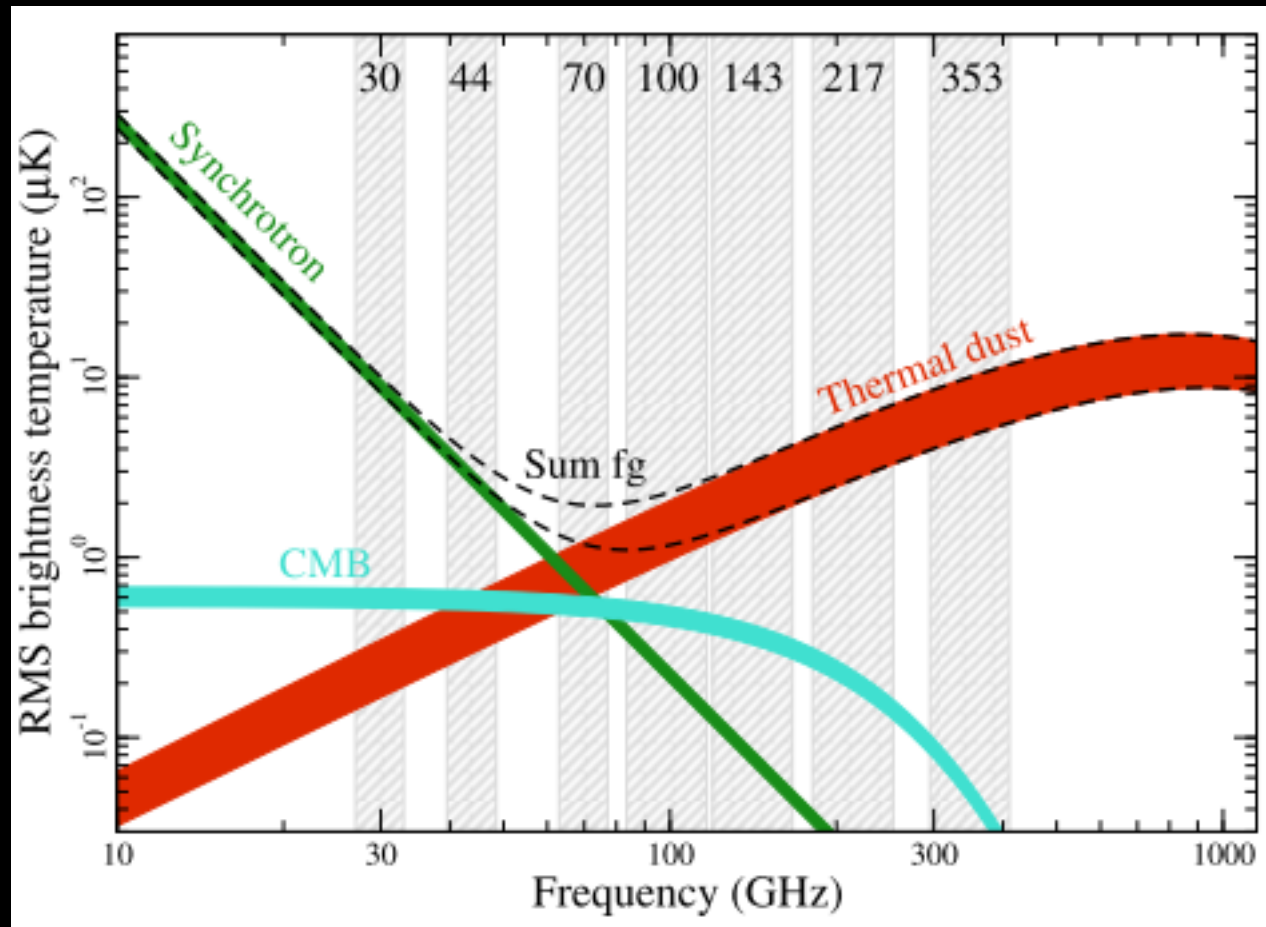
+ participations from  
USA, Canada, Europe

