

# The Degeneracy of Galaxy Formation Models

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## ABSTRACT

We develop a new formalism for modeling the formation and evolution of galaxies within a hierarchical universe. Similarly to standard semi-analytical models we trace galaxies inside dark-matter merger-trees which are extracted from a large  $N$ -body simulation. The formalism includes treatment of feedback, star-formation, cooling, smooth accretion, gas stripping in satellite galaxies, and merger-induced star bursts. However, unlike in other models, each process is assumed to have an efficiency which depends only on the host halo mass and redshift. This allows us to describe the various components of the model in a simple and transparent way. By allowing the efficiencies to have any value for a given halo mass and redshift, we can easily encompass a large range of scenarios. To demonstrate this point, we examine several different galaxy formation models, which are all consistent with the observational data. Each model is characterized by a different unique feature: cold accretion in low mass haloes, zero feedback, stars formed only in merger-induced bursts, and shutdown of star-formation after mergers. Using these models we are able to examine the degeneracy inherent in galaxy formation models, and look for observational data that will help to break this degeneracy. We show that the full distribution of star-formation rates in a given stellar mass bin is promising in constraining the models. We compare our approach in detail to the semi-analytical model of De Lucia & Blaizot. It is shown that our formalism is able to produce a very similar population of galaxies once the same median efficiencies per halo mass and redshift are being used. Consequently, our approach may be useful for comparing various published semi-analytical models within the same framework. We provide a public version of the model galaxies on our web-page, along with a tool for running models with user-defined parameters. Our model is able to provide results for a  $62.5 h^{-1}$  Mpc box within just a few seconds.

**Key words:** galaxies: formation

## 1 INTRODUCTION

The physics of galaxy formation combines a wide range of astrophysical phenomena. On one side it relies heavily on cosmology and on the hierarchical formation of dark matter structures in our Universe. On the other hand galaxy formation is greatly affected by the detailed physics of gas dynamics, star-formation (SF) processes, stellar evolution, and black-hole physics. A model that will combine all the above physics into a well-defined, coherent methodology should thus include a large dynamical range of scales, from the formation of individual stars to the formation of the largest clusters of galaxies.

Historically, a detailed understanding of the formation of galaxies has grown alongside with the development of the concepts of dark-matter clustering (e.g. Rees & Ostriker 1977; Blumenthal et al. 1984; White & Frenk 1991). It became clear that galaxy formation is a ‘two-stage process’, where first dark matter clumps collapse and virialize into ‘haloes’. This is then followed by a second stage of gas cooling into the central regions of these

haloes, which can condense and form stars (White & Rees 1978). In the last few decades there have been substantial improvements in our quantitative understanding of structure formation of dark-matter, both from the analytical point of view (Press & Schechter 1974; Bond et al. 1991; Sheth & Tormen 2002) and also using numerical simulations (e.g. Lacey & Cole 1994; Navarro et al. 1997; Springel et al. 2005). The current level of accuracy in modeling dark-matter structure growth has reached the level of few percents. Some larger uncertainties are still inherent in the halo definition and its virial mass, but the way these uncertainties affect galaxies is partially related to the virialization of gas inside haloes, and thus cannot be fully understood using dark-matter only.

Unlike the physics of dark matter, the processes that govern gas dynamics and SF still remain highly uncertain, usually at the level of at least an order of magnitude. For example, cooling rates may be dramatically affected by the assumed shape of the density profiles or different assumptions made in computing the cooling function (e.g. Efstathiou 1992; Landi & Landini 1999; Maio et al. 2007; Gnat & Sternberg 2007; Kaufmann et al. 2009; Wiersma et al. 2009). Also, both star formation and supernova feedback are very complex processes whose basic characteristics

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are still under debate (e.g. McKee & Ostriker 1977; Dekel & Silk 1986; Elmegreen 1997; Mac Low & Klessen 2004; Murray et al. 2005; Scannapieco et al. 2006; Leroy et al. 2008; Fumagalli et al. 2009).

In view of the above difficulties to model galaxies, three main methodologies were developed in the literature. The first approach is a statistical one, attempting to interpret the data with a minimum set of assumptions. This includes variants of the halo model, where the halo and galaxy abundances are linked (see e.g. Jing et al. 1998; Peacock & Smith 2000; Seljak 2000; Cooray & Sheth 2002; Kravtsov et al. 2004; Zehavi et al. 2005; van den Bosch et al. 2007). The approach has been useful in quantifying the relationships between galaxies and haloes, the clustering properties of both populations, and the properties of satellite galaxies. However, by definition, this formalism does not allow to follow the evolution of galaxies with time, and to study their formation histories (but see Drory & Alvarez 2008; Conroy & Wechsler 2009).

A totally different approach is the detailed modeling of individual galaxies using high resolution simulations which include gas hydrodynamics, and a simple prescriptions for SF, feedback and black hole growth (e.g. Abadi et al. 2003; Scannapieco et al. 2009). This approach is valuable for testing specific ingredients of the models (i.e. different feedback mechanisms) and it is critical to deepen our physical understanding of these processes. However, it is still difficult to obtain a statistical sample of galaxies using such simulations, or to span a large range of possible models (see a recent attempt by Schaye et al. 2009). In addition, processes like star formation and supernova (SN) feedback occur far below the resolution limits of these simulations, which makes it necessary to describe them with analytical and approximate recipes. The finite number of particles representing the baryonic content of galaxies, and the presence of numerical artifacts make it difficult to find appropriate recipes which lead to numerical convergence while producing realistic model galaxies.

The most widely used methodology to interpret observations and to provide a coherent picture for the physics of galaxy formation is implemented by Semi-Analytical Models (hereafter SAMs). The approach was introduced by White & Frenk (1991) and then implemented by Cole (1991); Kauffmann et al. (1993). More recent works include Somerville & Primack (1999); Cole et al. (2000); Benson et al. (2002); Hatton et al. (2003); Bower et al. (2006); Cattaneo et al. (2006); Croton et al. (2006); De Lucia & Blaizot (2007); Monaco et al. (2007); Kang et al. (2005); Somerville et al. (2008). These models provide a detailed picture of galaxy formation processes and produce a population of simulated galaxies whose global statistical properties resemble the observations in many aspects. Consequently, the models can then be used to examine specific issues in the formation of galaxies, like the impact of different processes on the shape of the luminosity function (Benson et al. 2003), the formation history of elliptical galaxies (De Lucia et al. 2006), and galaxy merger-rates (Guo & White 2008). SAMs are also valuable for developing new observational techniques (Chen et al. 2009).

The SAM approach is in an intermediate position between the detailed hydrodynamical simulations and variants of the halo model discussed above. While in contrast to hydrodynamical simulations, SAMs include no 3D information on individual galaxies, they follow the evolution of galaxies within their dark-matter haloes, which cannot be done using the ‘halo model’. In SAMs, halo merger-trees are used as a skeleton for the evolution of galaxies. The first generation of models used Monte-Carlo realizations based on the ex-

tended Press-Schechter approach, while modern SAMs use trees extracted from  $N$ -body simulations. Recent versions of these models include merger-trees which follow the evolution of substructure inside haloes. Within these haloes galaxies are assumed to grow, with each galaxy being described by a small number of parameters. This means that only a few mass components are followed with time: hot gas, cold gas, stars, black-hole mass, gas which was ejected out of the halo, heavy elements and the fraction of mass contained in the bulge. SAMs include recipes that are used to compute the rate of change in each component, according to the properties of the galaxy and its host halo. For example, the SF rate is usually assumed to be proportional to the mass of cold gas in the disk, divided by the disk time-scale. These recipes then enable a fast and detailed evolution of galaxies within the model. The benefit of SAMs over other approaches is that using a few simple assumptions the model can reproduce the detailed formation histories of galaxies.

Although SAMs have been quite successful in generating model galaxies that resemble observations, there are a few limitations to this method that we would like to address. First, it seems that the degeneracy inherent in these models has not been sufficiently explored. Often a fixed functional form is used to describe a given process, and tuning is only done by varying parameters. In addition, it is not always tested whether the more complex models can be replaced by simple recipes. For example, the physics of AGN feedback is poorly understood, but nevertheless implemented with a relatively detailed recipe in certain SAMs (e.g. Croton et al. 2006; Monaco et al. 2007). Cattaneo et al. (2006) and Bower et al. (2006) have shown that simply suppressing cooling within massive haloes gives results which are very similar to the complex models mentioned above (see however the discussion in De Lucia et al. 2006). This means that the recipe for AGN feedback can be easily summarized by a range of halo mass and redshift for which the suppression is active. This fact cannot directly be seen from the detailed equations being used, and the effective range in halo mass where this suppression is active is not well examined.

A different limitation of SAMs is that some recipes are hard-wired into a complex simulation code and inter-connected in non-trivial ways. It can thus be difficult for users to change the functional form of these recipes. For example, cooling efficiencies are computed using information on the density profile of hot gas within haloes, combined with the physics of radiative cooling processes. There is no practical way to change the effective cooling efficiencies for ranges in halo mass and redshift, and to test its effect on the population of galaxies. In addition, testing a large number of very different recipes is nearly impossible, and it can be very hard or impossible to tune a SAM in order to match observations if no freedom in the functional forms is allowed. For example, as was shown by Fontanot et al. (2009), various SAMs are unable to reproduce the decrease of the specific SF rate as a function of stellar mass. Up to now, it has been unclear if this limitation is fundamental to the SAM approach or not. We will show below that once the functional shape of the recipes is relaxed, this observational feature can easily be reproduced.

Another minor disadvantage is that SAM recipes depend occasionally on a large chain of computational steps, which do not allow the reader to extract the actual values being used. For example, it is difficult to estimate the actual cooling and SF efficiencies used by a given SAM, which makes it nearly impossible to compare different SAMs easily.

In this work we develop a new approach for following a galaxy within a given merger-tree. We present a formalism that is more

simple and transparent than the usual implementation of a set of recipes, but on the other hand general and flexible enough so that model galaxies will reproduce the observational constraints accurately. We will show that it is possible to simplify the SAM considerably by only allowing the recipes to depend on halo mass and redshift. We find that this simplification does not reduce the level of complexity in the modeled galaxies, but it enables a very simple and transparent description of the various physical processes. As a second step, in order to keep the model general and flexible enough, we do not restrict the functional dependence of each process on the halo mass and redshift. This generalization greatly facilitates the tuning process. The formalism we propose is more compact than usual SAMs and can be represented by a few simple functions.

The main assumption in our formalism is that each physical process can be described well enough by its dependence on the host halo mass and redshift. Few SAM recipes are already following this assumption. For example, the SN feedback, including reheating, ejection and reincorporation of disk gas, only depends on the virial velocity and virial mass of the host halo in De Lucia & Blaizot (2007). There are other recipes which are in general not a simple function of halo mass and time. For example, the cooling efficiency is usually modeled in SAMs by following the density profile of the hot gas component, and computing the radius within which gas has had time to cool. By extracting cooling efficiencies and star formation efficiencies directly from the SAM of De Lucia & Blaizot (2007, hereafter DLB07) we test our main assumption and show that it is relatively accurate for all the recipes used in this model.

In this paper we use our formalism to explore the level of degeneracy inherent in current galaxy formation models. We try to examine very different models of galaxy formation that are all consistent with the observational data. We deliberately consider also some very extreme and physically less well motivated models in order to span a large range of solutions allowed by the observations. This will help us better to understand the degeneracies involved in SAMs. Specific questions can then be addressed by comparing the different modeled galaxies. For example, we derive the minimum cooling efficiencies which are consistent with the observed population of galaxies; we examine how much SN feedback is required in a model with instantaneous cold accretion at low halo masses; and we investigate a model in which star formation and cooling terminates after major mergers.

This paper is organized as follows. We start with a review of the current SAM ingredients and their uncertainties in section 2. In section 3 we present our general formalism and explain where it differs from previous works. Our approach is then compared with a state-of-the-art SAM in §4. Section 5 summarizes the various ingredients of each specific model we develop here. These models are further discussed in §6 where their results are compared to observational data. We discuss our results and summarize them in §7. Throughout this paper we use the cosmological model adopted by the Millennium simulation with  $(\Omega_m, \Omega_\Lambda, h, \sigma_8) = (0.25, 0.75, 0.73, 0.9)$ . We use  $\log$  to designate  $\log_{10}$ ,  $t$  is the time in Gyr since the big-bang.

## 2 MOTIVATION: UNCERTAINTIES IN SAM INGREDIENTS

In the models presented in this work we change both the absolute values and the parameterization of the efficiencies of star formation, SN feedback and cooling more freely than what is usually done in standard semi-analytical models. We also allow the pre-

scriptions for dynamical friction and merger-induced star-bursts to vary in part of our models. Here we give a brief explanation why we believe the standard recipes might be overly restrictive. Although these recipes may also be modified within standard SAMs, we argue that our formalism allows easier and better controllable changes.

### 2.1 Cooling

The efficiency with which the hot gas radiates and cools is normally not subject to any tuning in SAMs. Cooling efficiencies are calculated according to White & Frenk (1991), using cooling functions from Sutherland & Dopita (1993) and assuming an isothermal or NFW gas density profile<sup>1</sup>. This makes cooling very efficient, which is the reason that strong SN and AGN feedback are needed in all SAMs. Next to the dark matter physics, cooling rates are probably the most fundamental ingredient in SAMs and shape many of the secondary ingredients. However, various complications challenge the generally used cooling prescription.

The assumed density profile of the hot gas within haloes can greatly affect the cooling efficiencies, as cooling depends strongly on the local gas density. Only a few semi-analytical models differ from the standard prescription by assuming a different gas density profile (e.g. Cole et al. 2000) or considering the dynamical adjustment of the density profile (Cattaneo et al. 2006). However, as shown by McCarthy et al. (2008b) and Kaufmann et al. (2009), assuming a cored gas density profile instead of the commonly used steeper profiles greatly decreases cooling rates, and thus the need for strong AGN and SN feedback both in clusters and Milky-Way sized haloes. Various ‘preheating’ mechanisms which may decrease the central density and entropy of the gas accordingly have been suggested. These include: gravitational pancaking (Mo et al. 2005); early quasar feedback (Lu & Mo 2007; McCarthy et al. 2008b); feedback produced by an early star burst (Tang et al. 2009); and the early chaotic assembly phase of haloes (Kereš et al. 2009).

At gas densities typical of the hot gas haloes around low mass galaxies, the assumption of collisional ionization equilibrium that is used to calculate the Sutherland & Dopita (1993) cooling functions may break down (Gnat & Sternberg 2007; Wiersma et al. 2009). This may decrease cooling rates by roughly an order of magnitude. The effect could even be more dramatic in the presence of radiation emanating from an AGN or young stars residing in the galaxy (see the SAM by Benson et al. 2002). Departing from non-solar abundance ratios can also affect cooling rates by a factor of a few (Smith et al. 2008; Wiersma et al. 2009).

