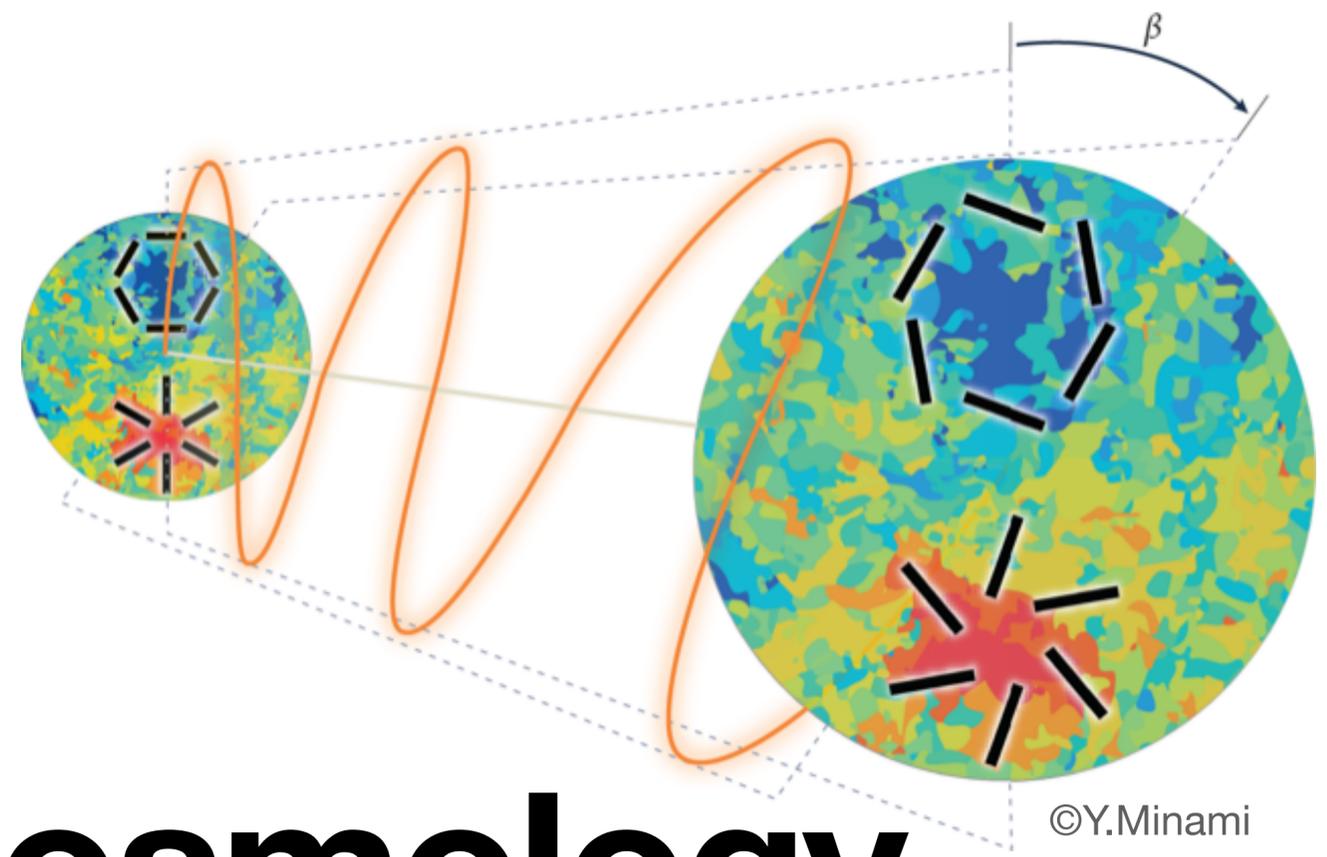


$$I_{\text{CS}} = \int d^4x \sqrt{-g} \left( -\frac{\alpha}{4f} \chi F \tilde{F} \right)$$



# Parity Violation in Cosmology

*In search of new physics for the Universe*

The lecture slides are available at

[https://www.mpa.mpa-garching.mpg.de/~komatsu/  
lectures--reviews.html](https://www.mpa.mpa-garching.mpg.de/~komatsu/lectures--reviews.html)

Eiichiro Komatsu (Max Planck Institute for Astrophysics)  
Nagoya University, June 6–30, 2023

# Day 5

# Topics

## From the syllabus

1. What is parity symmetry?

2. Chern-Simons interaction

3. Parity violation 1: Cosmic inflation

**4. Parity violation 2: Dark matter**

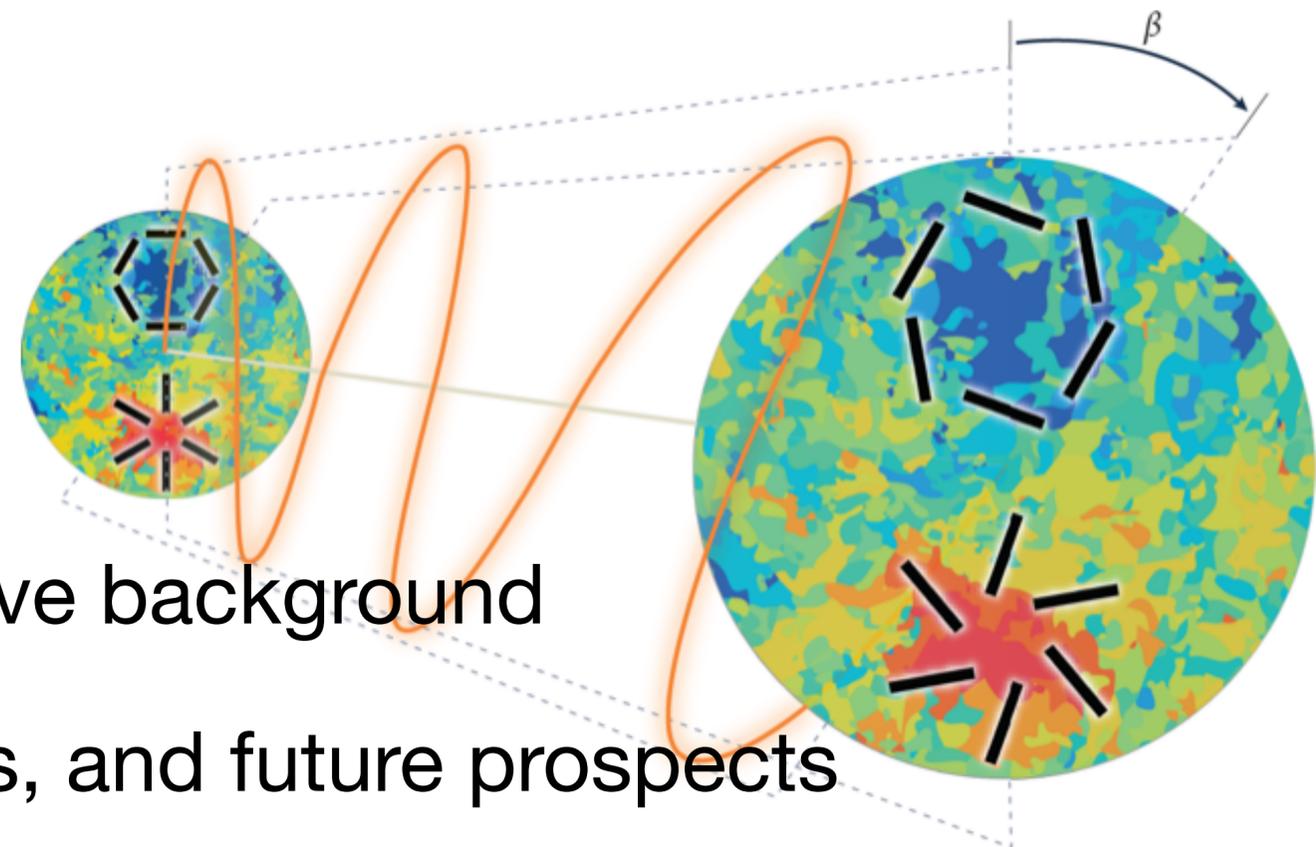
5. Parity violation 3: Dark energy

6. Light propagation: birefringence

7. Physics of polarization of the cosmic microwave background

8. Recent observational results, their implications, and future prospects

$$I_{\text{CS}} = \int d^4x \sqrt{-g} \left( -\frac{\alpha}{4f} \chi F \tilde{F} \right)$$



# 4.1 Scalar Field Dark Matter

# What is dark matter?

No one knows!

- There can be different types of dark matter (just like in the visible sector).
  - Dark matter can be elementary particles or composite particles (like a pion).
  - Dark matter can be fermions or bosons with arbitrary spins.
  - Dark matter may or may not be coupled to Standard Model particles.
  - ...
  - Dark matter may or may not violate parity symmetry.

# Scalar field dark matter coupled to the CS term

$$I = \int d^4x \sqrt{-g} \left[ -\frac{1}{2} (\partial\chi)^2 - V(\chi) - \frac{1}{4} F^2 - \frac{\alpha}{4f} \chi F \tilde{F} \right]$$

- $\chi$  is a neutral pseudoscalar field (spin 0).
- Why consider  $\chi$  as a good dark matter candidate?
  - *Why not?* We have an example in the Standard Model: a neutral pion.
  - We expect  $\alpha \simeq \alpha_{\text{EM}} \simeq 10^{-2}$  and  $f < M_{\text{Pl}} \simeq 2.4 \times 10^{18}$  GeV.
- $\chi$  can be composed of fermions like a pion, or a fundamental pseudoscalar like an “axion” field.

# Cold Dark Matter (CDM)

## Is $\chi$ pressureless?

- Current observations suggest that dark matter is “cold” (low velocity), which implies that it is practically pressureless,  $P \approx 0$ .
- $P$  is given by the velocity dispersion of particles,  $P/\rho = \langle v^2 \rangle/3 \ll 1$ , where  $\rho$  is the mass energy density with  $c = 1$ .
- What is  $P/\rho$  of  $\chi$ ? **It depends on the potential,  $V(\chi)$ !**

# Equation of motion in non-expanding space

$c=1$

$$\square = \eta^{\mu\nu} \partial_\mu \partial_\nu = -\frac{\partial^2}{\partial t^2} + \nabla^2$$
$$\eta^{\mu\nu} = \text{diag}(-1, \mathbf{1})$$

$$\square \chi - \frac{\partial V}{\partial \chi} = -\ddot{\chi} + \nabla^2 \chi - \frac{\partial V}{\partial \chi} = -\frac{\alpha}{f} \mathbf{E} \cdot \mathbf{B}$$

- The right hand side is the second-order fluctuation (Day 4).
  - $\mathbf{E}$  and  $\mathbf{B}$  cannot have a uniform background, if we impose spatial isotropy (no preferred direction in space).
- The uniform distribution of dark matter is described by the average value of  $\chi$ . We decompose

$$\chi(t, \mathbf{x}) = \bar{\chi}(t) + \delta\chi(t, \mathbf{x})$$

# Equation of motion in non-expanding space

For the homogeneous mode

$$\square_{\chi} - \frac{\partial V}{\partial \chi} \longrightarrow -\ddot{\chi} + \cancel{\nabla^2 \chi} - \frac{\partial V}{\partial \bar{\chi}} = -\frac{\alpha}{f} \cancel{\mathbf{E} \mathbf{B}}$$

- The energy density and pressure of a homogeneous scalar field are

$$P = \frac{1}{2} \langle \dot{\chi}^2 \rangle - \langle V(\bar{\chi}) \rangle$$

$$\rho = \frac{1}{2} \langle \dot{\chi}^2 \rangle + \langle V(\bar{\chi}) \rangle$$

where  $\langle (\dots) \rangle = \frac{1}{T} \int_0^T dt (\dots)$

is the average over time.  $T$  is some characteristic timescale for  $\chi$ , like the period of oscillations.