## LiteBIRD

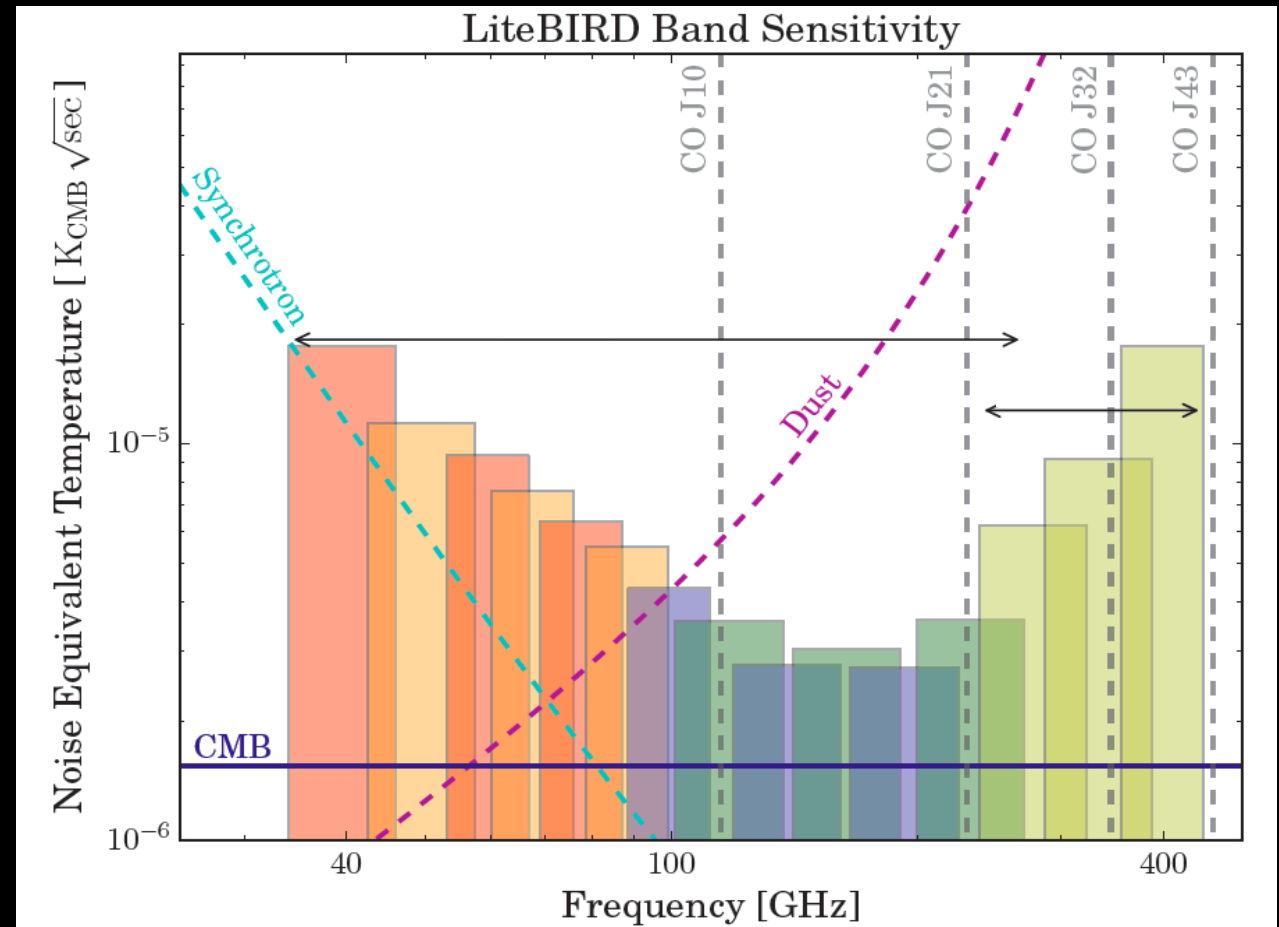
2027– **Selected!**

Target:  $\delta r < 0.001$  (68%CL)

# Foreground Removal



Polarized galactic emission (Planck X)