Finally, as SAMs only assume spherical density gas profiles, the rich three-dimensional effects induced by e.g. cold filaments cannot be addressed in detail. Several comparisons were made between hydrodynamical simulations and the simplified SAM approach, mostly finding a reasonable agreement (Benson et al. 2001; Yoshida et al. 2002; Helly et al. 2003; Cattaneo et al. 2007). On the other hand, Viola et al. (2008) claim that details in the calculation might change cooling rates by an order of magnitude at high redshift.

<sup>1</sup> Note however, that some freedom is left for fixing the age of the halo which determines the radius inside which gas is allowed to cool. The age of the halo might correspond to the age of the universe, the last major merger event, the first time the halo was identified, or the dynamical time of the halo.

## 2.2 Star formation

Most SAMs assume that the efficiency with which cold gas is converted into stars is inversely proportional to the dynamical time of the disk. In some models a critical threshold density of the cold gas is used, below which stars are not able to form. These laws replace a large amount of complicated physics which cannot be traced in detail within SAMs. Modern observational studies of SF in local galaxies stress that different components may play a role in regulating SF within disks: the atomic gas (H I), molecular gas (H<sub>2</sub>, found inside giant molecular clouds), and stars, which are all interconnected (e.g. Wong & Blitz 2002; Fumagalli et al. 2009).

Empirical laws may be derived from low redshift observations and can then be used by SAMs to model galaxies at high- $z$  as well. SAMs generally make use of the empirical Schmidt-Kennicutt law (Kennicutt 1998; Schmidt 1959), which relates the star formation rate to the total cold gas surface density, i.e. the *sum* of the H I and H<sub>2</sub> masses. However, the uncertainty inherent in this law is large (Boissier et al. 2007). Both Wong & Blitz (2002) and Leroy et al. (2008) argue in favour of a Schmidt law based on the surface density of the molecular gas alone. Leroy et al. (2008) found that in relation to the total gas density, SF efficiencies can vary between  $10^{-2}$  up to  $1 \text{ Gyr}^{-1}$ . Modeling the two phases of cold gas seems difficult as it involves pressure estimates within the disk (Blitz & Rosolowsky 2006), and the formation physics of giant molecular clouds (but see Obreschkow et al. (2009) and Dutton & van den Bosch (2009) for recent attempts). Lastly, the existence of a threshold in gas density for SF that was suggested by Kennicutt (1998) is still debated (Schaye 2004; Boissier et al. 2007). In particular, including such a threshold might not be necessary if SF is assumed to depend on the molecular gas density instead of the total gas density, since the molecular fraction is suppressed at low densities (Dutton & van den Bosch 2009).

As mentioned above, observations find correlations between SF rates and the cold gas surface density and not the total mass of cold gas in the disk. This is modeled in most SAMs by computing the disk radius and disk dynamical time using the halo spin parameter, and assuming a smooth gas distribution. We do not follow this method here, which essentially means that we assume some average disk radius in a fixed bin of halo mass and time. This seems to be a reasonable assumption as the spin parameter shows a small dependence on halo mass, along with a large general scatter (Bett et al. 2007). This means that not considering the spin parameter mainly reduce the scatter in the SF efficiency. We will show below that this does not change the properties of the model galaxies significantly.

## 2.3 SN feedback

Some sort of feedback by stellar processes is an ingredient in virtually all semi-analytical and hydrodynamical models of galaxy formation. Suggested feedback processes include heating by SN or photoionization radiation of massive stars, momentum deposition by SN, stellar winds, expanding bubbles of photoionized H II regions, and absorption and scattering of starlight by dust grain (see the discussion in Murray et al. 2009, and references therein). Most SAMs only attempt to model the feedback by SN, and its detailed implementation differs greatly between different models and is not well constrained by observations (see e.g. the discussion in De Lucia et al. 2004). Many SAMs combine two different modes of SN feedback: reheating, in which cold disk gas is transferred back into the hot mode with a rate equal to a few

times the star formation rate; and ejection, in which part of the hot or cold gas is assumed to leave the halo, where it only becomes available again for cooling after roughly one dynamical time (e.g. De Lucia et al. 2004; Croton et al. 2006; Bower et al. 2006; Somerville et al. 2008). Other SAMs do not include any ejection (e.g. Kauffmann et al. 1993), or assume that part of the ejected gas can never come back to the hot phase (Somerville & Primack 1999; Bertone et al. 2007).

The efficiency of reheating and ejection adopted in SAMs is mainly determined by the need to reconcile high cooling rates with the low number of stars observed. It is only vaguely based on direct observational constraints of outflows in galaxies. For example, the reheating efficiencies used by DLB07 are based on the observations by Martin (1999) of strongly star-bursting systems, which are a population of galaxies with properties at the extreme of the distribution. However, there are indications that outflows can only be generated above some limiting star formation density (e.g. Strickland & Heckman 2009) and that it is thus not appropriate to apply the same recipe to all galaxies. Note also that stellar feedback by other sources than SN might scale very differently with galaxy properties.

## 2.4 Merger-induced SF bursts

The efficiency of SF in merger induced bursts is defined as the fraction of cold gas converted into stars during the merger event, after subtracting the amount of stars produced in the quiescent mode. Numerical simulations which include SF, feedback and cooling can be used to quantify the SF efficiency (Cox et al. 2006, 2008). Although the efficiency computed by these simulations usually agrees with the standard recipes used in SAMs (Eq. 14), the dependence on additional parameters like the shape of the orbit and bulge mass might propagate systematic errors into the SAMs. In addition, the galaxies being simulated are generally assumed to be typical low-redshift galaxies. Efficiencies at high  $z$  are still poorly constrained.

Numerical simulations indicate that the time-scales for burst duration depend strongly on the feedback recipe being used. For example, Cox et al. (2008) examine two different feedback recipes which deviate by a factor of  $\sim 2 - 5$  in the burst time-scale. In general, the burst duration in these simulations can vary between 0.1 and 2 Gyr, and its behaviour at high- $z$  has yet to be investigated.

In most current SAMs, the SF burst is assumed to start *after* the galaxies have coalesced into one remnant galaxy. This is very different from numerical simulations where bursts can be triggered at intermediate close passages between galaxies. This effect may limit the SAM's ability to use proper time-scales for bursts at the accurate time within the merger event. In order to use long time-scales which mimic few several small bursts, one may need to decrease the dynamical-friction time, so that the burst timing will be accurate.

## 2.5 Dynamical friction timescales

In order to properly model the behaviour of satellite galaxies, all SAMs need an analytical prescription for dynamical friction. If merger trees from  $N$ -body simulation are used, such a prescription is necessary because subhaloes may fall below the resolution limit due to tidal stripping, which means their orbits cannot be traced anymore. If FOF merger trees are used, on the other hand, it is needed as soon as a galaxy becomes a satellite since no orbital information is available thereafter.

The time it takes galaxies to sink into the center of the host potential well and then merge with the central galaxy is usually estimated by the Chandrasekhar formula (see Eq. 12). Recent studies are able to estimate the timescale more accurately using high resolution simulations and taking into account detailed orbital information (Boylan-Kolchin et al. 2008; Jiang et al. 2008). However, the orbital information is usually not considered by SAMs. An additional problem arises because it is unclear how the mass of the sinking galaxy/subhalo should be estimated; using the mass of the subhalo when it was last identified or the baryonic mass of the galaxy instead changes the dynamical friction timescales significantly. This can be seen from Eq. 12 below, where the dependence on the satellite mass is strong.

These problems may bias the estimates of the dynamical friction timescale high or low. Indeed, DLB07 had to increase their dynamical friction timescales by a factor of 2 compared to Croton et al. (2006) in order to fit the observational data. It may well be that other models of galaxy formation would require a higher correction factor, or one that depends non-trivially on time or halo mass.

## 2.6 Environmental Effects

In most SAMs galaxies lose their entire hot gas reservoir as soon as they become satellites inside a FOF group, and this hot gas then becomes directly available for cooling to central galaxies. This leads to satellites which are too red compared to observations (e.g. Weinmann et al. 2006; Baldry et al. 2006; Kimm et al. 2009) and may also lead to an overestimate of hot gas that is available to cool to the central galaxy. An improved SAM which is more successful in reproducing observations in this respect has been presented by Font et al. (2008).

Environmental effects are subject to large uncertainties, as efficiencies of ram-pressure and tidal stripping are not well known and depend on the detailed orbit of the satellite (McCarthy et al. 2008a). Environmental effects are also strongly linked to the detailed prescription for SN feedback in satellite galaxies (e.g. Okamoto et al. 2009) and the resulting gas density profiles of the satellites. Furthermore, it is unclear if stripped satellite gas should be made available for cooling to the central galaxy in all cases; a large fraction of galaxies commonly identified as satellites in FOF groups reside outside the virial radius of the central galaxy which makes it unlikely that their gas reservoir is immediately added to the gas reservoir of the central galaxy. One promising approach seems to be to strip the diffuse gas around satellites in proportion to the dark matter (Weinmann et al. 2009). Here, we use a more simplified method and strip the hot gas around satellites exponentially, with an exponential timescale of 4 Gyr. Note that we do not include a prescription for the disruption of the cold gas or stellar component of the galaxy, which might be important for reproducing the correlation function on small scales or halo occupations statistics (e.g. Henriques et al. 2008; Kim et al. 2009). Such a recipe could however easily be incorporated into our methodology.

## 3 THE FORMALISM

In this section we describe in detail the formalism we develop for modeling the formation and evolution of galaxies. This formal-

ism is very general and is not restricted to a given model<sup>2</sup>. Consequently, we do not describe in this section the functional shape of each process, or the parameters being used. The motivation in setting up this approach is to have a methodology which is conceptually simple, includes most of the physical processes, and is general enough so that very different models can be described within the same language.

### 3.1 Merger trees

We use merger trees extracted from the Millennium  $N$ -body simulation (Springel et al. 2005). This simulation was run using the cosmological parameters  $(\Omega_m, \Omega_\Lambda, h, \sigma_8) = (0.25, 0.75, 0.73, 0.9)$ , with a particle mass of  $8.6 \times 10^8 h^{-1} M_\odot$  and a box size of  $500 h^{-1} \text{Mpc}$ . The merger trees used here are based on *subhaloes* identified using the SUBFIND algorithm (Springel et al. 2001). They are defined as the bound density peaks inside FOF groups (Davis et al. 1985). More details on the simulation and the subhalo merger-trees can be found in Springel et al. (2005) and Croton et al. (2006). The mass of each subhalo (referred to as  $M_h$  in what follows) is determined according to the number of particles it contains. Within each FOF group the most massive subhalo is termed the central subhalo of this group. Throughout this paper we will use the term ‘haloes’ for both subhaloes and the central (sub)halo of FOF groups. In general, our approach could also be based on FOF merger-trees, which might be generated by Monte-Carlo realizations.

### 3.2 Quiescent evolution

Each galaxy is modeled by a 3-component vector,

$$\mathbf{m} = \begin{pmatrix} m_{\text{star}} \\ m_{\text{cold}} \\ m_{\text{hot}} \end{pmatrix}, \quad (1)$$

where  $m_{\text{star}}$  is the mass of stars,  $m_{\text{cold}}$  is the mass of cold gas, and  $m_{\text{hot}}$  is the mass of hot gas distributed within the host halo. We use the term ‘quiescent evolution’ to mark all the evolutionary processes of a galaxy, except those related to mergers. The quiescent contribution due to each physical process to the components of  $\mathbf{m}$  is designated by square brackets. For example,  $[\dot{m}_{\text{cold}}]_{\text{feedback}}$  is the change in the mass of the cold gas due to feedback.

Fresh supply of gas into the galaxy is provided by smooth accretion along with the growth of the dark-matter halo mass. The rate of cold and hot accreted gas is modeled by

$$[\dot{m}_{\text{cold}}]_{\text{accretion}} = f_{\text{ca}} \cdot \dot{M}_h \quad (2)$$

$$[\dot{m}_{\text{hot}}]_{\text{accretion}} = f_{\text{ha}} \cdot \dot{M}_h. \quad (3)$$

Here  $\dot{M}_h$  is the rate of dark-matter smooth accretion which does not include mergers with resolved progenitors (if  $\dot{M}_h < 0$  we use a gas accretion rate of zero). The fractions  $f_{\text{ca}}$  and  $f_{\text{ha}}$  are assumed to be some functions of the halo mass and redshift, with the standard values being 0 and 0.17 respectively (note that the sum  $f_{\text{ca}} + f_{\text{ha}}$  corresponds to the cosmic baryon fraction in all the models presented in this paper).

The mass of cold gas may increase due to radiative cooling of the hot gas. This cooling rate is modeled by

<sup>2</sup> We use the term ‘formalism’ to describe the general methodology we use, specific solutions that can be applied within this framework are called ‘models’

$$[\dot{m}_{\text{cold}}]_{\text{cooling}} = -[\dot{m}_{\text{hot}}]_{\text{cooling}} = f_c \cdot m_{\text{hot}}. \quad (4)$$

The cooling efficiency,  $f_c = f_c(M_h, t)$ , is a function of the host halo mass  $M_h$  and the cosmic time  $t$  only, and given in units of  $\text{Gyr}^{-1}$ . This is a significant simplification over other approaches, neglecting the additional dependence on the gas metallicity and density, which is only implicitly included within the halo mass dependence. We will examine the difference between our approach and the usual SAM recipe in section 4 below.

We assume that the SF rate is proportional to the amount of cold gas,

$$[\dot{m}_{\text{star}}]_{\text{SF}} = -[\dot{m}_{\text{cold}}]_{\text{SF}} = f_s \cdot m_{\text{cold}}, \quad (5)$$

where  $f_s = f_s(M_h, t)$  is a function of the halo mass and time, in units of  $\text{Gyr}^{-1}$ . For each SF episode we assume that a constant fraction of the mass is returned back to the cold gas component due to SN events and stellar winds. This recycling is assumed to be instantaneous, and contributes

$$[\dot{m}_{\text{cold}}]_{\text{recycling}} = -[\dot{m}_{\text{star}}]_{\text{recycling}} = R [\dot{m}_{\text{star}}]_{\text{SF}}. \quad (6)$$

Here  $R$  is a constant with typical values in the range 0.4 – 0.7. Stellar population synthesis models (e.g. Bruzual & Charlot 2003) predict a recycling time of approximately 1 Gyr. As a result, our assumption of instantaneous recycling might change the cold gas fractions inside galaxies, and consequently the values of SF rates. We plan to test this assumption in a future work.