# Pressure of a massive free scalar field

$$V(\chi) = m^2\chi^2/2 \quad (c=1 \text{ and } \hbar=1)$$

- To simplify notation, we will omit the overline,  $\bar{\chi}(t) \rightarrow \chi(t)$ .
- The equation of motion for a massive free scalar field is

$$\ddot{\chi} + m^2\chi = 0$$

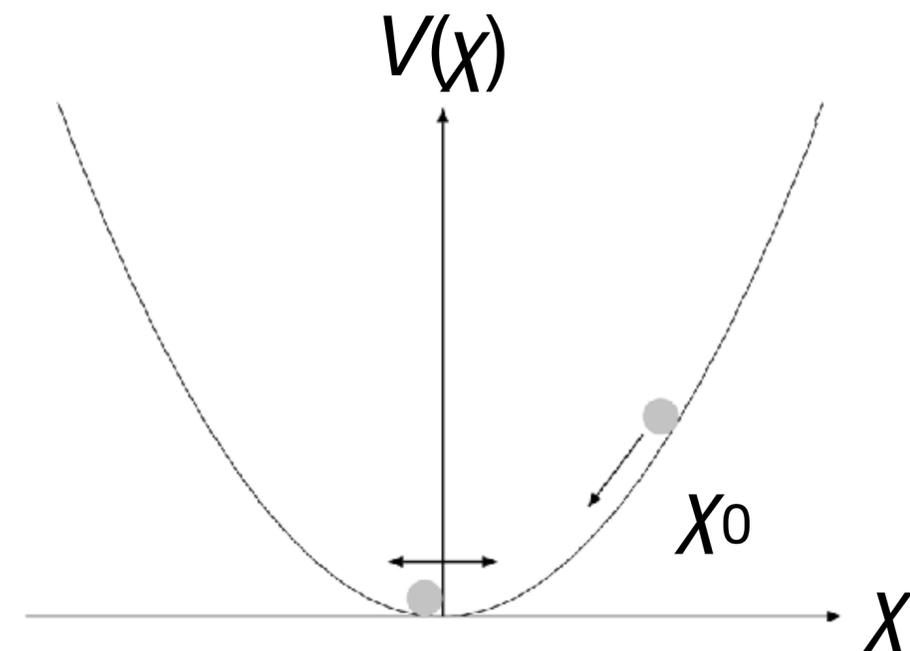
- The solution with  $\chi(0) = \chi_0$  and  $\dot{\chi}(0) = 0$  is

$$\chi(t) = \chi_0 \cos(mt)$$

Oscillations with the period  $T = 2\pi/m$ .

$$\rightarrow \rho = \frac{1}{2}m^2\chi_0^2, \quad P = 0$$

Pressureless  $\rightarrow$  CDM!



# CDM-induced parity violation in EM waves

“Time-domain cosmology”

- The Chern-Simons interaction between photons and CDM gives

$$\ddot{A}_{\pm} + \left( k^2 \mp \frac{k\alpha\dot{\chi}}{f} \right) A_{\pm} = 0$$

$$\ddot{A}_{\pm} + \left[ k^2 \pm \frac{k\alpha\chi_0 m}{f} \sin(mt) \right] A_{\pm} = 0$$

Periodic change  
for parity violation  
in EM waves

$$T = \frac{2\pi}{m}$$

This is a human  
timescale!!

$$\simeq 1 \text{ day} \left( \frac{5 \times 10^{-20} \text{ eV}}{m} \right)$$

# Problem Set 5

## $P/\rho$ for a power-law potential

- In non-expanding space, show that

$$\langle \dot{\chi}^2 \rangle = \langle \chi \partial V / \partial \chi \rangle = 2n \langle V \rangle$$

for a power-law potential,  $V(\chi) \propto \chi^{2n}$ .

- Show that  $\frac{P}{\rho} = \frac{n-1}{n+1} = \begin{cases} 0 & \text{for } \chi^2 \\ 1/3 & \text{for } \chi^4 \\ 1/2 & \text{for } \chi^6 \end{cases}$

Hint:

$$\dot{\chi}^2 = (\chi \dot{\chi})' - \chi \ddot{\chi}$$

# 4.2 Evolution of $\chi$ in Expanding Space

# Equation of motion in expanding space

With the physical time  $t$ , instead of the conformal time  $\tau$

$$\square \chi - \frac{\partial V}{\partial \chi} = -\ddot{\chi} - 3H\dot{\chi} - m^2\chi = 0$$

$$\begin{aligned} \square &= \frac{1}{\sqrt{-g}} \partial_\mu (\sqrt{-g} g^{\mu\nu} \partial_\nu) \\ &= -\frac{\partial^2}{\partial t^2} - 3\frac{\dot{a}}{a} \frac{\partial}{\partial t} + \frac{1}{a^2} \nabla^2 \end{aligned}$$

where  $g^{\mu\nu} = \text{diag}(-1, \mathbf{1}/a^2)$

- During the matter-dominated era,  $a(t) \propto t^{2/3}$  and  $H(t) = 2/(3t)$ .  $\sqrt{-g} = a^3$
- The solution with  $\chi(0) = \chi_0$  and  $\dot{\chi}(0) = 0$  is

$$\chi(t) = \chi_0 \frac{\sin(mt)}{mt} \quad \left( \begin{array}{c} \text{Non-expanding case} \\ \longleftrightarrow \chi(t) = \chi_0 \cos(mt) \end{array} \right)$$

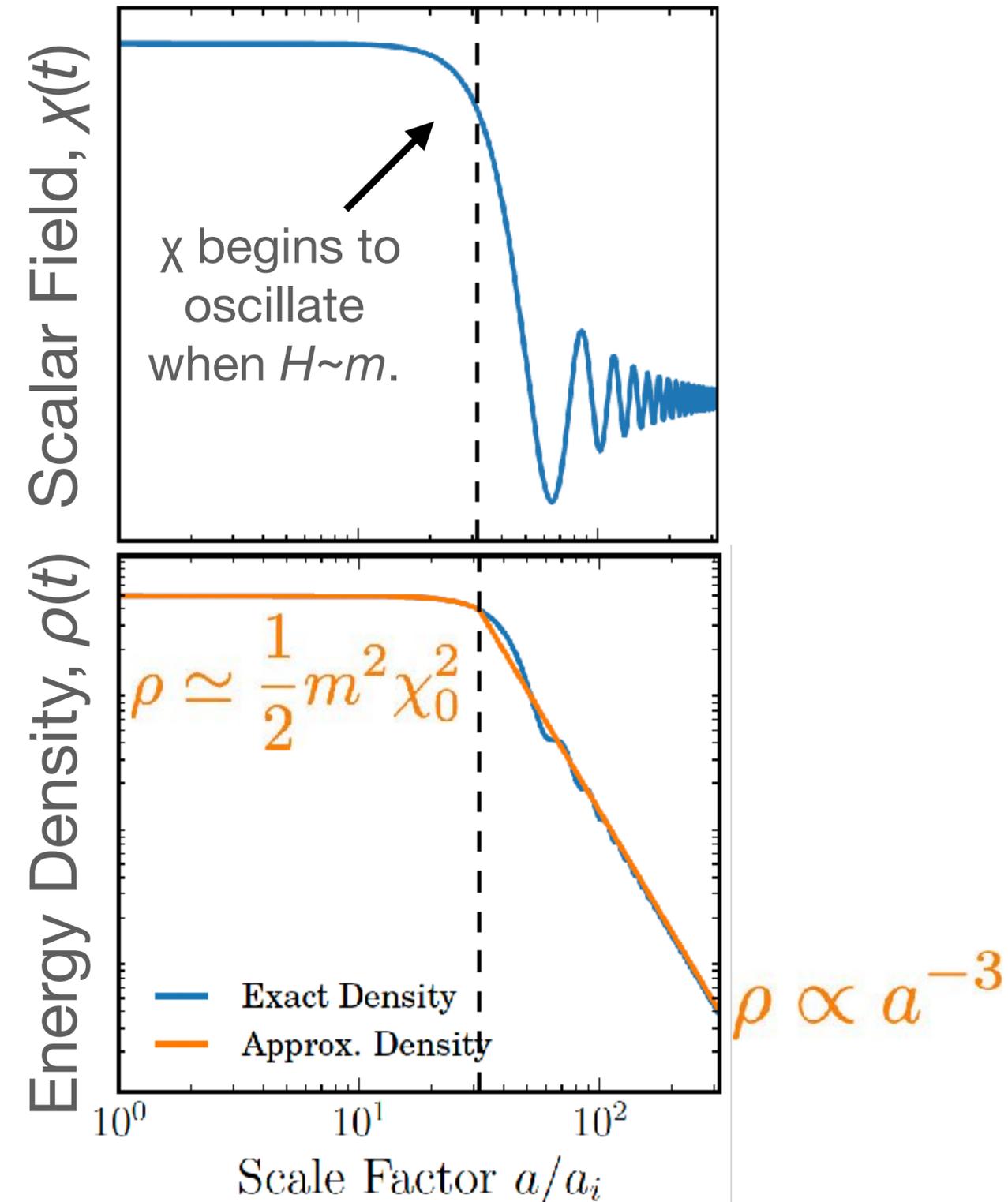
# Evolution of $\chi$ in expanding space

$m < H$  or  $m > H$ ?

$$\chi(t) = \chi_0 \frac{\sin(mt)}{mt}$$

- For  $mt \ll 1$  (or  $m \ll H$ ),  $\chi(t) \simeq \chi_0$ .
  - The energy density is a constant.
- For  $mt \gg 1$  (or  $m \gg H$ ),  $\chi(t) \propto t^{-1} \propto a^{-3/2}$ .
  - The energy density dilutes away as

$\rho \propto a^{-3}$ , in agreement with pressureless matter.