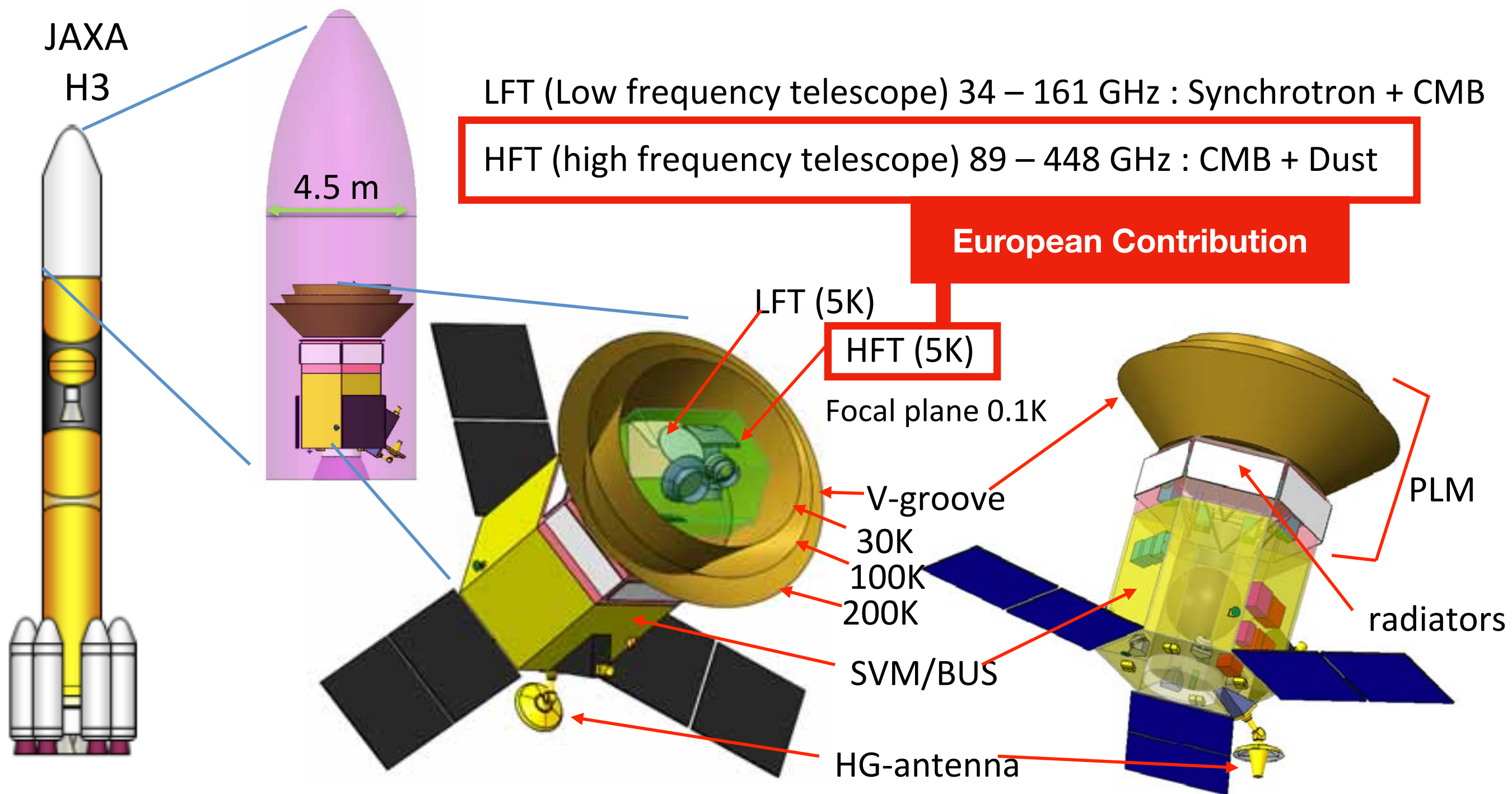
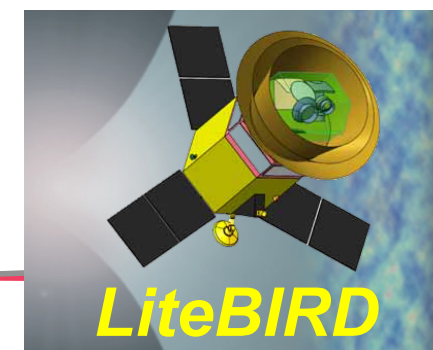
LiteBIRD: 15 frequency bands