Gas can be heated due to SN explosions and move from the cold gas into the hot. Assuming that SN events immediately follow star formation, this feedback should be in proportion to the SF rate,

$$[\dot{m}_{\text{hot}}]_{\text{feedback}} = -[\dot{m}_{\text{cold}}]_{\text{feedback}} = f_d [\dot{m}_{\text{star}}]_{\text{SF}} = f_d f_s m_{\text{cold}}. \quad (7)$$

Here feedback is modeled by a function of halo mass and time  $f_d = f_d(M_h, t)$ . Other feedback mechanisms like feedback by AGN are not in general proportional to the SF rates, and are not explicitly modelled in our approach (although may be regarded as implicitly included in the net cooling rates). Note that we assume feedback is proportional to the value of SF rate before recycling is considered. We allow some of the gas to be ejected from the hot gas phase out of the halo:

$$[\dot{m}_{\text{hot}}]_{\text{ejection}} = -f_e [\dot{m}_{\text{star}}]_{\text{SF}} = -f_e f_s m_{\text{cold}}, \quad (8)$$

where again the efficiency  $f_e$  is a function of halo mass and time only. This gas is never allowed to fall back, so the baryonic mass inside haloes might be lower than the universal average.

To conclude, each process is described by one function which depends on the host halo mass and time only. All processes discussed in this section can be written in a compact form by using the following differential equations:

$$\dot{\mathbf{m}} = \mathbf{A}\mathbf{m} + \mathbf{B}\dot{M}_h, \quad (9)$$

where

$$\mathbf{A} = \begin{pmatrix} 0 & (1-R)f_s & 0 \\ 0 & -(1-R)f_s - f_d f_s & f_c \\ 0 & f_d f_s - f_e f_s & -f_c \end{pmatrix} \quad (10)$$

$$\mathbf{B} = \begin{pmatrix} 0 \\ f_{\text{ca}} \\ f_{\text{ha}} \end{pmatrix}. \quad (11)$$

We emphasize that  $\mathbf{A}$  and  $\mathbf{B}$  do not depend on  $\mathbf{m}$ , resulting in a set of linear inhomogeneous differential equations.

Photoionization heating of the intergalactic medium is assumed to suppress the amount of cold gas available for SF within low mass haloes. This effect is critical for modeling the formation of dwarf galaxies. The minimum halo mass of  $\sim 2 \times 10^{10} h^{-1} M_\odot$  in the Millennium simulation, which we use here, does not allow a detailed modeling of small mass galaxies. Consequently, we use the simple assumption that all the gas is kept hot until redshift 7 when cooling and SF processes can start. This information is included in the components of  $\mathbf{A}$  and  $\mathbf{B}$ .

### 3.3 Mergers and satellite galaxies

Satellite galaxies are defined as all galaxies inside a FOF group except the main galaxy inside the central (most massive) (sub)halo. Once the (sub)halo corresponding to a given galaxy cannot be resolved anymore, it is considered as having merged with the central halo. Due to the effect of dynamical friction, the galaxy is then assumed to spiral towards the center of the FOF group and merge with the galaxy in the central halo after a significant delay time.

At the last time the dark matter (sub)halo of a satellite galaxy is resolved we compute its distance from the central halo ( $r_{\text{sat}}$ ), and estimate the dynamical friction time using the formula of Binney & Tremaine (1987),

$$t_{\text{df}} = \alpha_{\text{df}} \cdot \frac{1.17 V_v r_{\text{sat}}^2}{G m_{\text{sat}} \ln(1 + M_h/m_{\text{sat}})}. \quad (12)$$

For  $m_{\text{sat}}$  we use the baryonic (stars + cold gas) mass of the satellite galaxy plus the minimum subhalo mass which can be resolved by the Millennium simulation.  $V_v$ ,  $M_h$  are the virial velocity and mass of the central subhalo. We add a free parameter  $\alpha_{\text{df}}$  to this equation in order to reflect the uncertainties discussed in section 2.5. If a satellite falls into a larger halo together with its central galaxy we update  $t_{\text{df}}$  for both objects according to the new central galaxy.

Given the simplifications used in the previous sections, one might argue that  $t_{\text{df}}$  should be formulated a function of halo mass and redshift only as well, without assuming any a-priori parameterization. However, it is very likely that such a function should also depend on the satellite mass,  $m_{\text{sat}}$ , resulting in at least three variables for this function. This variable space might be too large for finding useful constraints. In addition, the level of accuracy in dynamical friction estimates is becoming high enough, and will leave less room for freedom in the near future.

While satellite galaxies move within their FOF group, they suffer from mass loss due to tidal stripping. The hot gas halo of the satellite should be the first baryonic component that will be stripped. We assume that all satellite galaxies are losing their reservoir of hot gas exponentially, on a time scale of a few Gyr. In order to properly model this stripping we modify  $\mathbf{A}$  by subtracting a constant  $\alpha_h$  from one of its elements:

$$\mathbf{A}_{\text{sat}}(3, 3) = -f_c - \alpha_h. \quad (13)$$

Note that a constant in the diagonal of  $\mathbf{A}$  gives an exponential time dependence. However, the actual dependence of  $m_{\text{hot}}$  on time for satellite galaxies is more complicated due to contributions from feedback and cooling. In general the parameter  $\alpha_h$  should depend on the dynamical time of the host halo. For simplicity we consider it to be a constant here.

When galaxies finally merge we assume that a SF burst is triggered. We follow Mihos & Hernquist (1994); Somerville et al. (2001); Cox et al. (2008) and model the amount of stars produced by

$$\Delta m_{\text{star}} = \alpha_b \left( \frac{m_1}{m_2} \right)^{\alpha_c} (m_{1,\text{cold}} + m_{2,\text{cold}}). \quad (14)$$

Here  $m_i$  are the baryonic masses of the progenitor galaxies (cold gas plus stars),  $m_{i,\text{cold}}$  is their cold gas mass, and  $\alpha_b, \alpha_c$  are constants.

The efficiency is computed *before* applying the recycling factor  $R$ , so the net amount of stars added to the galaxy is  $\Delta m_{\text{star}}(1 - R)$ . SF in bursts are accompanied by the same SN feedback as used for the quiescent mode of SF. The burst duration  $\sigma_{\text{burst}}$ , may vary between tens of Myr to a few Gyr depending on the merger mass ratio, and whether multiple bursts are considered or just the main peak (Cox et al. 2008). We will specify below the time-scale adopted for each model.

### 3.4 Usage: degrees of freedom, tuning, code

The number of degrees of freedom available for tuning is much larger here than in any other SAM which assumes some fixed functional form for the recipes used. The quiescent evolution part includes six functions,  $f_c, f_d, f_s, f_e, f_{ca}, f_{ha}$  where each may have any dependence on halo mass and time. There are additional few constants:  $R, \alpha_{\text{df}}, \alpha_h, \alpha_b, \alpha_c$  which are mostly related to mergers and satellite treatment. The large freedom inherent in the formalism allows us to incorporate very different models, but it demands some starting point when tuning the model against observations. As will be demonstrated below, for each model developed here most of the free parameters are fixed a-priori and are not tuned. Once a set of such assumptions is made we can explore the freedom in one or two components, and try to match the observational data.

Our code is available for public usage through the internet (see <http://www.mpa-garching.mpg.de/galform/sesam>). Users are allowed to use any functional shapes for the quiescent ingredients by loading tables of efficiency values as a function of time and halo mass. Alternatively, simple power-law functions can be used. The current public version applies the model to a small simulation volume<sup>3</sup> and provides results in just a few seconds. It also automatically compares the model results to observation.

In order to solve Eqs. 9 – 11 we divide the time-step between each simulation snapshot (which is roughly 250 Myr in the Millennium simulation used here) into 20 smaller steps ( $\sim 12$  Myr each). In each such small step we compute the incremental addition to the mass of stars, cold gas, and hot gas. We checked that using different number of time-divisions (5-100) does not change the results of our models significantly. This means that at any time-step the change in the masses of the galaxy components is small, and the models are numerically converged. An error message will appear in case the recipes for quiescent evolution produce negative masses. In this case, either the time-step, which is a tunable parameter, has to be increased, or the recipes have to be adapted. We found that convergence is achieved once the efficiencies values are smaller than one over the time-step size.

Unlike in the quiescent evolution, negative masses are occasionally produced by our recipes describing star-bursts. Our models usually assume that these bursts occur rapidly on time-scales of few tens of Myr. As a result, the feedback efficiency might be so high that it would be able to heat up more cold gas than is actually present within the galaxy. In these cases we simply limit the amount

of heated gas to the amount of cold gas available after producing the stars in the burst.

## 4 COMPARISON TO A FULL SAM

In this section we compare our formalism to a full semi-analytical model (SAM). We examine how the results of the SAM change if the recipes are simplified to depend only on halo mass and redshift. The resulting change in the properties of the simulated galaxies will hint at the level of complexity that can be achieved by our approach. This will also indicate if our formalism can serve as a common language to describe other SAMs published in the literature.

We choose to analyze as a reference the SAM developed by DLB07. This makes the comparison simple because the same set of merger-trees are being used, and because the results of DLB07 are publicly available. We introduce a model which follows the formalism introduced in section 3 and resembles DLB07. It will be named ‘Model 0’ hereafter. Our general approach is different from the DLB07 model mainly in parts related to the quiescent evolution. Other components of Model 0 which are related to mergers and satellite galaxies can be easily matched to resemble the details of the DLB07 model. We list these minor adjustments below:

- Dynamical friction: A few modifications to Eq. 12 are made in order to closely follow DLB07: Within Model 0,  $m_{\text{sat}}$  is the dark-matter halo mass of the satellite galaxy at the last instance when it was still resolved instead of the baryonic mass of the satellite used in other models in §5 below. We also do not allow  $r_{\text{sat}}$  to be larger than the virial radius of the central galaxy and we use  $\alpha_{\text{df}} = 2$ .
- Satellite stripping: we set  $\alpha_h$  from Eq. 13 to a very large number. This makes galaxies lose their hot gas to the central galaxy within the FOF group immediately as they enter the group.
- Merger-induced SF bursts: once the galaxies merge, the efficiency of SF bursts is implemented according to Eq. 14 with  $\alpha_b = 0.56$  and  $\alpha_c = 0.7$ .

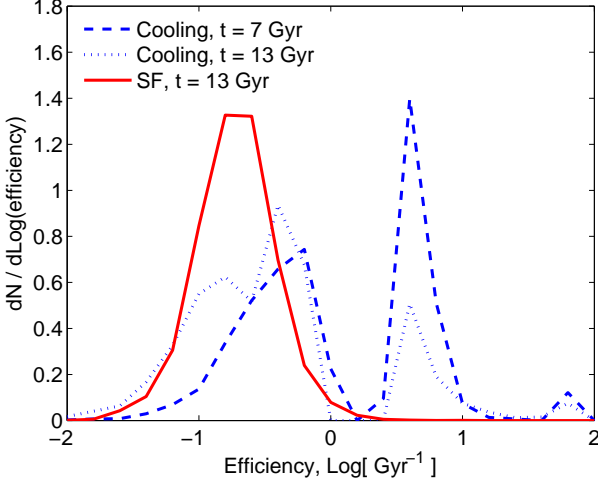
We do not modify our implementation of reionization in order to mimic DLB07 accurately, but keep it as described in our section 3. We verified that changing the details of this recipe does not affect the results that we will show below.

### 4.1 Model 0: ingredients of the quiescent evolution

A galaxy within the DLB07 model is assumed to have four main components: hot gas, cold gas, stars, and ejected gas. The ejected gas is assumed to have been ejected out of the hot gas halo due to the energy input by SN feedback. It will only be re-incorporated into the hot gas (and be susceptible to cooling again) after a certain delay time. The inclusion of such a phase thus effectively decreases the amount of gas that can cool to the galaxy. The ejected gas component is not a part of our formalism as presented in section 3 because it will not be used in the new models we will develop below. However, we can easily add it here and change the number of components in the model. This is implemented by replacing Eq. 1 with:

$$\mathbf{m}_D = \begin{pmatrix} m_{\text{star}} \\ m_{\text{cold}} \\ m_{\text{hot}} \\ m_{\text{eject}} \end{pmatrix}. \quad (15)$$

<sup>3</sup> We use the Milli-Millennium simulation, which was run with the same parameters as the full Millennium simulation, but within a box size of  $62.5 \text{ Mpc } h^{-1}$  (Lemson et al. 2006).



**Figure 1.** The full distribution of efficiencies for a given halo mass and time, as extracted from the DLB07 model. We plot the SF efficiency ( $f_s$ ) in solid line for galaxies identified at  $z = 0$ .  $f_c$  is plotted with dotted (dashed) line for  $t = 13$  (7) Gyr after the big-bang. All galaxies are central inside their FOF groups, with host subhalo mass which equals  $10^{11} h^{-1} M_\odot$ . The distribution of cooling efficiency is bi-modal (see text).

We also need to increase the number of differential equations:

$$\mathbf{A}_D = \begin{pmatrix} 0 & (1-R)f_s & 0 & 0 \\ 0 & -(1-R)f_s - f_d f_s & f_c & 0 \\ 0 & f_d f_s - f_e f_s & -f_c & f_{re} \\ 0 & f_c f_s & 0 & -f_{re} \end{pmatrix}. \quad (16)$$

Here  $f_{re}$  is the efficiency for re-incorporation of gas from the ejected phase back to the hot gas within the halo. We use

$$\mathbf{B}_D = \begin{pmatrix} 0 \\ 0 \\ 0.17 \\ 0 \end{pmatrix}, \quad (17)$$

which means that all the fresh gas that is accreted along with the smooth dark-matter is added to the hot phase first.

Some of the ingredients of  $\mathbf{A}_D$  are already given by DLB07 simply as functions of halo mass and time. These include the feedback efficiency  $f_d = 3.5$ ; as well as ejection efficiencies ( $f_e$  and  $f_{re}$ ) which are described by Croton et al. (2006), Eqs. 20 & 21<sup>4</sup>. On the other hand, the cooling ( $f_c$ ) and SF ( $f_s$ ) efficiencies are complicated and depend on various extra parameters. In order to replace these recipes with functions of halo mass and time we run the DLB07 model over the Milli-Millennium simulation. We record SF and cooling efficiencies at each time-step for all galaxies which are central within their FOF group. This ensures that our cooling is not affected by the specific treatment of satellite galaxies. Median values are then computed for bins of halo mass and redshift. We describe below some details of these recipes, and the values we adopt.