# Evolution of $P/\rho$ in expanding space

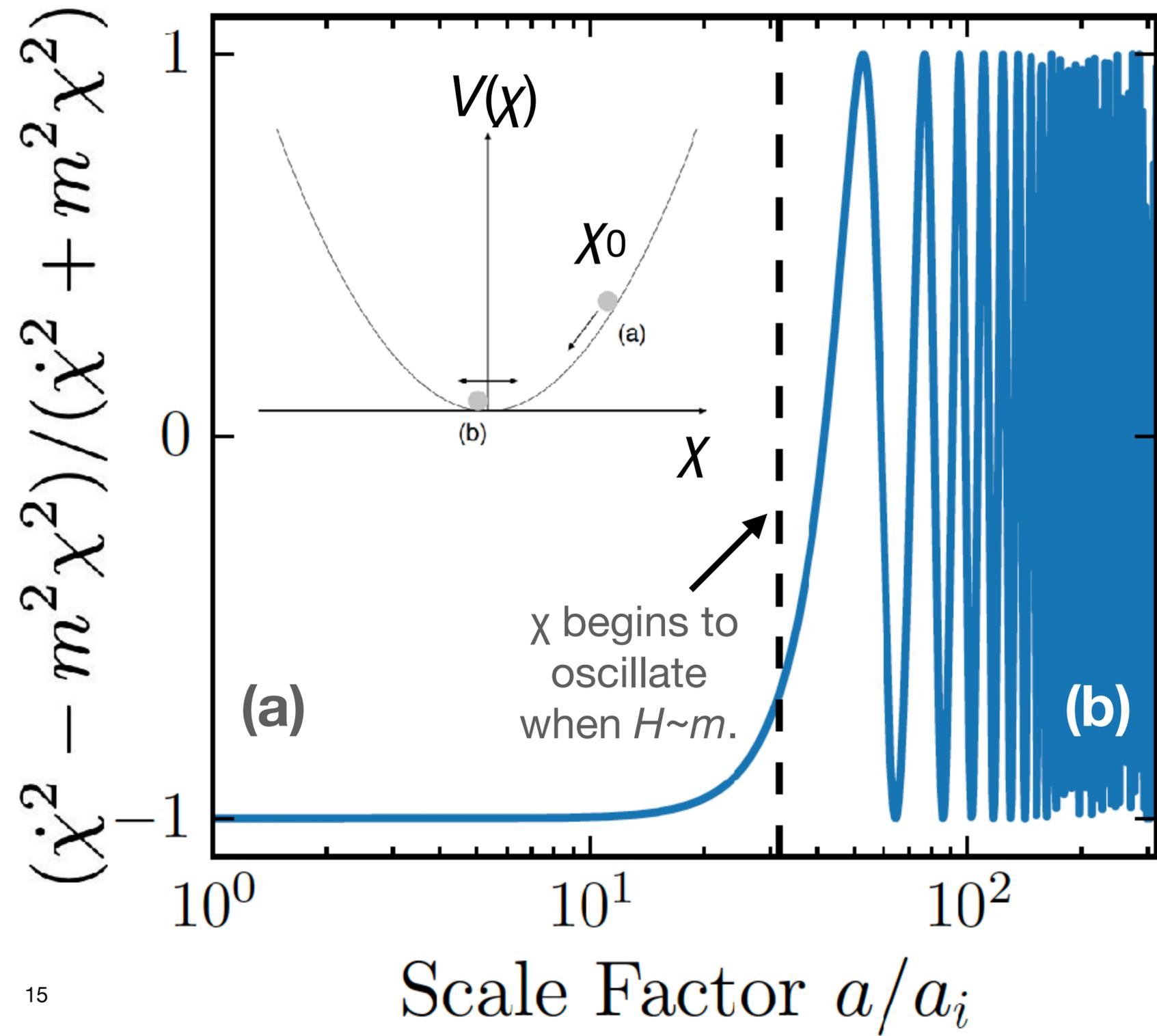
$m < H$  or  $m > H$ ?

- If we do not average over time,

$$\frac{\dot{\chi}^2 - m^2 \chi^2}{\dot{\chi}^2 + m^2 \chi^2}$$

oscillates rapidly around 0 for  $m > H$ .

- The ratio is -1 for  $m < H$ .
  - This means  $P = -\rho$ . *Dark energy!*



# Topics

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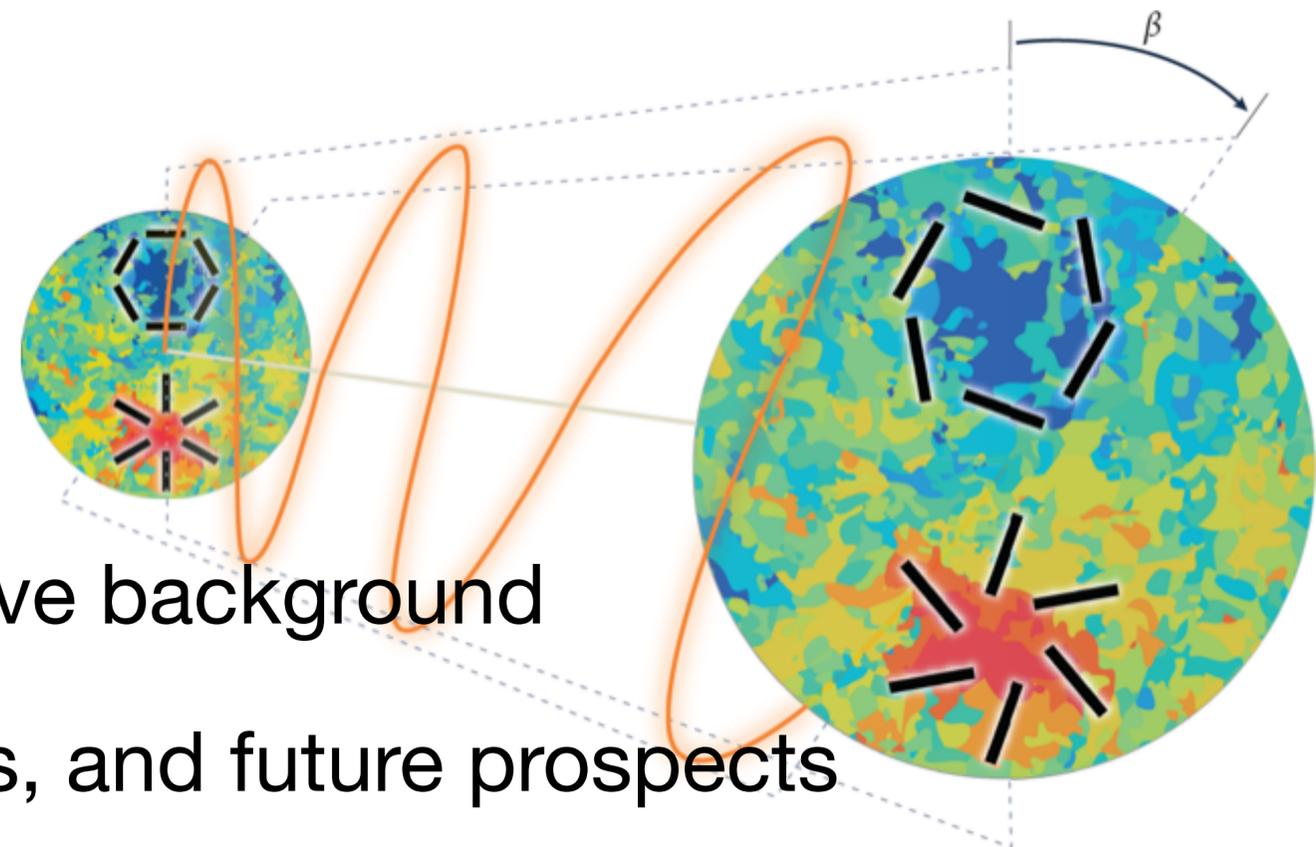
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7. Physics of polarization of the cosmic microwave background

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$$I_{\text{CS}} = \int d^4x \sqrt{-g} \left( -\frac{\alpha}{4f} \chi F \tilde{F} \right)$$



# 5.1 Scalar Field Dark Energy

# What is dark energy (DE)?

No one knows!

- We really have no idea.
  - Most people assume, for practical purposes, that dark energy is Einstein's cosmological constant ( $\Lambda$ ). However, recent advances in quantum gravity research suggest that  $\Lambda$  is an unlikely explanation...
  - My approach: Only experiments will tell us the answer!
  - Searching for parity violation might help?

# Equation of state parameter of DE

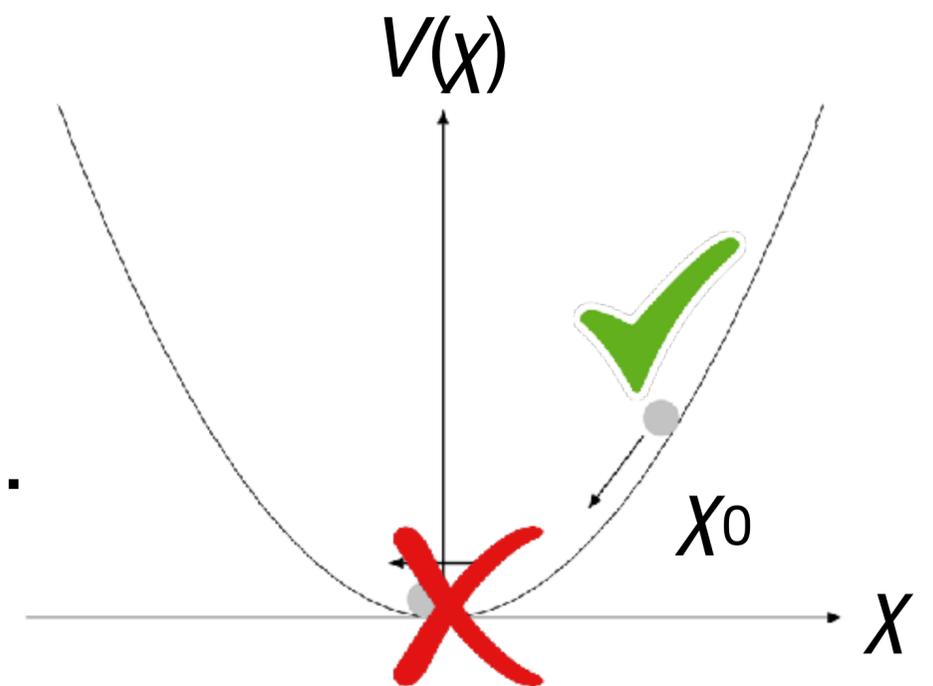
Astronomers have been measuring this parameter for 25 years.

$$w = \frac{P_{\text{DE}}}{\rho_{\text{DE}}} = -0.978^{+0.024}_{-0.031} \quad (68\% \text{ CL; Brout et al. 2022})$$

- If DE is a scalar field,

$$w = \frac{\frac{1}{2} \langle \dot{\chi}^2 \rangle - \langle V(\chi) \rangle}{\frac{1}{2} \langle \dot{\chi}^2 \rangle + \langle V(\chi) \rangle}$$