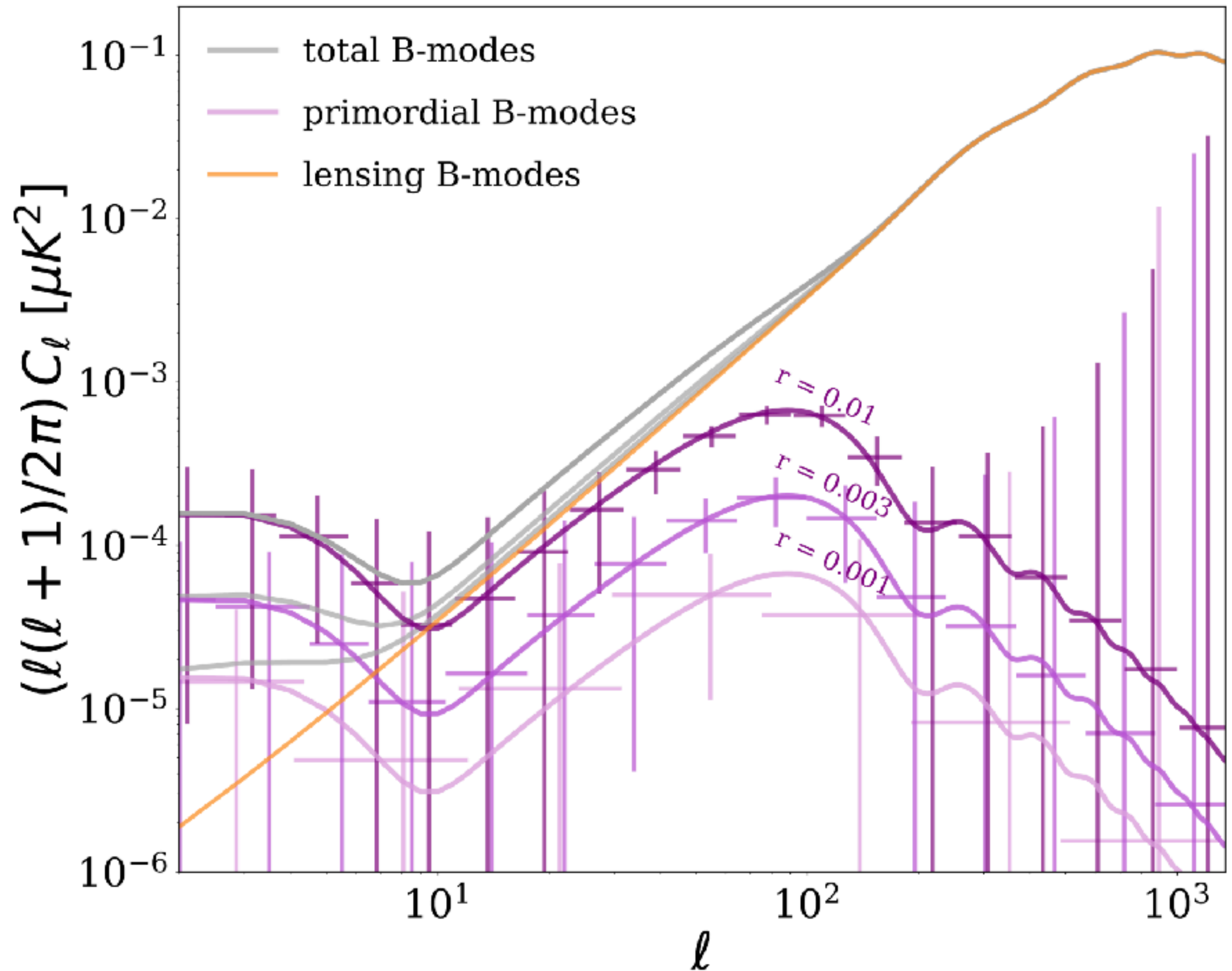
- Polarized foregrounds
  - Synchrotron radiation and thermal emission from inter-galactic dust
  - Characterize and remove foregrounds
- 15 frequency bands between 40 GHz - 400 GHz
  - Split between Low Frequency Telescope (LFT) and High Frequency Telescope (HFT)
  - LFT: 40 GHz – 235 GHz
  - HFT: 280 GHz – 400 GHz