The cooling efficiency in the DLB07 model is computed according to the growth of the ‘cooling radius’, which describes the maximum radius at which the hot gas density is still high enough for the cooling to occur within a halo dynamical time. This radius

is determined using the efficiency of radiative cooling as given by Sutherland & Dopita (1993), which depends also on the metallicity of the hot gas. Moreover, as the density profile of the hot gas is assumed to adjust itself instantaneously to the total amount of hot gas, cooling rates goes like  $f_c m_{\text{hot}}^{1.5}$  and not like  $f_c m_{\text{hot}}$  as assumed here. As a result, cooling efficiencies are not simply a function of halo mass and time. In addition, AGN feedback can decrease the cooling efficiency in the DLB07 model, and practically shuts off all cooling for high mass haloes.

In Fig. 6 we plot median values of cooling efficiencies from the DLB07 model according to the procedure outlined above. For each galaxy we first average the efficiency values within one snapshot, going over 20 time-steps which are used to integrate the model equations. As seen from the figure, gas is not allowed to cool in haloes with masses above  $\sim 10^{12} h^{-1} M_\odot$ . This is due to AGN feedback implemented by DLB07. For lower halo masses the cooling efficiency is almost constant with halo mass, and increases dramatically only at early times ( $z > 1$ ,  $t < 7$  Gyr). In order to mimic accurately these cooling efficiencies we take the specific numerical values shown in Fig. 6 and use them to define  $f_c$  in our model. These values are also given explicitly in Appendix A. We have checked the dependence of cooling on gas metallicity in DLB07 and found it to be small for a given halo mass and redshift.

Efficiencies shown in Fig. 6 are median values computed from a large statistical sample of galaxies. It is interesting to examine the full distribution of  $f_c$ , for a given bin of time and mass. In Fig. 1 we show the distribution of cooling efficiencies from DLB07, for haloes of mass  $\sim 10^{11} h^{-1} M_\odot$ . Surprisingly, the cooling efficiency is bi-modal, showing two distinct peaks, even though the halo mass is within the ‘fast cooling’ regime, where cooling should be highly effective (see Birnboim & Dekel 2003; Croton et al. 2006). We explored this feature in detail, and found that the bi-modality comes from the evolution of the cooling radius with time. All galaxies that live inside small mass haloes experience periods of fast and slow cooling rates within a few time-steps (the fast cooling episode usually last one time-step,  $\sim 10$  Myr). This is due to the fact that the cooling radius is proportional to the square root of the mass of the hot gas, and gas is assumed to be distributed instantaneously within the halo (see Croton et al. 2006, Eq. 3 & 4).

Both DLB07 and our model assume that the SF is proportional to the mass of cold gas in the galaxy. However, DLB07 uses an estimate of the disk radius which depends on the spin parameter of the halo, and cannot be represented by a simple dependence on halo mass and time. In addition, DLB07 incorporate a threshold mass of cold gas, below which stars cannot form. This threshold mass is defined by DLB07 to be a function of halo mass and time only. Consequently, in this section we will use a modified SF law, namely

$$\text{SF}_D : \dot{m}_{\text{star}} = f_{s,D} \cdot (m_{\text{cold}} - m_{\text{crit}}). \quad (18)$$

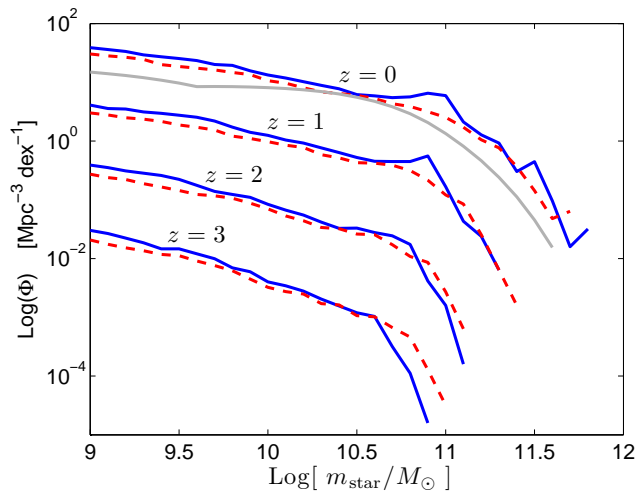
Using the above method to record cooling and SF efficiencies we found that the median SF efficiency used by DLB07 is accurately described by an analytic fitting function:

$$f_{s,D} = M_h^{1.04} t^{-0.82} 10^{-6.5-0.0394[\log M_h]^2}. \quad (19)$$

It should be noted that the two contributions from  $M_h$  almost cancel each other out, which means that the overall dependence on  $M_h$  is small, as can be seen in Fig. 7. In Fig. 1 we show the full distribution of SF efficiencies for a given bin of mass and time. This distribution is relatively narrow, and does not show any significant features.

<sup>4</sup> We found that  $f_e = 27.48 M_{10}^{-0.66} t^{0.65} - 3.5$  and  $f_{re} = 2.86 t^{-0.927}$ . Here  $M_{10}$  is the subhalo mass in units of  $10^{10} h^{-1} M_\odot$  and  $t$  is in Gyr.





**Figure 2.** Stellar mass functions for Model 0 (solid) compared to DLB07 (dashed). Both samples are based on the Milli-Millennium simulation. The thick gray line at  $z = 0$  shows the observational results of Li & White (2009). For each different redshift we multiply the y-axis by a constant for clarity.

The threshold mass used by DLB07 can be parameterized as a function of halo mass and time by

$$m_{\text{crit}} = f_{\text{s,D}}^{-1} 10^{-8.61} M_h^{0.68} t^{-0.515}. \quad (20)$$

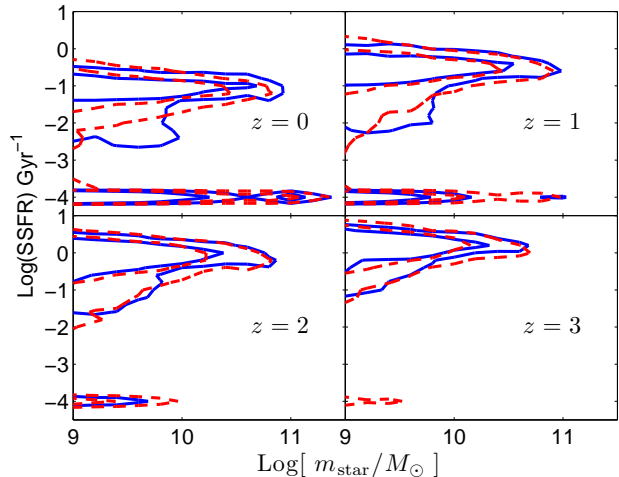
Here  $t$  is the time since the big-bang in Gyr, and  $M_h$  is the subhalo mass in units of  $h^{-1} M_\odot$ . We use a recycling factor,  $R = 0.43$ , as is done in DLB07.

#### 4.2 Model 0: simulated galaxies

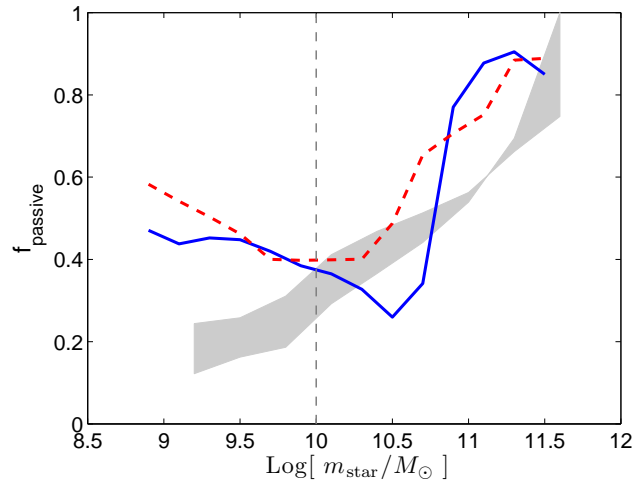
Our model is based on a completely different numerical code than the model of DLB07. In order to perform the comparison below we applied our Model 0 to the same merger-trees as in DLB07 (i.e. the Milli-Millennium simulation, with box size of  $62.5 \text{ Mpc } h^{-1}$ ). We use recipes according to our basic formalism presented in section 3, with details as explained in the previous sections. We note that occasionally the mass of a component in a galaxy gets negative when applying the recipes above. In these cases we set the negative mass to zero as is done in DLB07.

We compare stellar mass functions from the original DLB07 to the galaxies in our simplified model in Fig. 2. For most masses and redshifts the stellar mass functions are very similar. One noticeable difference can be seen around  $10^{11} M_\odot$ , where our model has a peak at all redshifts. This peak might be related to the fact that we use the median value of the cooling efficiency to represent a complex distribution.

In Fig. 3 we compare specific SF rates for galaxies in our model to those from the DLB07 model. The results are very similar. Interestingly, the *scatter* in SF rate for a given stellar mass is nicely reproduced by our model. This shows that most of the scatter in the properties of galaxies is not introduced by the scatter in the efficiencies of the underlying processes, but instead caused by the large variations in the formation histories of dark-matter haloes. The fraction of passive galaxies is shown in Fig. 4 and also follows DLB07 reasonably well. We note that the results of SF rates improve for higher redshifts. Lastly, we show in Fig. 5 the average fraction of cold gas in Model 0 versus DLB07. The agreement is



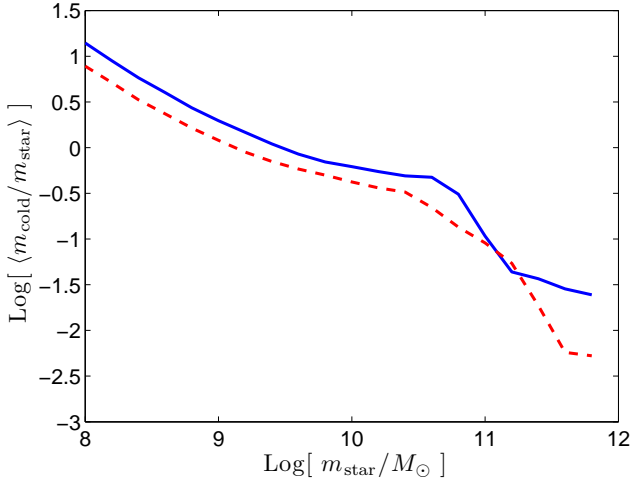
**Figure 3.** Specific SF rate (SSFR) versus stellar mass for various redshifts as indicated. Model 0 galaxies are plotted in solid lines, DLB07 galaxies are plotted in dashed lines. Contour levels are at 0.01 and 0.1, when the normalization is such that the integral over all values gives unity. A minimum SSFR of  $10^{-4} \text{ Gyr}^{-1}$  is used for all galaxies in this plot.



**Figure 4.** Passive fraction of galaxies at  $z = 0$ . Solid line shows Model 0 galaxies, dashed line shows DLB07. Passive galaxies are defined to have SSFR lower than the dashed line in Fig. 11 panel I, which is also defined in Eq. 25. Observational data is plotted in shaded gray region and is taken from Salim et al. (2007); Schiminovich et al. (2007).

again reasonable, with the exception of the feature visible at a stellar mass of  $10^{11} M_\odot$ . This feature is likely related to the feature in the stellar mass function discussed above.

All the results plotted here show that our model galaxies are very similar to the galaxies produced by DLB07. Apparently, using efficiencies which depend on halo mass and time does not seem to reduce the level of complexity of the model. There are a few deviations between the models, which is not unexpected given the extent to which we have simplified DLB07. Note that it is likely that our simplified model could be brought to even closer agreement with DLB07 if we allowed some freedom in choosing cooling rates, i.e. use cooling rates which deviate slightly from the median of the distribution. Indeed, in section 5 we show that we can obtain



**Figure 5.** Average gas fractions,  $m_{\text{cold}}/m_{\text{star}}$ , for Model 0 (solid) and DLB07 (dashed) galaxies. We plot the log of the average over all galaxies at redshift zero, for a given stellar mass.

smooth stellar mass functions when using a single value for the cooling efficiency in each stellar mass and time bin; the features seen in the stellar mass function and cold gas fraction at  $10^{11} M_{\odot}$  are thus not related to the fact that we do not use a bimodal cooling efficiency like DLB07 do.

## 5 THE MODELS

We use the formalism presented in section 3 and develop specific models that will be able to produce galaxies which agree well with the observational data. In this section, we present five models that represent a large range of scenarios. We discuss the motivation for each model, and the various ingredients being used. We compare the results of the models to observation in section 6. It should be noted that our tuning is not meant to be perfect, and it might be that other models similar to ours produce galaxies which fit observations in a better way. A summary of all the models developed here can be found in Table 1.

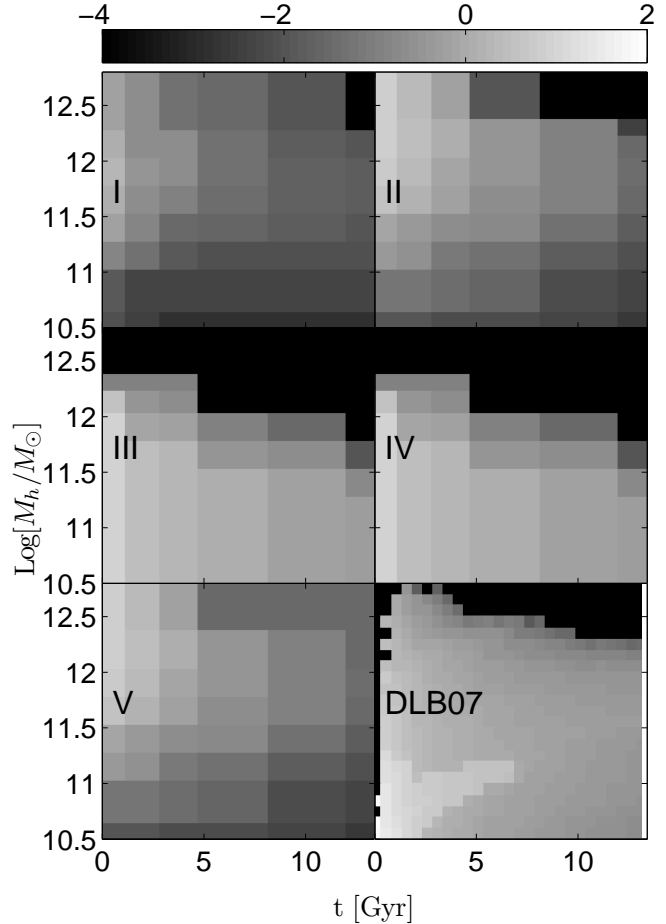
### 5.1 Default ingredients

We list below the default ingredients of the models. These are common to most of the models discussed in this work. Deviations from these basic ingredients will be addressed specifically for individual models.