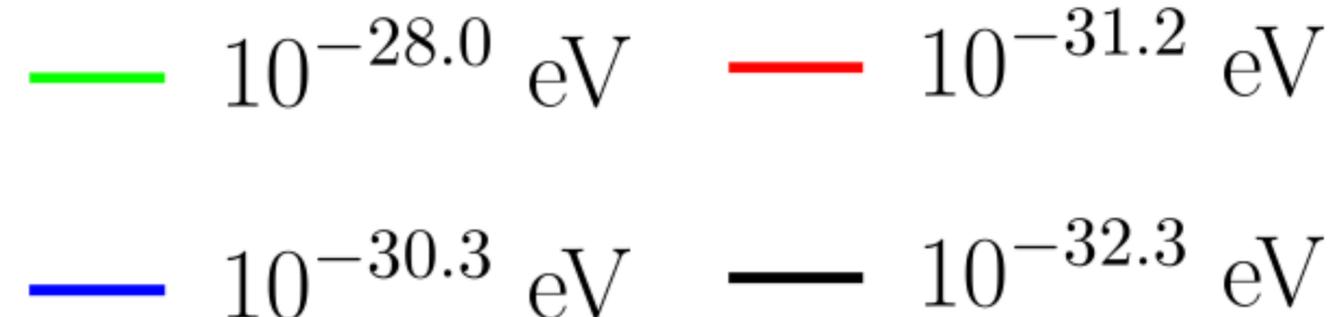
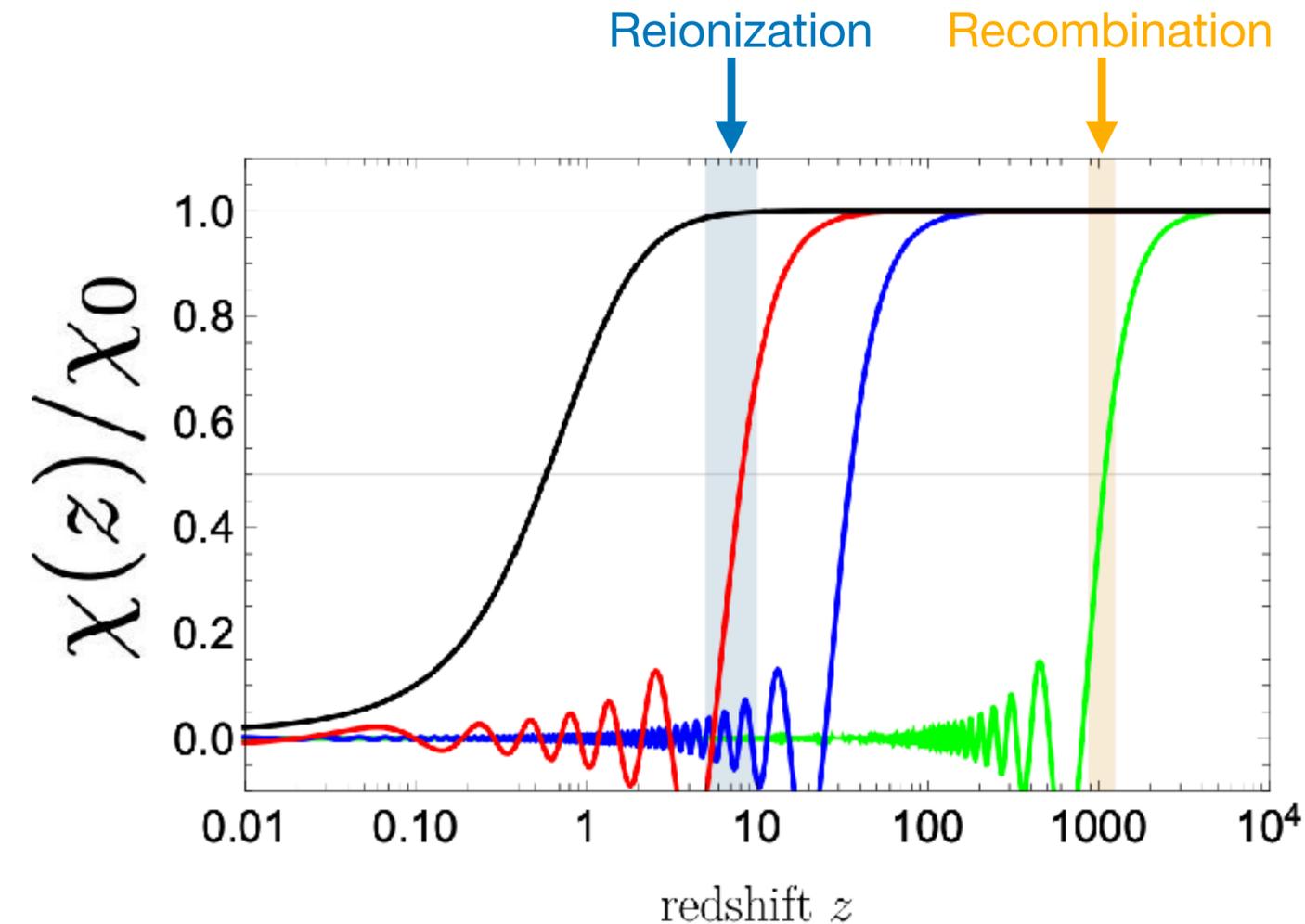
- Therefore, current observations **require** that  $\dot{\chi}^2 \ll V(\chi)$ .



# Scalar field dark energy

## A ridiculously small “mass”

- The (effective) mass of a scalar field DE must be smaller than the current expansion rate of the Universe (the Hubble constant).
- $m < H_0 \simeq 10^{-33}$  eV
- A ridiculously small “mass”!
- This simply means that the scalar field potential must be nearly flat, and that the scalar field is still slowly rolling down the potential today.



# DE-induced parity violation in EM waves

- The Chern-Simons interaction between photons and DE gives

$$A''_{\pm} + \left( k^2 \mp \frac{k\alpha\chi'}{f} \right) A_{\pm} = 0$$

- The slow-roll of  $\chi$  implies

$$\cancel{\ddot{\chi}} + 3H\dot{\chi} = -\frac{\partial V}{\partial \chi} \quad \longrightarrow \quad \dot{\chi} = \frac{1}{a}\chi' \simeq -\frac{1}{3H}\frac{\partial V}{\partial \chi}$$

negligible

# DE-induced parity violation in EM waves

- The Chern-Simons interaction between photons and DE gives

$$A''_{\pm} + \left( k^2 \pm \frac{k\alpha a}{3Hf} \frac{\partial V}{\partial \chi} \right) A_{\pm} = 0$$

It is the **slope** of the potential, rather than the mass (second derivative), that determines the magnitude of the parity violation in EM waves due to DE.

- The slow-roll of  $\chi$  implies

$$\cancel{\ddot{\chi}} + 3H\dot{\chi} = -\frac{\partial V}{\partial \chi} \quad \longrightarrow \quad \dot{\chi} = \frac{1}{a}\chi' \simeq -\frac{1}{3H} \frac{\partial V}{\partial \chi}$$

negligible

# Topics

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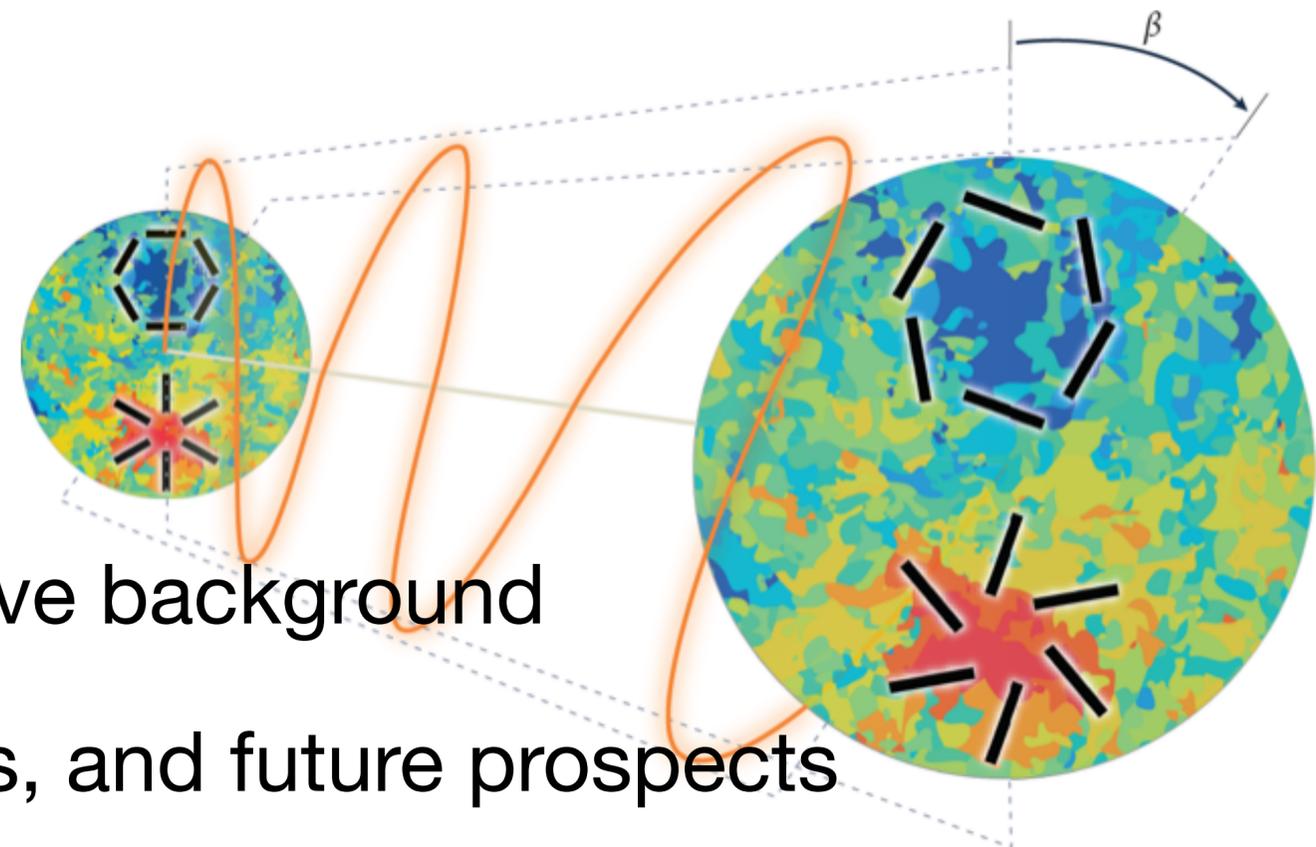
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# 6.1 Polarization of Light

# Phase velocity of circular polarization states

$c=1$

- We write

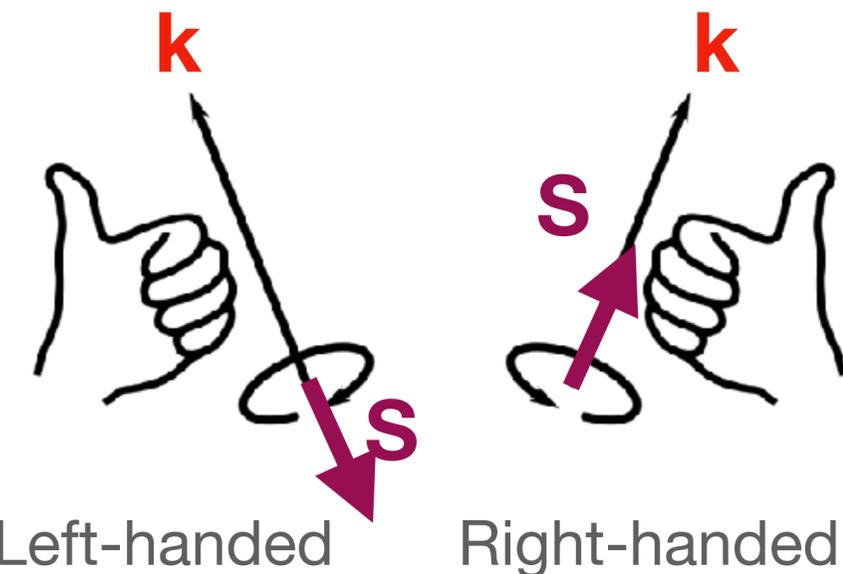
$$A''_{\pm} + \omega_{\pm}^2 A_{\pm} = 0, \quad \omega_{\pm}^2 = k^2 \mp \frac{k\alpha\chi'}{f}$$

- In contrast to inflation, where  $\omega_{\pm}^2$  can become negative (Day 3), we will work in the limit of  $k^2 \gg k\alpha\chi'/f$ . This approximation is accurate for the photons we observe today.