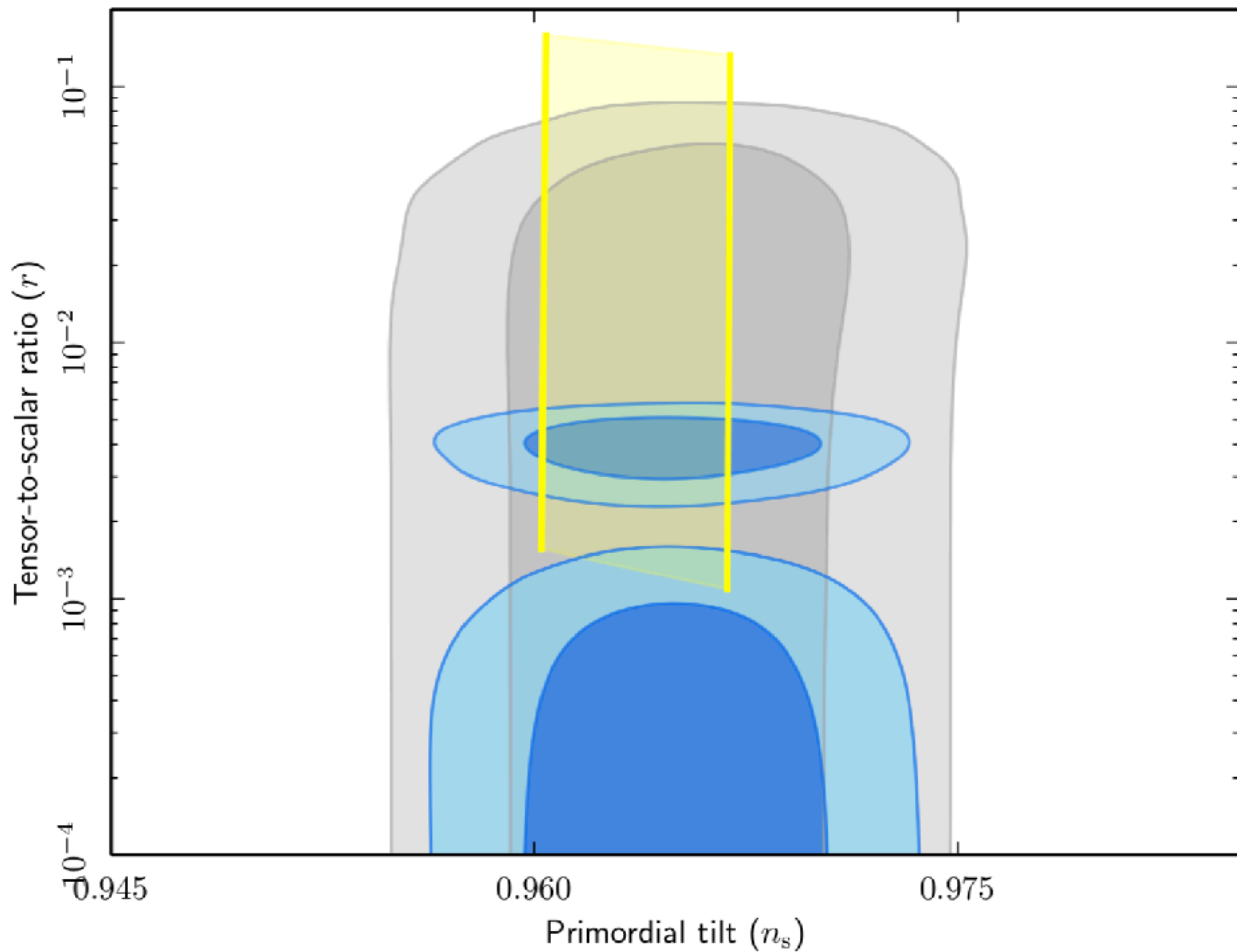
*Slide courtesy Toki Suzuki (Berkeley)*



# LiteBIRD Spacecraft







# Final Remark

$$u''_{ij} + \left( k^2 - \frac{a''}{a} \right) u_{ij} = 16\pi G a^3 T_{ij}^{GW}$$

- We have ignored the source term during inflation, and considered only the vacuum fluctuation. Is this justified? **Maybe not!**

## ● *Further reading:*

- B. Thorne et al., Phys. Rev. D, 97, 043506 (2018),  
arXiv:1707.03240
- A. Agrawal et al., Phys. Rev. D, 97, 103526 (2018),  
arXiv:1707.03023
- A. Maleknejad & E. Komatsu, JHEP, 05, 174 (2019),  
arXiv:1808.09076

# Effect of TGW