- Hot gas that is being stripped from satellite galaxies is lost to the intergalactic medium and is not added to the hot gas reservoir of the central galaxy. Although other SAMs usually add the stripped gas to the central galaxy, it might be that tidal interactions will eject some of this gas out of the halo. Also, this effect could be counterbalanced by changing cooling rates for high-mass haloes. We use  $\alpha_h = 0.25 \text{ Gyr}^{-1}$  which mimics a stripping with an exponential time-scale of 4 Gyr for all satellite galaxies.

- The dynamical friction time  $t_{\text{df}}$  from Eq. 12 is multiplied by a factor of  $\alpha_{\text{df}} = 3$ .

- The efficiency of merger-induced SF bursts is given by  $\alpha_b = 0.56$ ,  $\alpha_c = 0.7$  as suggested by DLB07. The burst duration,  $\sigma_{\text{burst}}$ , is assumed to be very short, at the level of 10 Myr.



**Figure 6.** Cooling efficiencies used in our various models. The gray scale shows Log values of  $f_c$  in units of  $\text{Log}[\text{Gyr}^{-1}]$ . X-axis represents the time in Gyr since the big-bang, y-axis is the host halo mass. Each panel is devoted to a different model as indicated (see summary of all the models in table 1). For haloes more massive than shown here we use the same efficiencies as for the most massive bin. Cooling efficiencies in the bottom right panel were obtained by running the SAM of De Lucia & Blaizot (2007) and are discussed in section 4. We use a bilinear interpolation scheme to obtain logarithm values for intermediate masses and times. Specific values for the efficiencies plotted here can be found in Appendix A.

- The ejection efficiency,  $f_e$ , is set to zero.
- We use a recycling factor of  $R = 0.5$ . This value is obtained using the Bruzual & Charlot (2003) stellar population synthesis model, adopting a Chabrier (2003) IMF.
- All gas is accreted to the hot gas phase, so  $f_{\text{ca}} = 0$ ,  $f_{\text{ha}} = 0.17$ .

### 5.2 Model I – zero SN feedback

In section 2.1 we argue that the efficiency of cooling might be different from the values predicted by White & Frenk (1991). Here we would like to test a scenario in which this efficiency has its minimum possible value. It will be interesting to have a constraint on the minimum cooling rates from observations. We can then examine if these values fall within the range of uncertainties discussed in section 2.1.

A main degeneracy in modeling the evolution of galaxies is

**Table 1.** A summary of the new models discussed in this work. The models are presented in section 5, and in Figs. 6 & 7. The results are summarized in section 6, Figs. 8 – 15. Components which are considered as free parameters, and used to tune the model against observations are written on a gray background.

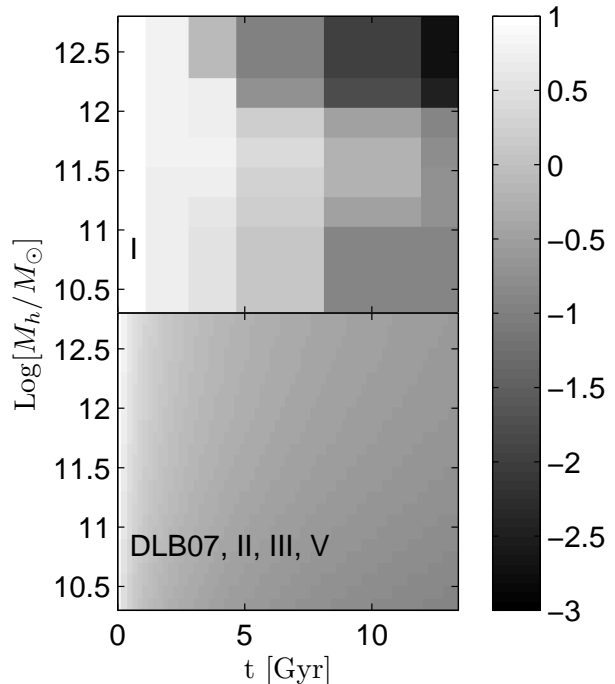
Model	I zero SN feedback	II standard	III cold accretion	IV only bursts	V shut-down by mergers
$f_c$	Fig. 6	Fig. 6	Fig. 6: $f_c \sim \tau_{\text{dyn}}^{-1}$ if $M_h \lesssim (1+z) \times 10^{11} h^{-1} M_\odot$ , else $f_c = 0$	same as III	Fig. 6: similar to II, but increased for high mass haloes
$f_s$	Fig. 7	Eq. 19 (see also Fig. 7)	Eq. 19, in addition: $f_s = 0$ if $M_h > 3 \times 10^{12} h^{-1} M_\odot$ and $z < 0.77$	0	Eq. 19 (see also Fig. 7)
$f_d$	0	3.5	Eq. 22: $f_d = 10^3 \cdot M_{10}^{-2}$ and not higher than 100	0	3.5
burst efficiency	0	$0.56 (m_1/m_2)^{0.7}$	$0.56 (m_1/m_2)^{0.7}$	$0.8 (m_1/m_2)^{0.7}$ for $M_h < 10^{12} h^{-1} M_\odot$ , otherwise zero	$0.56 (m_1/m_2)^{0.7}$
others	–	–	$f_e = f_d$	Eqs. 23 & 24: $\sigma_{\text{burst}} = 5(t/13.6)^2$ $\alpha_{\text{df}} = (t/13.6)^2$	shut-down of cooling & SF after mergers of mass ratio $> 0.2$
section	5.2	5.3	5.4	5.5	5.6
line-type	thin blue	thin dashed red	dotted-dashed green	dotted brown	thick dashed pink

that cooling can be balanced by feedback processes which heat up the cold gas. Thus, a minimum cooling scenario must be achieved when the feedback efficiency is set to zero, while observations are still reproduced. In this case, the total mass in cold gas and stars depends only on the cooling efficiency. Consequently, if the total mass of stars and cold gas is known for a statistical sample of galaxies, we can define the minimum cooling rates in a relatively unique way. Unfortunately, we only have observational estimates on cold gas fractions at very low redshifts. Nevertheless, we find that those are already a significant constraint, as they limit the sum of cooling efficiencies over all times. We set the efficiency of merger-induced bursts to zero, in order to further increase the degree of uniqueness in this problem.

We tune the cooling and SF efficiencies iteratively. Once we have assumed certain cooling efficiencies, we tune the SF efficiencies to get the proper stellar mass functions. If the resulting amount of cold gas differs from observations we then change the cooling efficiencies again, and re-tune SF accordingly. Only a few iterations are needed in order to converge to the solution given below.

The cooling and SF efficiencies of this model are shown in Figs. 6 & 7. As expected, cooling efficiencies in this model need to be very low, and are roughly 1-3 orders of magnitude smaller than the standard values adopted by the usual SAMs (values from the SAM of DLB07 are shown in the same figure). Such a low efficiency might be explained by substantial preheating. For high redshift and massive haloes ( $z > 1$ ,  $M_h \gtrsim 10^{12} h^{-1} M_\odot$ ) the cooling efficiencies used in Model I are only roughly an order of magnitude lower than the standard ones, which might be a plausible difference relative to the standard cooling rates even in the absence of preheating. An additional characteristic of cooling efficiencies is that they are peaked at a halo mass of  $\sim 6 \times 10^{11} h^{-1} M_\odot$  at all redshifts.

Interestingly, we can see in Fig. 7 that our zero feedback model requires a star formation efficiency much higher than in DLB07 at high redshifts. This is necessary in order to obtain reasonable cold gas mass fractions at  $z = 0$ : If star formation efficiencies were brought down, this would have to be counterbalanced by higher cooling rates at high redshift, which would in turn lead to too high gas fractions at  $z = 0$ .



**Figure 7.** SF efficiencies values ( $f_s$ ) used in our various models in units of  $\text{Log}[\text{Gyr}^{-1}]$ . This figure is similar to Fig. 6. The functional shape of DLB07 is given in Eq. 19. Specific values used by Model I are given in Appendix A.

### 5.3 Model II – standard

In Model II we adopt feedback and SF efficiencies which are similar to that of DLB07 (see section 4). However, we differ from DLB07 in three respects: (i) we do not include an ejected phase, (ii) we do not include threshold for SF, and (iii) the hot gas of satellite is stripped exponentially with a relatively long time-scale of 4

Gyr. We then look for cooling efficiencies which produce galaxies that fit the observational data given the above assumptions.

As in Model I, cooling rates within Model II have to be low in order to reproduce observations. These are shown in Fig. 6. Compared to DLB07 cooling rates are lower by up to two orders of magnitudes (instead of the three order of magnitudes in Model I). This means that the mechanism of ejection actually has a stronger impact than the pure ‘reheating’ by supernova feedback; reheating by supernova seems to allow to increase cooling rates by one order of magnitude; ejection by two orders of magnitude.

#### 5.4 Model III – cold accretion

In Model III we include a prescription for cold accretion which follows the ideas described by Birnboim & Dekel (2003), Cattaneo et al. (2006), Kereš et al. (2009) and Khochfar & Silk (2009). In order to model the infall of cold gas into the disk, we assume that  $m_{\text{hot}}$  represents the mass within *cold filaments* flowing with virial velocity into the disk. In this case, the rate of transition from  $m_{\text{hot}}$  to  $m_{\text{cold}}$  is fixed by the infall time, which we approximate with the dynamical time of the halo. Consequently, the ‘cooling’ efficiency is now:

$$f_{c,\text{max}} = 1/\tau_{\text{dyn}} \approx \frac{1}{0.15t}. \quad (21)$$

This sets the rate at which gas that joins the halo reaches the disk and becomes available for star formation. This is also the *maximum* cooling efficiency that can be used. From Fig. 6 it can be seen that the cooling efficiencies used by DLB07 in the ‘cold accretion regime’ are slightly lower (usually by 0.2 dex, 60%) than the maximum efficiency  $f_{c,\text{max}}$  defined here.

We roughly adopt the results of Kereš et al. (2009) which indicate that cold accretion is dominant at halo masses below few times  $10^{11} h^{-1} M_{\odot}$ , and use maximum cooling efficiencies below a mass of  $\sim (1+z) \times 10^{11} h^{-1} M_{\odot}$  as can be seen from Fig. 6. We use the same SF efficiency as in DLB07 (see section 4 and Fig. 7), except that we set the SF efficiency to zero for haloes more massive than  $3 \times 10^{12} h^{-1} M_{\odot}$  at redshifts smaller than 0.77, in order to produce the proper number of passive and massive galaxies. This may mimic an AGN feedback in massive haloes which heats up all the cold gas in the disk, and does not allow any additional accretion of cold gas coming from satellite galaxies.

Both cooling and SF efficiencies are thus set by our preliminary assumptions. We are now looking for a SN feedback efficiency that will make this model successful in reproducing the population of galaxies. In order not to confuse the heated gas due to feedback with  $m_{\text{hot}}$  which is actually the filament mass here, we use the ejection efficiency  $f_e$  and remove all the heated gas from the halo. We find that a simple power-law gives reasonable results:

$$f_e = f_d = 10^3 \cdot M_{10}^{-2}, \quad (22)$$

where  $M_{10}$  is the subhalo mass  $M_h$  in units of  $10^{10} h^{-1} M_{\odot}$ . We limit this efficiency to a maximum value of a 100  $\text{Gyr}^{-1}$  in order for the code to converge within 20 time-steps, as discussed at the end of section 3.4.

#### 5.5 Model IV – only bursts

The star formation histories of galaxies are a combination of short episodes of bursts and long term quiescent evolution. These two processes are triggered by different mechanisms originating from

the dark-matter merger history. Bursts are assumed to follow mergers, while quiescent evolution is due to smooth accretion of gas into galaxies. The relative contribution of these two mechanisms to the total stellar mass formed is observationally only partially constrained (for example, the total mass of stars within thin disks at low redshift might give an estimate for the total quiescent SF history). Here, we investigate if a model where stars are made in bursts can be distinguished from the other more standard models. We will therefore construct an extreme model in which all stars are formed in SF bursts, hoping that it will reveal some of the basic differences between the merger and the quiescent mode of SF. These differences may then be used in future work to distinguish between more reasonable models, where bursts contribute much less to the overall SF history of the universe. Noeske et al. (2007), for example, use the scatter in the SF rates of galaxies at  $z = 1$  to infer that starburst in gas-rich major merger are not a dominant mode of star formation at these redshifts.

We set the feedback efficiency and quiescent mode of SF to zero. Cooling efficiencies are adopted from the cold accretion model. The only freedom in this model is in fixing the dynamical-friction time, the burst duration, and burst efficiency. We find that a good match to observational data is obtained if we use

$$\alpha_{\text{df}} = \left[ \frac{t}{13.6} \right]^2, \quad (23)$$

for the dynamical-friction time (Eq. 12). We assume the burst dependence on time has a Gaussian shape, with a standard deviation of

$$\sigma_{\text{burst}} = 5 \left[ \frac{t}{13.6} \right]^2 \text{ Gyr}. \quad (24)$$

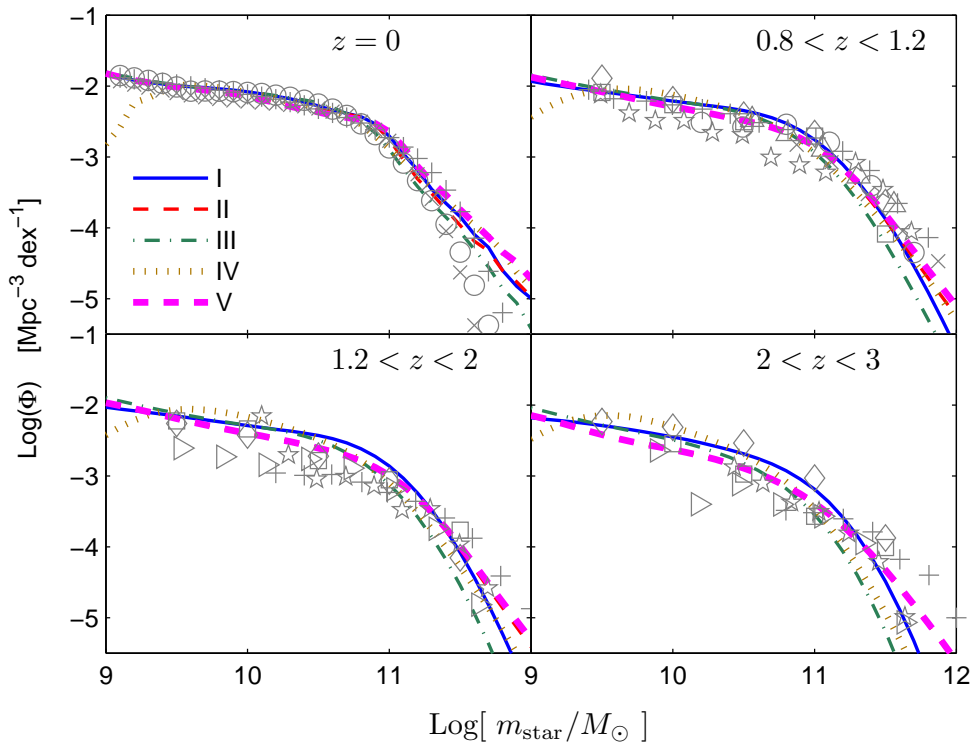
The peak of the SF efficiency is defined to happen at  $2\sigma_{\text{burst}}$  after the galaxies coalesce. The reader is referred to section 2.5 for a discussion about uncertainties in the above recipes. Lastly, we modified the burst efficiency and use  $\alpha_b = 0.8$ ,  $\alpha_c = 0.7$  only for haloes below a mass of  $10^{12} h^{-1} M_{\odot}$ . More massive haloes are not allowed to have any merger-induced bursts.