- The phase velocity of circular polarization states,  $\omega_{\pm}/k$ , is

$$\frac{\omega_{\pm}}{k} \simeq 1 \mp \frac{\alpha\chi'}{2kf}$$

+: Right-handed state  
-: Left-handed state



# Plane-wave Solution

$c=1$

$$A_{\pm}'' + \omega_{\pm}^2 A_{\pm} = 0, \quad \omega_{\pm} \simeq k \mp \frac{\alpha \chi'}{2f}$$

- For  $|\omega'_{\pm}| \ll \omega_{\pm}^2$ , which is satisfied here, an accurate solution is given by

$$A_{\pm} \simeq C_{\pm} \frac{\exp\left(-i \int d\tau \omega_{\pm} + i\delta_{\pm}\right)}{\sqrt{2\omega_{\pm}} \simeq \sqrt{2k}}$$

We can replace  $\omega_{\pm}$  in amplitude (but not in phase) with  $k$ .

where  $C_{\pm}$  is the initial amplitude and  $\delta_{\pm}$  is the initial phase.

# Electric Field

## In the circular polarization basis

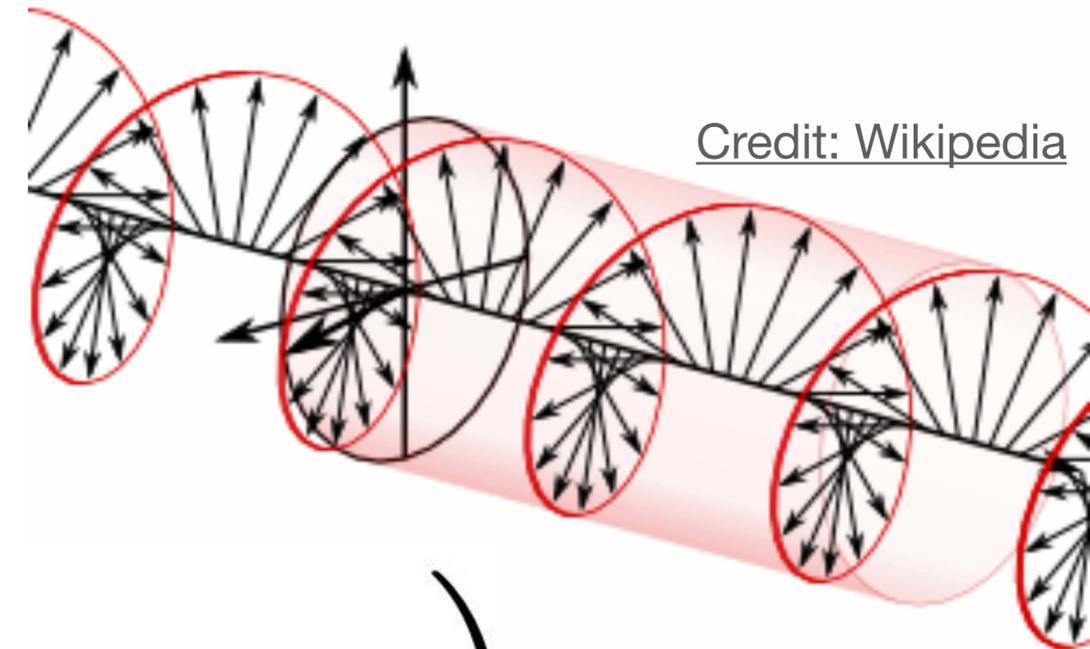
- As  $\mathbf{E} = -a^{-2}\mathbf{A}'$ ,

$$E_{\pm} \simeq i \sqrt{\frac{k}{2}} \frac{C_{\pm}}{a^2(\tau)} \exp \left( -i \int d\tau \omega_{\pm} + i\delta_{\pm} \right)$$

where  $a(\tau_{\text{ini}}) = 1$  at the initial conformal time,  $\tau_{\text{ini}}$ .

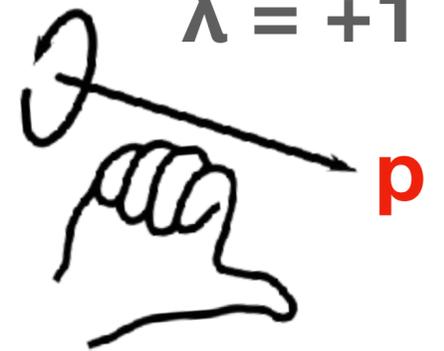
- The circular polarization is given by  $V = |E_+|^2 - |E_-|^2 \propto |C_+|^2 - |C_-|^2$ .  
Therefore, the **Chern-Simons term with  $|\omega'_{\pm}| \ll \omega_{\pm}^2$  does not create new circular polarization**, if there was no circular polarization to begin with.

The arrows show directions of the electric field vector  $\mathbf{E}$ .



Credit: Wikipedia

Right-handed  
 $\lambda = +1$



# Electric Field

## In the circular polarization basis

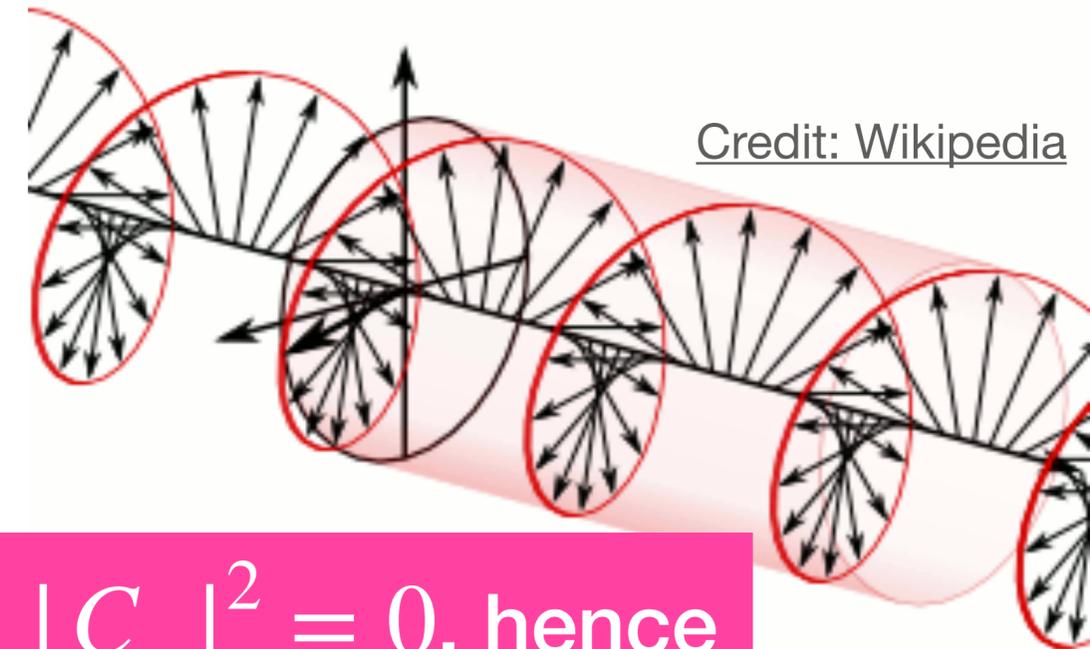
- As  $\mathbf{E} = -a^{-2}\mathbf{A}'$ ,

$$E_{\pm} \simeq i \sqrt{\frac{k}{2}} \frac{C_{\pm}}{a^2(\tau)}$$

We will assume  $|C_+|^2 - |C_-|^2 = 0$ , hence no circular polarization. But, it can be *linearly polarized*.

where  $a(\tau_{\text{ini}}) = 1$  at the initial conformal time,  $\tau_{\text{ini}}$ .

- The circular polarization is given by  $V = |E_+|^2 - |E_-|^2 \propto |C_+|^2 - |C_-|^2$ . Therefore, the **Chern-Simons term with  $|\omega'_{\pm}| \ll \omega_{\pm}^2$  does not create new circular polarization**, if there was no circular polarization to begin with.



Credit: Wikipedia

right-handed  
 $\lambda = +1$

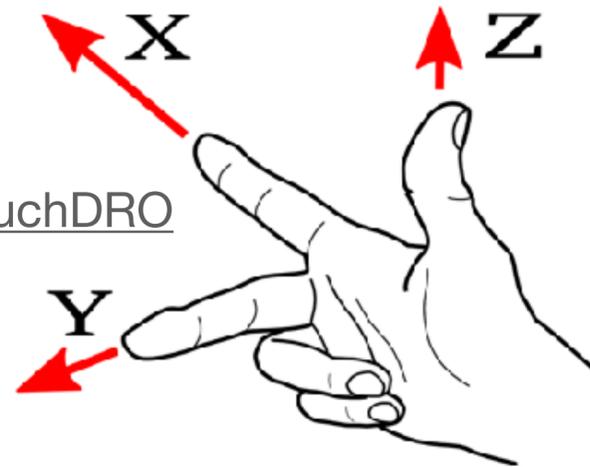
**p**

# Linear Polarization: Stokes Parameters

## Q and U

- In the right-handed coordinate system, the light is coming towards us in the z-direction.

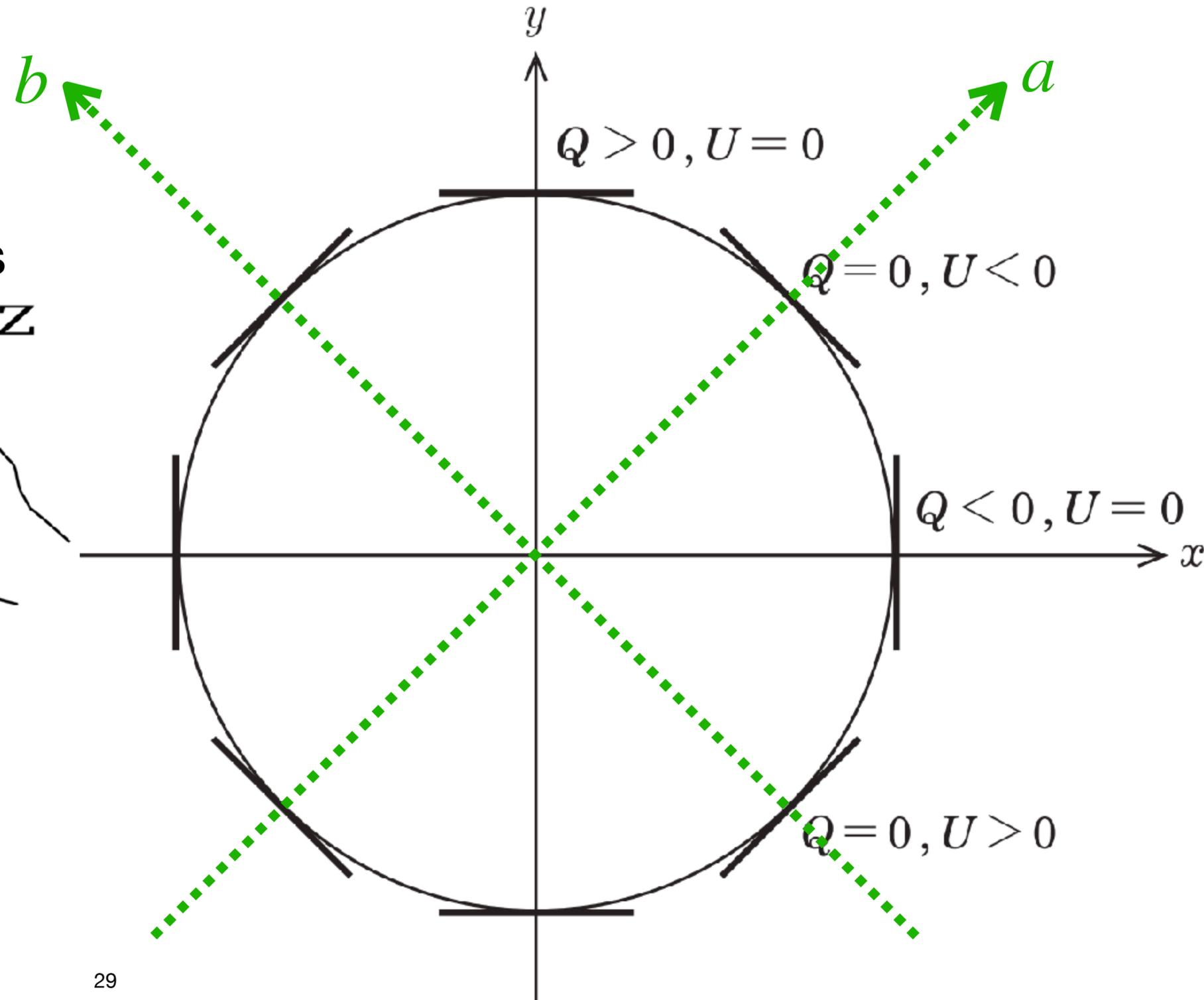
Credit: TouchDRO



- Each thick black line shows the direction of linear polarization.

- $Q \propto |E_x|^2 - |E_y|^2$

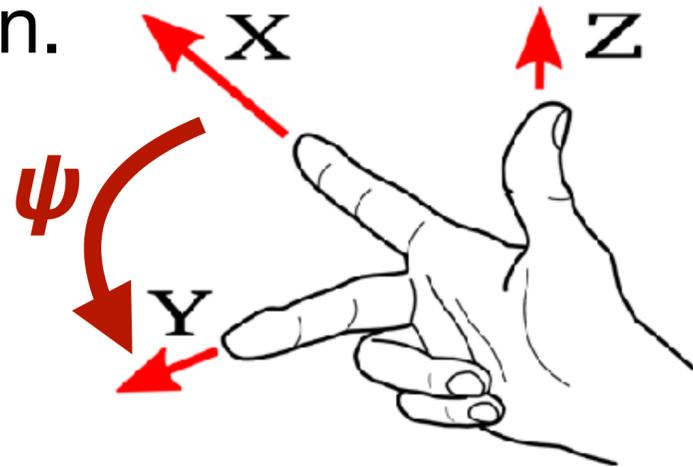
- $U \propto |E_a|^2 - |E_b|^2 = 2\text{Re}(E_x^* E_y)$



# Linear Polarization: Stokes Parameters

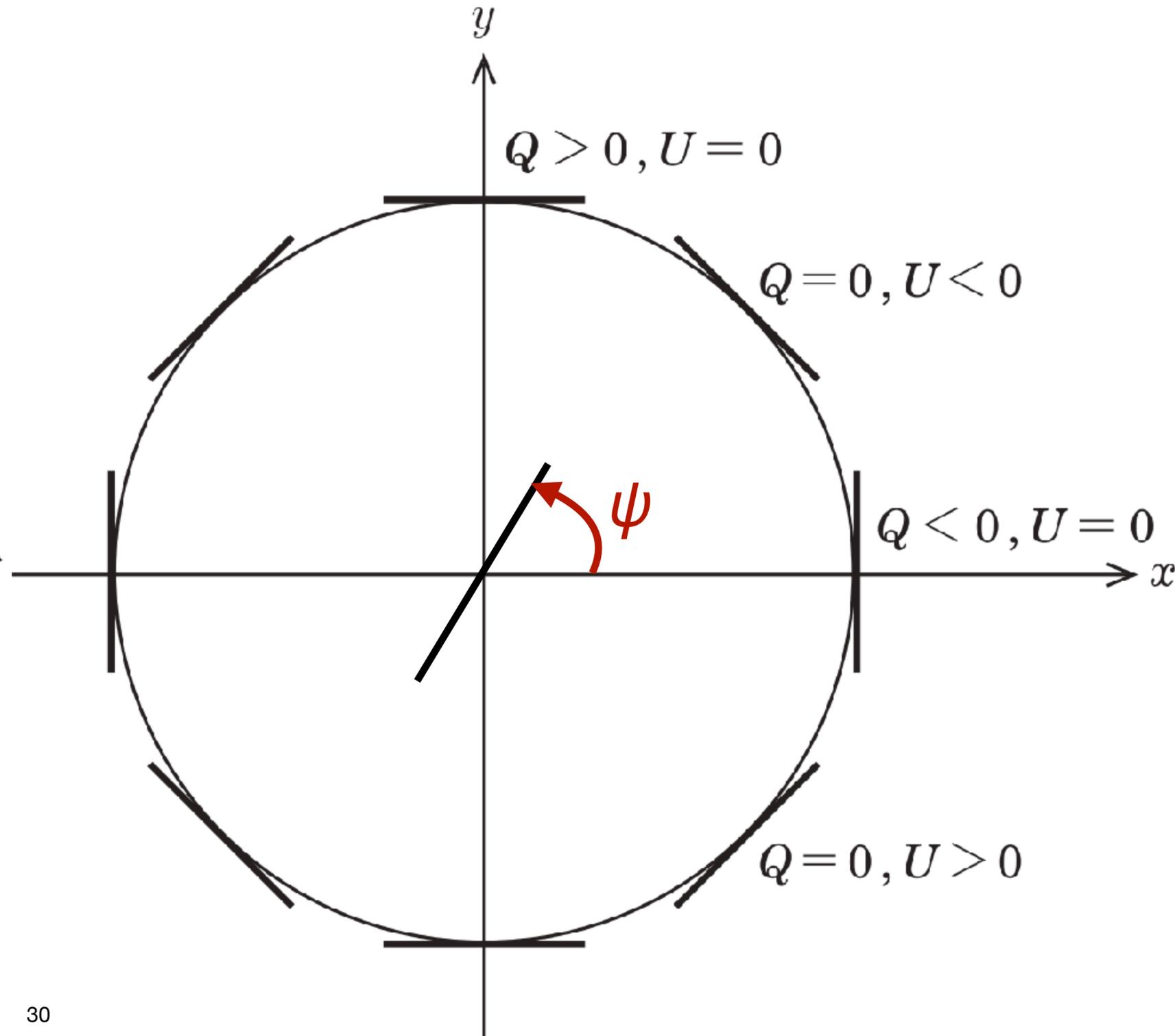
## $\psi$ : Position Angle (PA)

- In the right-handed coordinate system, the light is coming towards us in the z-direction.



- The position angle (PA) the plane of linear polarization is given by

$$\frac{U}{Q} = \tan(2\psi)$$



# Linear Polarization: Stokes Parameters

## $Q \pm iU$ : Spin-2 Field

- The complex combination,

$$Q \pm iU = P e^{\pm 2i\psi}$$

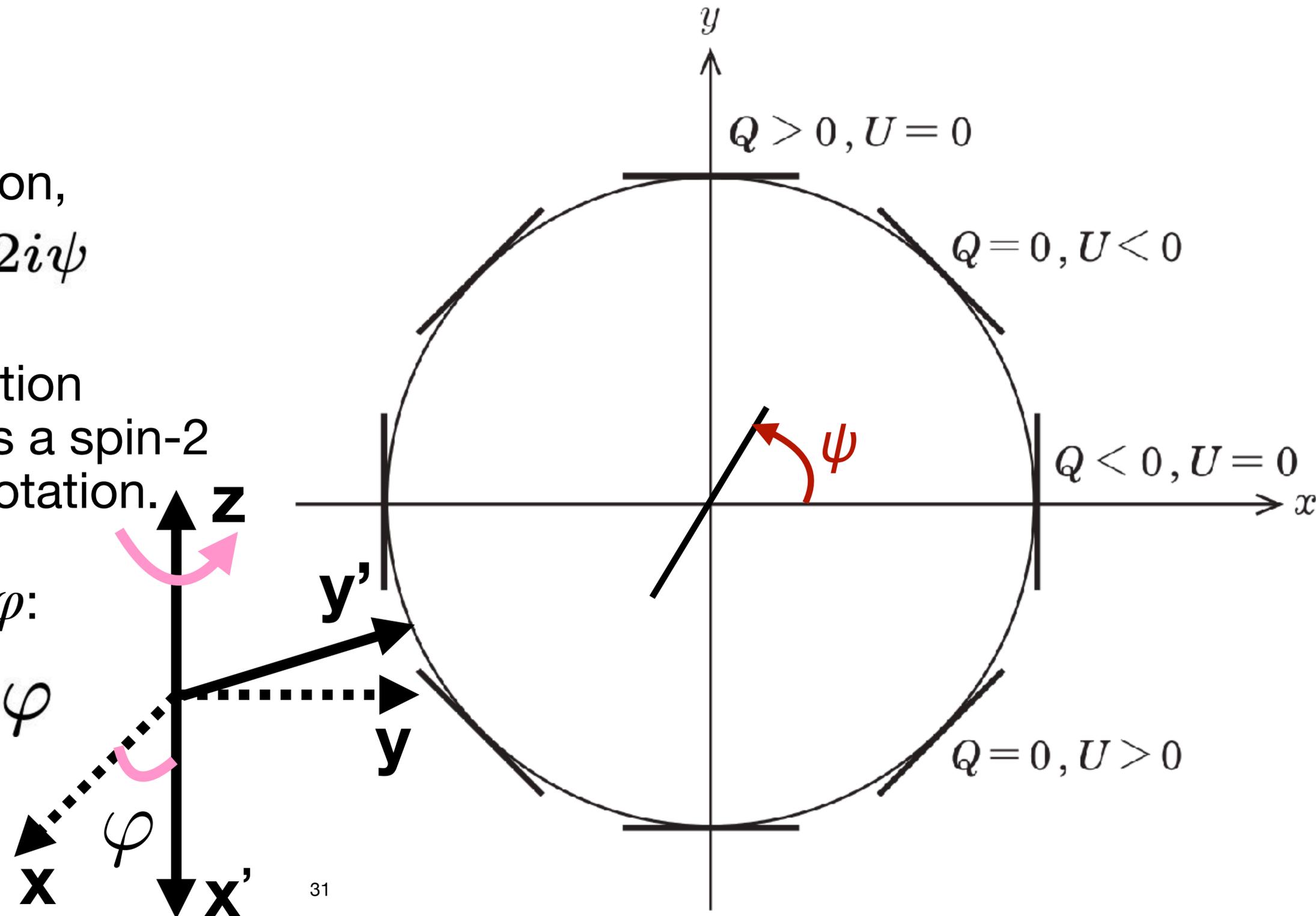
where  $P$  is the “polarization intensity”, transforms as a spin-2 field under coordinate rotation.