#### 5.6 Model V – shutdown by mergers

Different scenarios for the build-up of red galaxies (the so-called ‘red sequence’) are still under debate. Four main channels for galaxies to become red have been suggested: (i) major mergers can trigger bursts of SF, exhaust the gas reservoir, and trigger AGN activity (Toomre & Toomre 1972; Mihos & Hernquist 1994; Hopkins et al. 2008); (ii) above some halo mass cooling rates may be decreased due to AGN feedback or virial shocks (Birnboim & Dekel 2003; Dekel & Birnboim 2006; Cattaneo et al. 2006; Kereš et al. 2005; Bower et al. 2006; Croton et al. 2006; Somerville et al. 2008); (iii) morphological quenching (Martig et al. 2009) (iv) environmental effects acting on satellite galaxies.

Here we present a model in which major mergers completely shut off both cooling and star formation for all time thereafter, which could be explained by a combination of scenarios (i) and (iii) above. This is in contrast to the previous models in which SF and cooling is quenched above a given halo mass (see e.g. Fig. 6)

This model is similar to the model by Hopkins et al. (2008) who have suggested that major mergers of gas-rich systems may lead to permanent quenching of star formation. These authors compared their results to the standard semi-analytical models (e.g.



**Figure 8.** Stellar mass functions of galaxies at various redshifts, for our different models as indicated in the top left panel and as summarized in table 1. The observational data is plotted with gray symbols. At all redshifts higher than zero we convolve the model stellar masses with a Gaussian error distribution, with standard deviation of 0.25 dex. At  $z = 0$  we use observations by Li & White (2009, circles), Baldry et al. (2008, crosses), Panter et al. (2007, pluses). At high- $z$  we use the following observations: Bundy et al. (2006,  $z = 0.75 - 1$ , circles), Borch et al. (2006,  $z = 0.8 - 1$ , crosses), Pérez-González et al. (2008,  $z = 0.8 - 1$ ,  $z = 1.6 - 2$ ,  $z = 2.5 - 3$ , plus signs), Fontana et al. (2006,  $z = 0.8 - 1$ ,  $z = 1.6 - 2$ ,  $z = 2 - 3$ , stars), Drory et al. (2004,  $z = 0.8 - 1$ , upward-pointing triangles), Drory et al. (2005,  $z = 0.75 - 1.25$ ,  $z = 1.75 - 2.25$ ,  $z = 2.25 - 3$ , diamonds and squares), Marchesini et al. (2009,  $z = 1.3 - 2$ ,  $z = 2 - 3$ , right-pointing triangles). Model stellar mass functions are plotted at  $z = 0, 1, 1.5, 2.5$  according to the label on each panel. We treat the specific IMF chosen in each measurement as part of the observational ‘uncertainty’ and do not convert them into the same IMF.

DLB07; Cattaneo et al. 2006; Somerville et al. 2008) which basically assume that star formation is quenched above some fixed halo mass. They claim that their model leads to an improved agreement with observations for (i) the passive fraction as a function of stellar mass and halo mass (ii) the number of massive and passive galaxies at high redshift. Here we try out a similar model. We start from Model II (i.e. similar to DLB07), but assume that any galaxy undergoing a major merger (with a mass ratio below 5:1) has its cooling and star formation efficiency set to zero permanently. Not even merger-induced bursts are allowed anymore. This makes it possible to increase cooling rates substantially for massive haloes, as visible in Fig. 6. Regarding all other recipes, this model is equivalent to Model II.

## 6 RESULTS

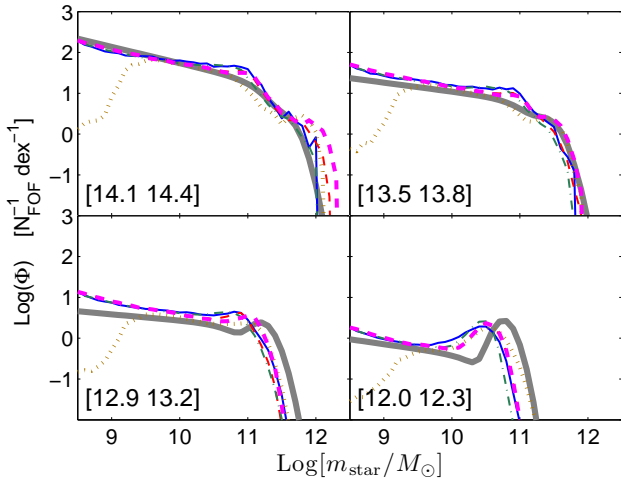
In this section we discuss the results obtained from the five models presented in the previous section using the full Millennium simulation. When tuning the models we examine the same set of figures as seen here for each model run. In the first part of the tuning we try to match the stellar mass functions and the universal SF density plots. As a second priority we consider the distribution of SF rates, the

cold gas fractions, and the passive fraction of galaxies at  $z = 0$ . It might be that our models depend strongly on the priorities we give different observational constraints. It might also be that within the assumptions of each model there are other possible models providing equal or better results. The main goal in tuning these models is to point out the interplay between different recipes, and to establish a set of models for future studies (e.g., merger rates, bimodality in SF). We do not claim to provide an excellent match to the observational results. However, the majority of our models give similarly good agreement with observations as other current SAMs.

### 6.1 Stellar masses

The stellar mass function is the most basic physical constraint on the population of galaxies. Observationally, measuring stellar masses for galaxies is not a trivial task. It involves various steps with non-negligible uncertainties. The transformation of photometrical data to stellar masses, the assumed IMF, dust corrections and cosmic variance may all contribute to significant deviations between different studies (see for example the discussion in Baldry et al. 2008).

In Fig. 8 we plot stellar mass functions for all our models



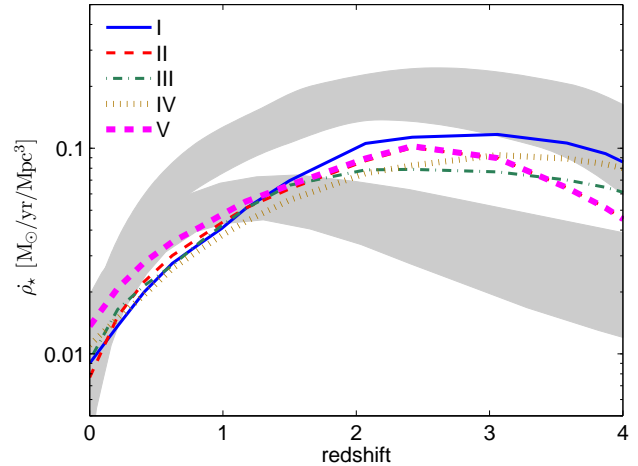
**Figure 9.** The conditional stellar mass functions, for a given FOF halo mass at  $z = 0$ . Model results are plotted according to line-styles which are given in table 1. The data from Yang et al. (2009) is plotted in thick gray lines. In each panel we quote the relevant range in halo mass in units of logarithm of  $h^{-1} M_{\odot}$  according to Yang et al. (2009). We transform these ranges to the halo mass used by the Millennium simulation according to equation 2 from Weinmann et al. (2006).

against observations in various redshift bins. It can clearly be seen that all our models fit the stellar mass functions relatively accurately, and, most importantly all models have almost the *same* mass functions. This indicates that although the stellar mass function over time constrains the parameters of the models, it can be reproduced rather easily with very different basic scenarios. There are few deviations between our model results and observational data, mainly at high  $z$  and for low mass galaxies. In addition, Models IV and V slightly overpredict the high mass end of the stellar mass function at  $z = 0$ . The overall consistency is surprising given the large differences between the models.

The assumption about the shape of the stellar initial mass function (IMF) is an important ingredient in the observational analysis. However, our model does not include an assumption about the IMF except for the relatively small dependence on the recycling factor,  $R$ . Consequently, we do not attempt to correct the data to the same IMF and we see it as part of the observational uncertainty. It might be that only parts of the data sets are fitted properly by our model, indicating that some specific IMF solutions are preferred. We do not try to explore this issue further here. Changes in the IMF between different observational results might appear as inconsistencies within the data (compare the high-mass end at  $z = 0.8 - 1.2$  versus  $z = 0$ ). These issues are less important here, as we are mainly concerned with comparing our models to different observational predictions.

The stellar mass function obtained from Model IV indicates a severe resolution problem below  $\sim 5 \times 10^9 M_{\odot}$ . Only this model shows such a problem which is connected to the fact that contributions from mergers are much more sensitive to the merger-tree resolution than other processes. This indicates that the minimum galaxy mass which is properly resolved in our model strongly depends on the actual parameters being used.

More detailed information on the stellar masses of galaxies is obtained by splitting the mass function into galaxies which live inside different halo masses. In Fig. 9, we compare the conditional



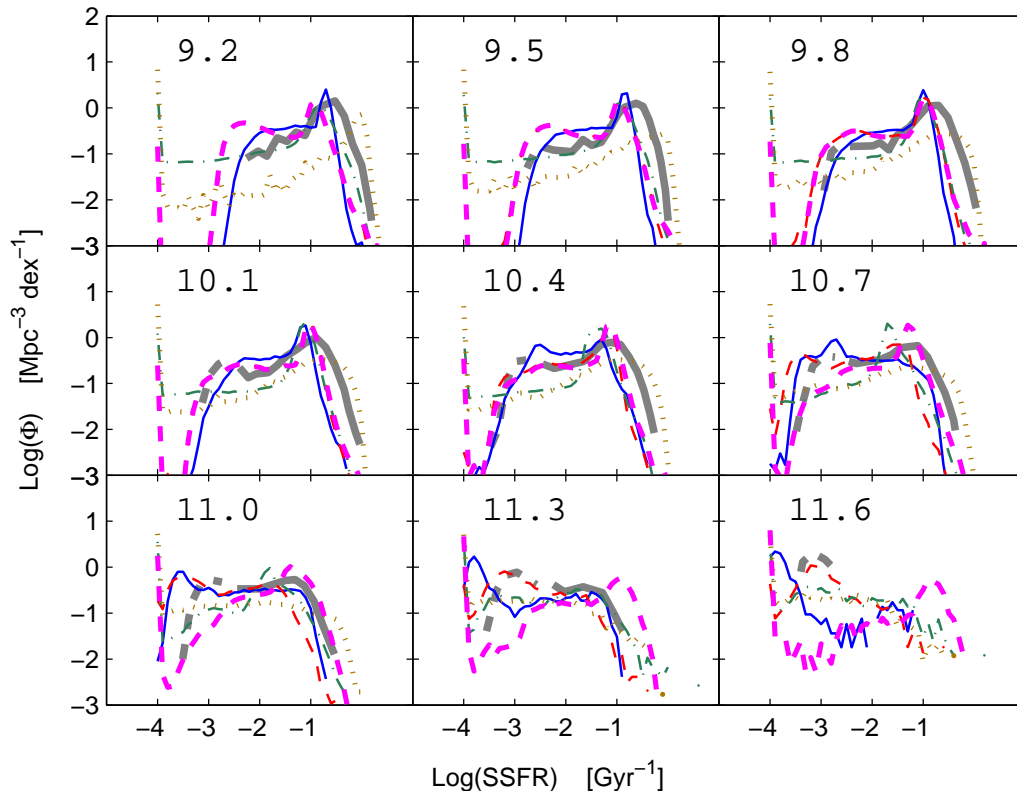
**Figure 10.** The universal SF rate density. Model line styles are described in table 1. Gray shaded regions show observational data: the upper region is taken from the compilation by Hopkins & Beacom (2006,  $3\sigma$  confidence level); the lower region is taken from Wilkins et al. (2008,  $1\sigma$  confidence level) and corresponds to the universal SF rate density derived from the evolution of the stellar mass functions with  $z$ .

stellar mass function for a given halo mass obtained with our models to the one given by Yang et al. (2009). Agreement with observations seems to be reasonable for all our models, except in the lowest halo mass bin, where our models predict a lower stellar mass to halo mass relation for the central galaxies. These deviations might be due to the limited accuracy to which the halo mass can be measured, or due to the different cosmological parameters used by Yang et al. (2009) and by the Millennium simulation. We also note that the somewhat too high number of small mass satellites at  $z = 0$  may be correlated to the slight overprediction of the stellar mass functions at high- $z$ . This is because satellite galaxies today were central galaxies at high- $z$  and thus were dominating the stellar mass functions at high- $z$ .

## 6.2 Star formation rates

In Fig. 10, we show the universal SF rate density as a function of redshift. Again, it is interesting that all our models seem to be relatively similar, and they all lie within the observational uncertainties. We show two kinds of observational results here. The first comes from directly measuring the SF rate (Hopkins & Beacom 2006), and the second from differentiating the stellar mass density of the universe with respect to time (Wilkins et al. 2008). We have not managed to simultaneously reproduce the stellar mass functions over time and the high SF rate density found in direct measurements. This inconsistency was already noted by e.g. Hopkins & Beacom (2006); Wilkins et al. (2008).

In Fig. 11 we show the distribution of the logarithm of the specific SF rate (defined as the SF rate divided by the stellar mass; hereafter SSFR) as a function of stellar mass at  $z = 0$ . Here we start to see larger deviations between our different models, indicating that this relation is much more constraining than the stellar mass functions or the SF rate density of the universe. Unfortunately, observations are relatively uncertain, especially for passive galaxies (see the discussion in Salim et al. 2007). Note that both observational data sets use volume-corrected values.



**Figure 12.** The probability distribution of specific SF rates (SSFR) for different bins in stellar mass at  $z = 0$ . Average stellar mass in  $\text{Log } M_{\odot}$  is indicated at the top of each panel. Observational results from Schiminovich et al. (2007) are shown in thick gray lines. For SSFR values below  $10^{-2.5} \text{ Gyr}^{-1}$  we plot the observation in dashed lines in order to emphasize the fact that these estimates are highly uncertain. Results for the model galaxies are plotted according to table 1. We use a minimum SSFR value of  $10^{-4}$  for all the model galaxies. In order to emphasize the shape of the distributions we normalized all lines to represent the same number of galaxies within a given mass bin.