- Coordinate rotation by  $\varphi$ :

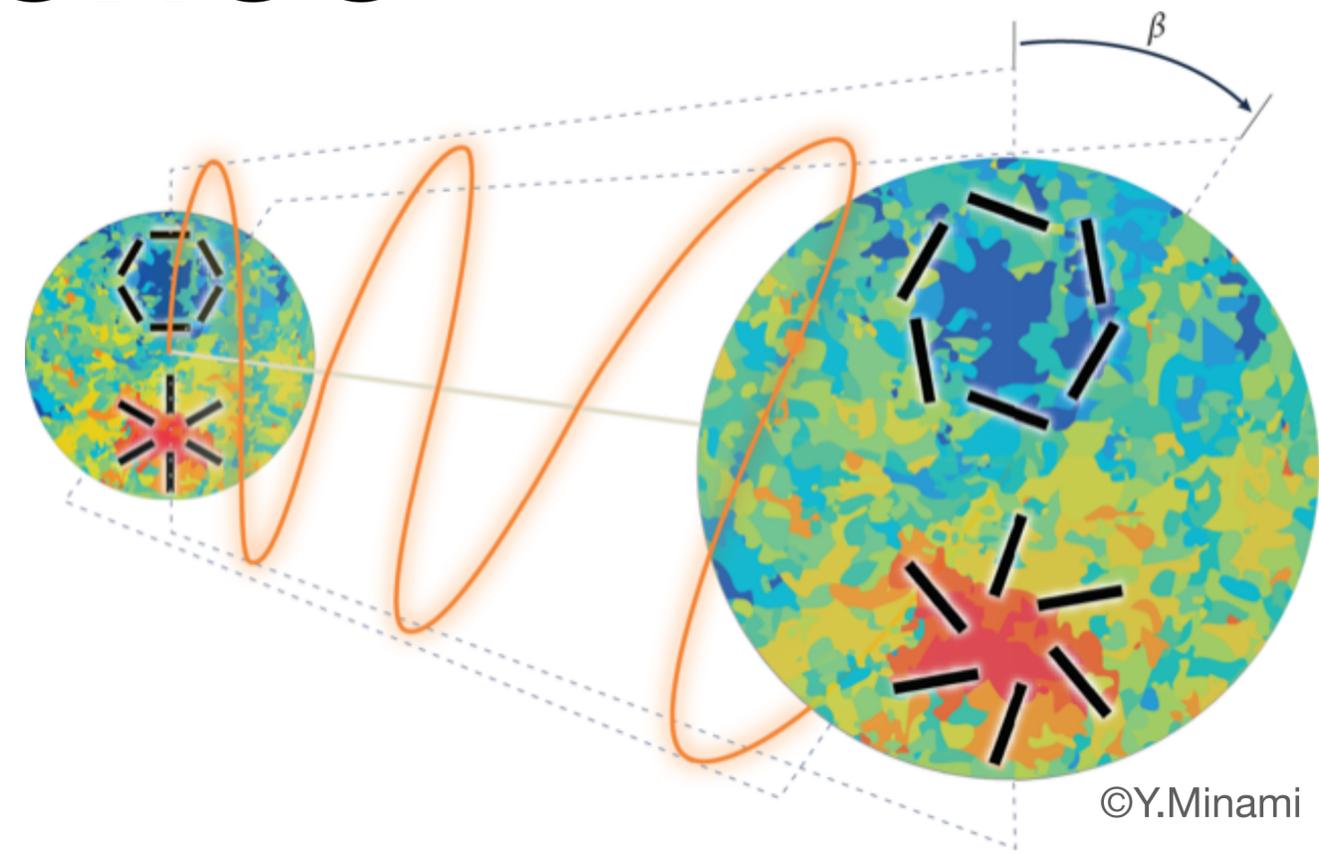
$$\psi \rightarrow \psi' = \psi - \varphi$$

- Thus,

$$Q' \pm iU' = e^{\mp 2i\varphi} (Q \pm iU)$$



# 6.2 Cosmic Birefringence



# Let's calculate the linear polarization

From  $E_{\pm}$  to  $E_x, E_y$

- $E_{\pm} = (E_x \mp iE_y)/\sqrt{2}$  (Day 2)
- $E_x = (E_+ + E_-)/\sqrt{2}$
- $E_y = i(E_+ - E_-)/\sqrt{2}$
- $Q \propto |E_x|^2 - |E_y|^2 = 2\text{Re}(E_+^*E_-)$
- $U \propto 2\text{Re}(E_x^*E_y) = 2\text{Im}(E_+^*E_-)$

# Cosmic Birefringence due to the CS term

## Rotation of the plane of linear polarization

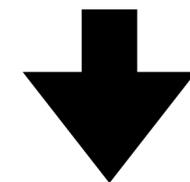
$$A''_{\pm} + \omega_{\pm}^2 A_{\pm} = 0, \quad \omega_{\pm} \simeq k \mp \frac{\alpha \chi'}{2f}$$

- $E_{\pm} = (E_x \mp iE_y)/\sqrt{2}$  (Day 2)

- $E_x = (E_+ + E_-)/\sqrt{2}$

- $E_y = i(E_+ - E_-)/\sqrt{2}$

$$E_{\pm} \propto \exp \left( -i \int d\tau \omega_{\pm} + i\delta_{\pm} \right)$$



- $Q \propto |E_x|^2 - |E_y|^2 = 2\text{Re}(E_+^* E_-)$

- $U \propto 2\text{Re}(E_x^* E_y) = 2\text{Im}(E_+^* E_-)$

$$Q \propto \cos \left[ \int d\tau (\omega_+ - \omega_-) - (\delta_+ - \delta_-) \right]$$

$$U \propto \sin \left[ \int d\tau (\omega_+ - \omega_-) - (\delta_+ - \delta_-) \right]$$

# Cosmic Birefringence due to the CS term

## Rotation of the plane of linear polarization

$$A''_{\pm} + \omega_{\pm}^2 A_{\pm} = 0, \quad \omega_{\pm} \simeq k \mp \frac{\alpha \chi'}{2f}$$

- $E_{\pm} = (E_x \mp iE_y)/\sqrt{2}$  (Day 2)

- $E_x = (E_+ + E_-)/\sqrt{2}$

- $E_y = i(E_+ - E_-)/\sqrt{2}$

$$E_{\pm} \propto \exp\left(-i \int d\tau \omega_{\pm} + i\delta_{\pm}\right)$$

- $Q \propto |E_x|^2 - |E_y|^2 = 2\text{Re}(E_+^* E_-)$

- $U \propto 2\text{Re}(E_x^* E_y) = 2\text{Im}(E_+^* E_-)$

$$Q \propto \cos \left[ \int d\tau (\omega_+ - \omega_-) - (\delta_+ - \delta_-) \right]$$

$$U \propto \sin \left[ \int d\tau (\omega_+ - \omega_-) - (\delta_+ - \delta_-) \right]$$

$$\frac{U}{Q} = \tan(2\psi)$$

$$\psi = \frac{1}{2} \int d\tau (\omega_+ - \omega_-) - \frac{1}{2} (\delta_+ - \delta_-)$$

Rotation of PA!      Initial PA

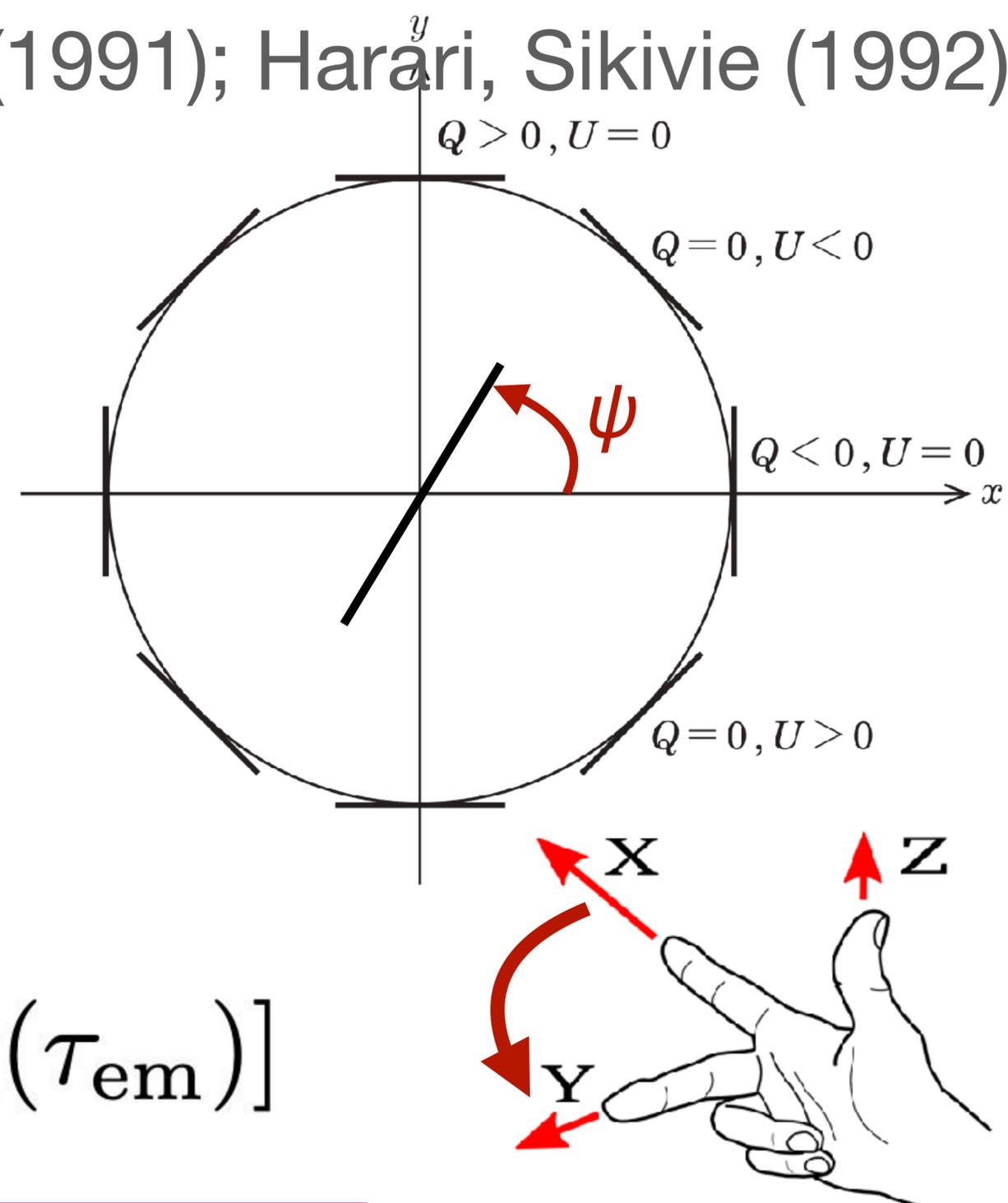
Carroll, Field, Jackiw (1990); Carroll, Field (1991); Harari, Sikivie (1992)

# Cosmic Birefringence

$$\omega_{\pm} \simeq k \mp \frac{\alpha \chi'}{2f}$$

- Using  $\omega_{+} - \omega_{-} = -\alpha \chi' / f$ , we find

$$\begin{aligned} \psi_{\text{obs}} - \psi_{\text{em}} &= -\frac{\alpha}{2f} \int_{\tau_{\text{em}}}^{\tau_{\text{obs}}} d\tau \chi' \\ &= -\frac{\alpha}{2f} [\chi(\tau_{\text{obs}}) - \chi(\tau_{\text{em}})] \end{aligned}$$



The rotation angle is given by the difference between scalar field values at the emission and observation times and is independent of events in-between.

$\psi > 0$  is a *counter-clockwise* rotation on the sky.

di Serego Alighieri (2017)

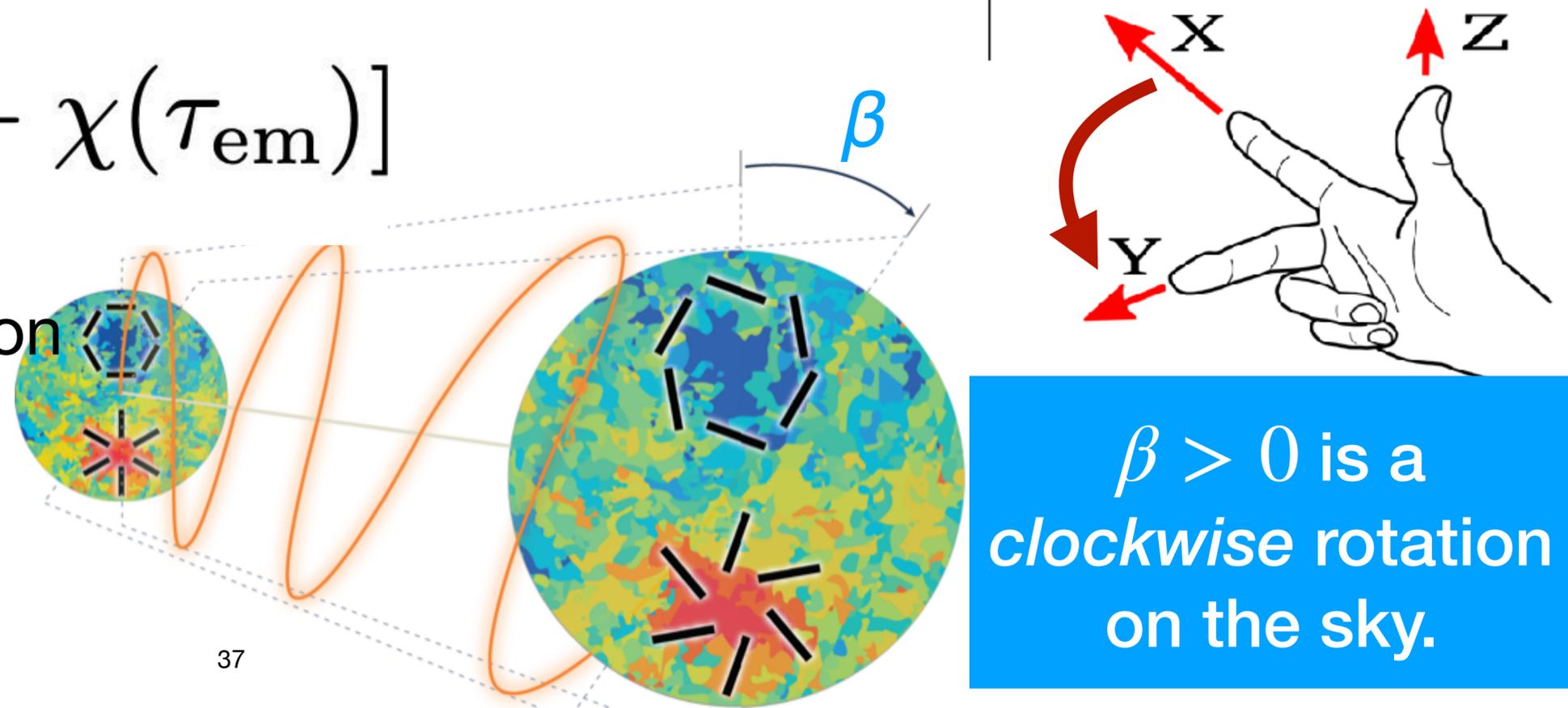
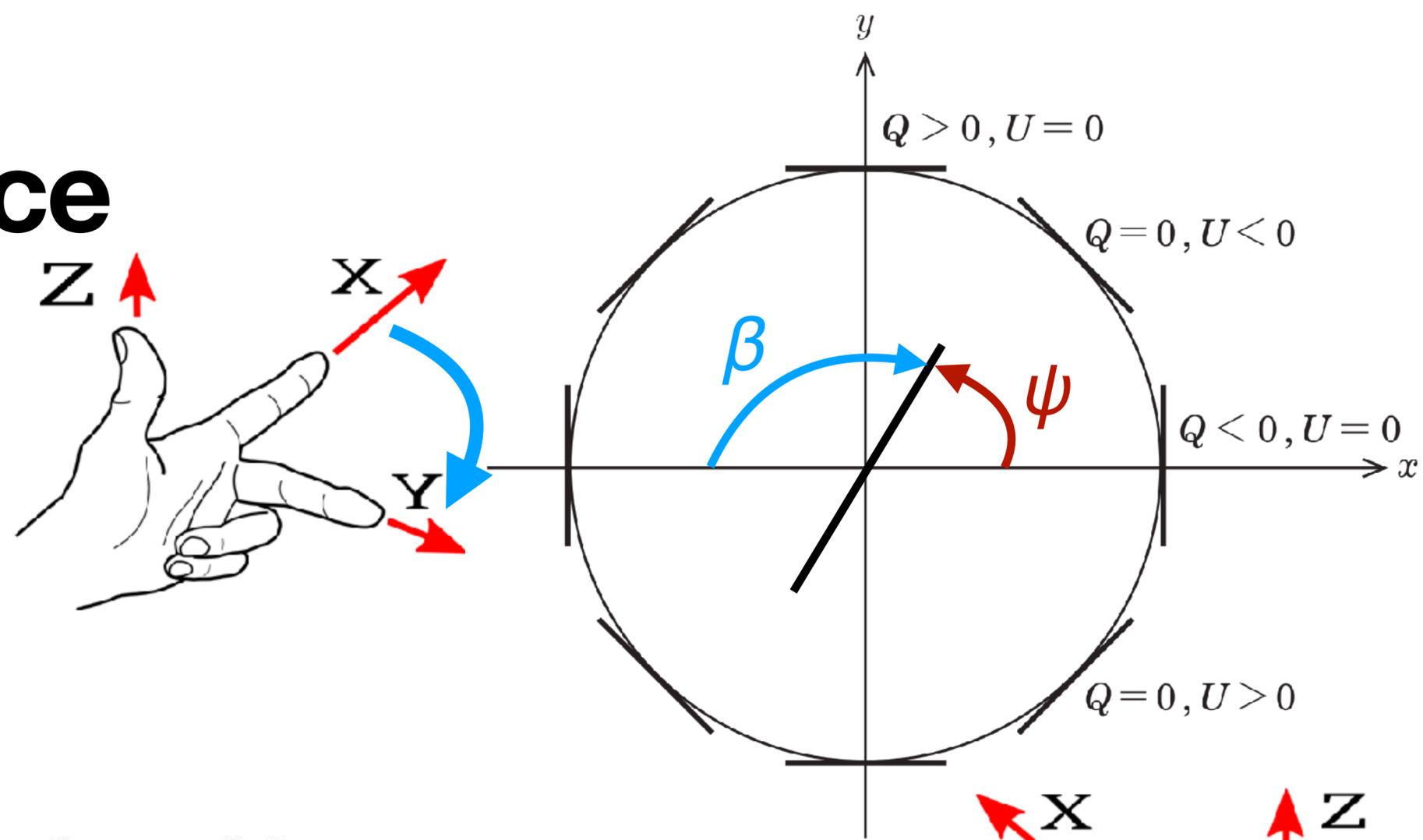
# Cosmic Birefringence

In “CMB Convention”

- People working on the cosmic microwave background (CMB) use the **opposite sign** for the angle, called “CMB convention”.

$$\beta = + \frac{\alpha}{2f} [\chi(\tau_{\text{obs}}) - \chi(\tau_{\text{em}})]$$

- We will use the CMB convention for the rest of this lecture.



# Recap: Day 5

- A scalar field is a candidate for dark matter and dark energy.
- For a massive free field with  $V(\chi) = m^2\chi^2/2$ , the cosmological evolution of  $\chi$  is very different for  $m < H$  ( $\chi \sim \text{const.}$ ) and  $m > H$  (oscillation).
- The Chern-Simons interaction between  $\chi$  and photons rotates the plane of linear polarization of light.
- **This effect, called “cosmic birefringence”, is a signature of parity violation** and a useful probe of the nature of dark matter and dark energy!

$$I_{\text{CS}} = \int d^4x \sqrt{-g} \left( -\frac{\alpha}{4f} \chi F \tilde{F} \right) \Rightarrow \beta = +\frac{\alpha}{2f} [\chi(\tau_{\text{obs}}) - \chi(\tau_{\text{em}})]$$