Interestingly, all our models manage to reproduce the tilt of the star-forming sequence, which is not reproduced by other current SAMs we are aware of (Somerville et al. 2008; Fontanot et al. 2009). This is somewhat surprising, as our models were not specifically tuned to reproduce this tilt. We suspect that the absence of the tilt in most standard SAMs is related to the inclusion of the ejection phase, as our Model 0 from section 4 which mimics DLB07 does not reproduce the tilt either. We have checked that removing the process of ejection in Model 0 results in the appearance of a tilt in this model, although the stellar mass function is no longer similar to observations. In order to correct the stellar mass function we need to modify the cooling efficiencies, as is done in Model II. We plan to further explore the SSFR versus stellar mass diagram, and the origin of the tilt in the star-forming sequence, in a future study.

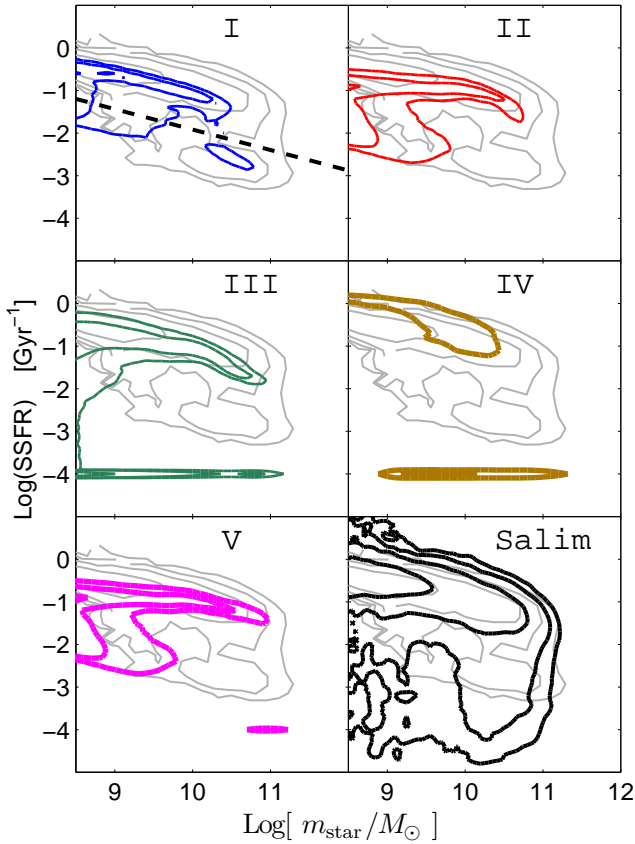
There are a few differences seen in Fig. 11 between the observed and model distributions which are somewhat artificial and do not represent important discrepancies. Uncertainties in measuring stellar masses and SSFR can be modeled by multiplying our model results by a random error distribution. This will make the 2-dimensional distribution wider, and will probably better fit the observations results. In addition, we do not allow SSFR to go below  $10^{-4} \text{ Gyr}^{-1}$  in the diagram. This results in a strong artificial peak at this SSFR value. Such a peak is not seen in the observational data, where passive galaxies may have SSFR values smeared up to few times  $10^{-3} \text{ Gyr}^{-1}$ .

In Fig. 12 we show the distribution of SSFR for all our models, in 9 different stellar mass bins, at  $z = 0$ . This figure shows that although the general tilt seen in Fig. 11 is reproduced, for low stellar masses below  $10^{10} M_{\odot}$  our model star-forming galaxies have lower SSFR than the observed ones with a difference of  $\sim 0.3$  dex. The SSFR values for passive galaxies (lower than  $\sim 10^{-3} \text{ Gyr}^{-1}$ ) are highly unconstrained by the observations. However, it is interesting that each model shows a very unique behaviour in this regime. Once observations will be able to provide more accurate estimates of SF rates for passive galaxies, this information may be useful in constraining galaxy formation models. In Appendix B we show a similar figure, but comparing to the results of Salim et al. (2007).

In Fig. 13 we compare the fraction of passive galaxies as a function of stellar mass in our five different models. Passive galaxies are defined according to the line shown in panel I of Fig. 11. This line was drawn to roughly divide the population of passive versus active galaxies, and it corresponds to

$$\log(\text{SSFR}_{\text{passive}}) = -0.48 \log m_{\text{star}} + 2.88, \quad (25)$$

where  $m_{\text{star}}$  is in units of  $M_{\odot}$ . While this observational quantity is rather uncertain, which is reflected in the already quite large difference between passive fractions derived by Salim et al. (2007) and Schiminovich et al. (2007) (which are both based on the UV-data of the same set of galaxies, using different methodologies), it could

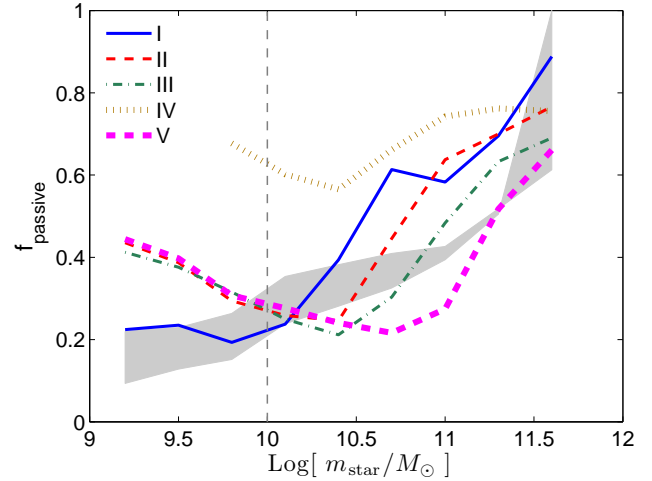


**Figure 11.** The two-dimensional distribution of specific SF rate (SSFR) versus stellar mass at  $z = 0$ . Observational data were graphically extracted from Schiminovich et al. (2007) and are plotted in gray lines. Model results are plotted in solid thick lines. The data from Salim et al. (2007) is plotted over Schiminovich et al. (2007) in the bottom right panel, in order to demonstrate the importance of observational uncertainties (we slightly smooth the data from Salim et al. (2007) in order to make the contours readable, this does not change the contour average location). In all panels contours show the regions which encompass 38%, 68%, 87% and 95% of galaxies. We use a minimum SSFR value of  $10^{-4}$  for all galaxies in this plot, as observations are not sensitive to lower SSFR. We define galaxies as being ‘passive’ if their SSFR falls below the dashed line shown in panel I. This line is a rough estimate drawn by eye, and is given in Eq. 25.

be powerful to constrain SAMs. In all our models, except Model IV, the increase of the passive fraction as a function of stellar mass is too steep. This is probably due to the fact that all galaxies in high mass haloes are quenched in our models in the same way. At low stellar masses, on the other hand, all our models overproduce the fraction of passive galaxies significantly. This might be a result of the difficulty of detecting low mass red galaxies in the observational data, or the specific treatment of satellite galaxies in our models. We note that a similar trend is found in the DLB07 model, shown in Fig. 4.

### 6.3 Cold gas masses

In Fig. 14, we show the cold gas mass function at  $z = 0$  for our different models. This observation provides important additional



**Figure 13.** The fraction of galaxies which are passive out of all the galaxies within a stellar mass bin at  $z = 0$ . Passive galaxies are defined to have SSFR lower than the dashed line in Fig. 11, panel I (see also Eq. 25). Observational data is plotted in shaded gray region and is taken from Salim et al. (2007); Schiminovich et al. (2007). Results from our various models are plotted according to the usual line-types.

information about galaxy formation which can potentially be used to discriminate between models. Unfortunately, observational constraints are still uncertain as they only measure the H I gas content. In order to obtain the mass function of the total cold gas, the mass of molecular gas needs to be estimated. This is done here using the model results from Obreschkow & Rawlings (2009). Clearly, both the zero feedback, and the ‘‘shutdown after mergers’’ models tend to overproduce the number of objects containing large amounts of cold gas. In both models, a mechanism like for example AGN feedback that heats up cold gas above some halo mass, or after a major merger, could solve this problem; we have not included such a mechanism for simplicity.

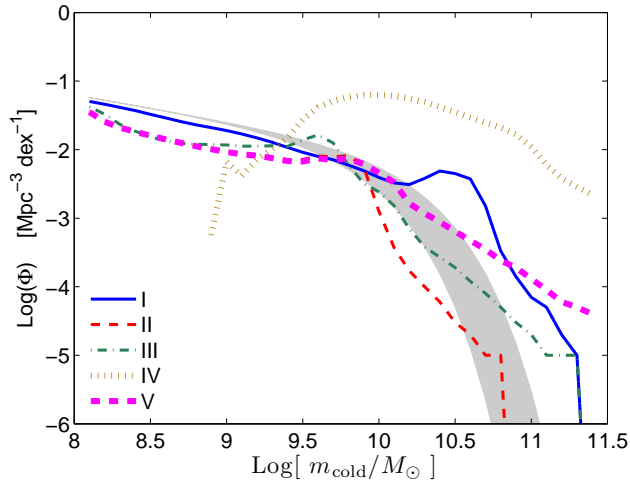
Average gas fractions as a function of stellar mass are shown in Fig. 15 along with the observational results from Catinella et al. (2009). Gas fractions can help us to break the degeneracies cooling and star formation efficiencies. Clearly, the gas fractions are much too high in the ‘‘shutdown after merger’’ model; however, observations are still too uncertain to distinguish between our other scenarios. Future, more detailed observations of the cold gas fraction including both H I and molecular gas will therefore be an extremely important test for SAMs.

## 7 SUMMARY AND DISCUSSION

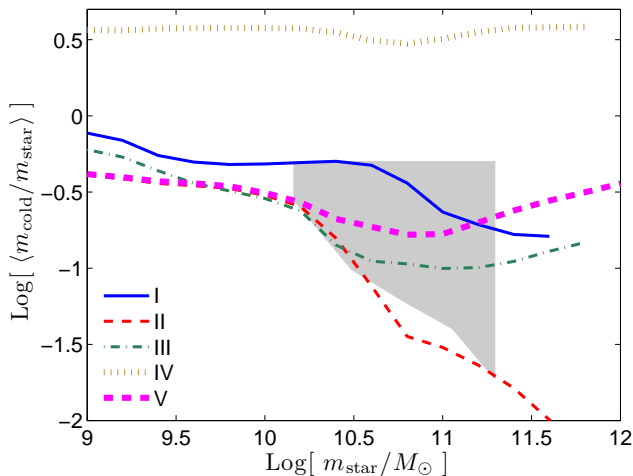
In this paper we develop a new formalism for the formation and evolution of galaxies within a hierarchical universe. Our approach is similar to semi-analytical models (SAMs), although we try to be more simple and schematic in order to encompass a large range of possible models.

We claim that a significant step towards more simple, general, and transparent models is achieved by parameterizing the basic processes of galaxy formation as functions of host halo mass and redshift alone. This language enables an easy and compact summary of the model, and results in a well defined set of differential equations. Once each recipe is just a function of halo mass and redshift it is also simple to check the dependencies between recipes, and to





**Figure 14.** Cold gas mass function at  $z = 0$ . Observational data for the HI atomic gas are taken from Zwaan et al. (2005) and define the lower part of the gray shaded region. In order to estimate the total amount of cold gas (atomic and molecular) we use the model and predictions of Obreschkow & Rawlings (2009) plotted as the upper part of the shaded region. Model results are plotted according to table 1.



**Figure 15.** Average cold gas fraction,  $m_{\text{cold}}/m_{\text{star}}$  as a function of stellar mass at  $z = 0$ . In order to estimate the observational constraints we use preliminary results from the GALEX Arcibo SDSS survey (GASS, Catinella et al. 2009). These are estimates for the average HI content for a complete sample of galaxies, and thus define the lower limit for the cold gas in our model (atomic and molecular gas). Upper limits are set by the rough estimates of Bothwell et al. (2009) to the HI/H<sub>2</sub> mass ratio and are highly uncertain.

determine those that will result in a population of galaxies which matches the observational constraints.

We compare our approach to the SAM of De Lucia & Blaizot (2007) and show that also within this specific model, the recipes are effectively a function of halo mass and redshift. The only recipe which deviates from this approximation to some degree is the radiative gas cooling. However, for the range where the deviations are important (i.e. small mass haloes), it is still not clear how accurate the cooling approach adopted by this SAM is. Using the median

values per halo mass and redshift for each recipe calculated from the original SAM, our model can produce a very similar population of galaxies. This demonstrates that forcing the physical recipes to depend only on halo mass and redshift does not affect the results of the SAM substantially, at least for the properties examined here.

The general language we develop here might be useful for comparing the various SAMs currently in use and for highlighting differences between models. It can also serve as a tool for comparing hydrodynamical simulations against SAMs. For example, it might be possible to obtain average feedback efficiencies as a function of halo mass and redshift using detailed simulations, and then to insert this information into the SAMs. This simple language might help to improve the communication between the different methodologies.

The approach adopted here is very flexible as we can allow any efficiency value for a given host halo mass and redshift. We use this flexibility to explore very different scenarios of galaxy formation. We present one standard model, which is based on De Lucia & Blaizot (2007) but without an ejected phase or a threshold mass for star formation. We then introduce four scenarios, each characterized by a unique feature: zero feedback, cold-accretion in low mass haloes, stars formed only in merger-induced bursts, and shutdown of star-formation after mergers. In each model we tune the other processes so that the resulting galaxies match observations. We do not argue that all these models are fully plausible, but show how different processes like feedback, cooling, and merger-induced bursts can compensate for each other. This also provides some insight into the range of efficiencies allowed by observations of the global properties of the galaxy population over time. For example, with our Model I, we are able to put a lower limit on the allowed cooling efficiencies given the general properties of the galaxy population over time.

We test the five different models presented here against various observations. We show that all of them reproduce the observed stellar mass functions at all redshifts reasonably well. We explore inconsistencies related to the universal SF rate density, and show the level of agreement that can be achieved between these two observations. Surprisingly, we find that all our five models reproduce the observed tilt in the relation of specific SF rates versus stellar mass, unlike other current SAMs (see e.g. Somerville et al. 2008; Fontanot et al. 2009). This is likely related to the way that ejection and cooling is implemented in current SAMs. We plan to investigate this issue in future work.

It is still difficult to assess what it really means if a given SAM manages to reproduce observations, as degeneracies are not well understood. How can we know whether or not a given SAM presents a relatively unique solution to the problem of galaxy formation and evolution? We try to improve on these limitations by presenting a set of models which are very different from each other. This can help us revisit basic issues of galaxy formation models. For example, we plan to investigate how galaxy merger-rates behave in each model, what causes the distinction between red and blue galaxies, and what shapes the stellar mass function.

We use the set of models developed here to investigate which observational quantity will break the degeneracy and allow us to differentiate between the models. The most promising such quantity is the full distribution of SF rates in different stellar mass bins. Specifically, SF rates for passive galaxies can give us information on the physics of SF although they do not affect stellar mass function, or the cosmic SF density. On the other hand, at low SF rates it might be that the processes which regulate SF are very different from the main mode of SF. We find that it is surprisingly difficult

for different models to reproduce the passive fractions of galaxies as a function of stellar mass, which indicates that these observations may also be useful for constraining the models. In addition, cold gas fractions might be useful for discriminating between different models which all produce a similar galaxy population in terms of stellar mass and SF. Unfortunately, up to now published datasets do not take into account contributions from the atomic and molecular gas components (for estimates of gas mass ratios see for example Bothwell et al. 2009; Obreschkow et al. 2009).

The formalism presented here can be viewed as an intermediate step between the halo model and SAMs. This is because all recipes depend on halo mass only, but the galaxies are followed inside the complex structure of merger-trees, and their properties will depend strongly on the halo formation history. Consequently, galaxies which live inside haloes of the same mass might have very different SF histories. Similarly to the halo model, our model does not need to be parameterized a-priori, and the functional dependence of the recipes is obtained by matching the observations. However, unlike in the halo model, the ingredients we match (efficiencies of cooling, SF, and feedback) are directly connected to the underlying physical processes, and we can follow galaxies in detail through time. This level of modelling is more complex than the halo model, demands more computational time, and involves more assumptions.

The current version of our model does not include a computation of luminosity and color. This limits potential comparisons between our model galaxies and observations. For example, other SAMs usually compare the model against luminosity functions, color-magnitude diagrams, and mass-metallicity relations. On the other hand, comparing to these observations will require additional model ingredients like dust obscuration, metallicity enrichment, and the initial stellar mass function (IMF). It seems that from the above additional observational measurements, only the mass-metallicity relation is adding a new constraint to our model. However, the number of ingredients that would need to be added to the model is quite large, so we suspect that the level of degeneracy will only increase. An additional shortcoming of our model is that it does not include any morphological information on galaxies. Since the establishment of the Hubble sequence it is well known that correlations between morphologies and SF rates do exist (see a recent work by Schiminovich et al. 2007). However, it is unclear if this correlation is a consequence of the underlying physics, or if it plays an active role in shaping the SF histories of galaxies as suggested by Martig et al. (2009). We plan to examine galaxy morphology in a future work.

We do not attempt to provide improved recipes for SF, cooling or feedback, even though finding such recipes, which are physically motivated as well as in agreement with observations both on global and local scales, is one of the ultimate goals of semi-analytical modelling. We however believe that our method can help finding such recipes, and studying the interconnection between them, by opening up the allowed parameter space.

The usual philosophy behind SAMs is that assumptions on the relevant physical processes are made, and it is then checked whether the properties of observed galaxies can be reproduced by tuning a certain set of parameters connected with these physical processes. We try to solve the inverse problem: how the observed population of galaxies can constrain the physical mechanisms which drive galaxy formation. The outcome of our models are average efficiencies per halo mass and redshift, which need a further interpretation in order to have a physical meaning. For example, our different results for the cooling efficiencies need to be

confronted with physical models of cooling, in order to find a scenario which is able to predict such deviations from the standard model. It might be that deviations due to e.g. metallicity variations and gas density profiles would not be able to give the required dependencies on halo mass and redshift. This dependence is more important than our results on the absolute value of the same quantity, which we have shown is only poorly constrained by observations. Lastly, the fact that our recipes do not rely on detailed physical assumptions means that they generally should not be extrapolated to ranges in halo mass and redshift for which they have not been tuned. Such problems may also occur in standard SAMs, although in these cases some guidance for the extrapolation of each recipe is given.

We note that the cosmological model used here is slightly different in its parameters from the most recent estimate by Komatsu et al. (2009). It might be possible to scale the merger-trees from the Millennium cosmology into the recent cosmology, by changing the time variable as was suggested by Neistein et al. (2009). If scaling of the subhalo merger-trees will only require changing the time variable, then it might be possible to scale our galaxy formation models by simply transforming only the dependence of the *recipes* on time. This should leave the results of the models unchanged. However, while Neistein et al. (2009) found that time scaling should work well for FOF merger-trees, we use merger-trees based on subhaloes in this work, which might scale somewhat differently.

We hope that the models developed in this work will help to interpret both observational data and different galaxy formation models. To serve this goal we provide a public online access to catalogs of our model galaxies. Our online web page<sup>5</sup> is also able to run various galaxy formation models with user-defined parameters over a box size of  $62.5 \text{ Mpc } h^{-1}$ . This volume represents the results obtained from the full Millennium simulation with a sufficient accuracy for many applications. We allow users to upload any kind of recipe which depends on halo mass and redshift. Results of the model are obtained within a few seconds and can be downloaded for further analysis.

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<sup>5</sup> <http://www.mpa-garching.mpg.de/galform/sesam>

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**Table 2.** Values of cooling efficiencies,  $\log f_c$ , where  $f_c$  is in units of  $\text{Gyr}^{-1}$ . The values shown here are for Model I, and are plotted in Fig. 6. Halo mass is in units of  $h^{-1}M_\odot$  and is shown at the left column, time is in Gyr.

Halo mass	$t = 0.80$ $z = 7$	2.24 3.0	3.38 2.0	5.97 1.0	10.27 0.3	13.58 0
10.25	-2.10	-2.50	-2.80	-2.80	-2.80	-2.80
10.75	-1.90	-2.40	-2.40	-2.40	-2.40	-2.40
11.00	-0.90	-1.30	-1.90	-2.10	-2.10	-2.10
11.25	-0.20	-0.90	-1.50	-1.60	-1.80	-2.00
11.50	0.10	-0.70	-1.00	-1.40	-1.70	-1.80
11.75	0.30	-0.50	-0.70	-1.30	-1.70	-1.80
12.00	0.10	-0.70	-0.70	-1.30	-1.80	-2.00
12.25	-0.20	-0.70	-1.30	-1.50	-2.00	-4.00
12.50	-0.70	-1.70	-2.00	-4.00	-4.00	-4.00

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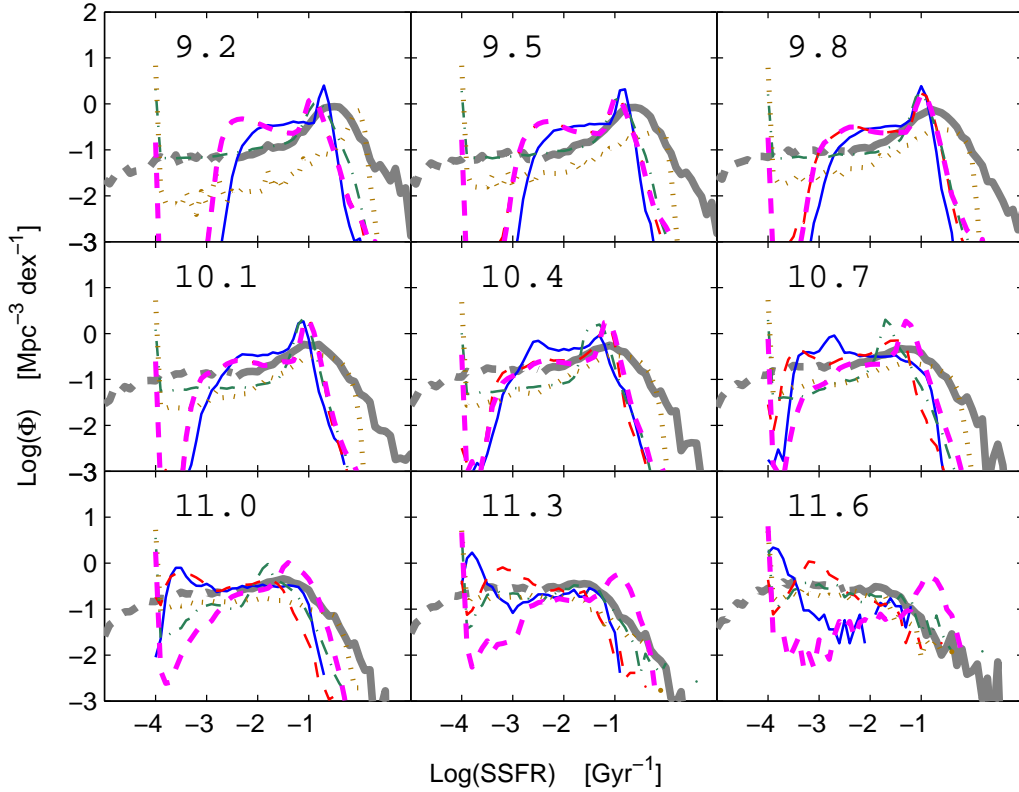
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## APPENDIX A: EFFICIENCIES VALUES

In tables 2 – 7 we provide numerical values for the cooling and SF efficiencies used by our models. We use bilinear interpolation to sample the values into a fine grid which is then used by our code.

## APPENDIX B: ADDITIONAL DATA – SPECIFIC SF RATES

In this section we provide a comparison of our models SF rates at  $z = 0$  to the observational study by Salim et al. (2007).



**Figure 16.** The probability distribution of specific SF rates for different bins in stellar mass at  $z = 0$ . The figure is similar to Fig. 12 but it compares to the observational data of Salim et al. (2007).

**Table 3.** Same as table 2, but for Model II.

Halo mass	$t = 0.80$ $z = 7$	2.24 3.0	3.38 2.0	5.97 1.0	10.27 0.3	13.58 0
10.25	-2.00	-2.00	-2.20	-2.20	-2.40	-2.60
10.75	-1.20	-1.20	-1.40	-1.60	-2.20	-2.40
11.00	-0.40	-0.60	-1.10	-1.30	-1.80	-2.10
11.25	-0.10	-0.40	-0.70	-0.80	-1.30	-1.80
11.50	0.50	0.20	-0.20	-0.70	-1.00	-1.50
11.75	0.70	0.40	-0.10	-0.50	-1.00	-1.40
12.00	0.80	0.47	0.10	-0.50	-1.00	-1.50
12.15	0.80	0.47	0.10	-0.50	-1.00	-2.50
12.30	0.80	0.40	-0.20	-2.00	-4.00	-4.00
12.50	0.80	0.20	-2.00	-4.00	-4.00	-4.00

**Table 5.** Same as table 2, but for Model V.

Halo mass	$t = 0.80$ $z = 7$	2.24 3.0	3.38 2.0	5.97 1.0	10.27 0.3	13.58 0
10.25	-2.00	-2.00	-2.20	-2.20	-2.40	-2.60
10.75	-1.20	-1.20	-1.40	-1.60	-2.20	-2.40
11.00	-0.40	-0.60	-1.10	-1.30	-1.80	-2.10
11.25	-0.10	-0.40	-0.70	-0.80	-1.30	-1.80
11.50	0.50	0.20	-0.20	-0.70	-1.00	-1.50
11.75	0.70	0.40	-0.10	-0.50	-1.00	-1.40
12.00	0.80	0.47	0.10	-0.50	-1.00	-1.50
12.15	0.80	0.47	0.10	-0.50	-1.00	-1.50
12.30	0.80	0.40	-0.20	-1.50	-1.50	-1.50
12.50	0.80	0.20	-1.50	-1.50	-1.50	-1.50

**Table 4.** Same as table 2, but for Models III & IV.

Halo mass	$t = 0.80$ $z = 7$	2.24 3.0	3.38 2.0	5.97 1.0	10.27 0.3	13.58 0
10.25	0.92	0.47	0.29	0.05	-0.19	-0.31
10.75	0.92	0.47	0.29	0.05	-0.19	-0.31
11.00	0.92	0.47	0.29	0.05	-0.19	-0.31
11.25	0.92	0.47	0.29	0.05	-0.19	-0.80
11.50	0.92	0.47	0.29	-0.50	-0.70	-2.00
11.75	0.92	-0.10	-0.20	-1.00	-1.50	-4.00
12.00	0.50	-0.50	-0.70	-4.00	-4.00	-4.00
12.15	-1.00	-1.00	-1.00	-4.00	-4.00	-4.00
12.30	-4.00	-4.00	-4.00	-4.00	-4.00	-4.00
12.50	-4.00	-4.00	-4.00	-4.00	-4.00	-4.00

**Table 6.** Same as table 2, but for the model DLB07. Here we sample only few points out of the data presented in Fig. 6.

Halo mass	$t = 0.80$ $z = 7$	2.24 3.0	3.38 2.0	5.97 1.0	10.27 0.3	13.1 0
10.25	1.51	0.98	0.67	-0.10	-0.36	-0.43
10.75	1.29	0.83	0.69	-0.06	-0.38	-0.53
11.00	1.15	0.35	0.19	0.53	-0.32	-0.49
11.25	0.76	0.33	0.17	-0.06	-0.28	-0.44
11.50	0.69	0.25	0.09	-0.10	-0.31	-0.37
11.75	0.58	0.21	-0.00	-0.26	-0.43	-0.50
12.00	-2.29	0.03	-0.10	-0.45	-0.78	-0.96
12.25	-1.05	-0.28	-0.54	-0.89	-4.00	-4.00
12.50	-2.39	-0.58	-1.33	-4.00	-4.00	-4.00

**Table 7.** Values of SF efficiencies,  $\log f_s$ , where  $f_s$  is in units of  $\text{Gyr}^{-1}$ . The values shown here are for Model I, and are plotted in Fig. 7. Halo mass is in units of  $h^{-1}M_\odot$  and is shown at the left column, time is in Gyr.

Halo mass	$t = 0.80$ $z = 7$	2.24 3.0	3.38 2.0	5.97 1.0	10.27 0.3	13.58 0
10.25	1.00	0.70	0.50	0.10	-0.90	-0.90
10.75	1.00	0.70	0.50	0.10	-0.90	-0.90
11.00	1.00	0.70	0.60	0.20	-0.50	-0.70
11.25	1.00	0.70	0.70	0.30	-0.20	-0.70
11.50	1.00	0.75	0.80	0.40	-0.20	-0.80
11.75	1.00	0.75	0.70	0.20	-0.50	-0.90
12.00	1.00	0.75	0.70	-0.70	-1.80	-2.50
12.25	1.00	0.75	-0.10	-1.00	-2.00	-2.70
12.50	1.00	0.75	-0.70	-2.50	-3.00	-3.00