# Physics of CMB Anisotropies

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# Lecture Slides

- Available at
  - <u>https://wwwmpa.mpa-garching.mpg.de/~komatsu/</u> <u>lectures--reviews.html</u>
- Or, just find my website and follow "LECTURES & REVIEWS" link

# Planning: Day 1 (today)

#### • Lecture 1

- Brief introduction of the CMB research
- Temperature anisotropy from gravitational effects
- Power spectrum basics

# Planning: Day 2 & 3

#### • Lecture 2

- Temperature anisotropy from hydrodynamical effects (sound waves)
- Lecture 3
  - Cosmological parameter dependence of the temperature power spectrum
  - Polarisation of the CMB
  - Gravitational waves and their imprints on the CMB

# Hot, dense, opaque universe -> "Decoupling" (transparent universe) -> Structure Formation

From "Cosmic Voyage"

# Sky in Optical (~0.5µm)

## Sky in Microwave (~1mm)

## Sky in Microwave (~1mm)

# Light from the fireball Universe filling our sky (2.7K)

## The Cosmic Microwave Background (CMB)

# **410 photons** per cubic centimeter!!



All you need to do is to detect radio waves. For example, 1% of noise on the TV is from the fireball Universe



#### I:25 model of the antenna at Bell Lab The 3rd floor of Deutsches Museum

#### The real detector system used by Penzias & Wilson The 3rd floor of Deutsches Museum





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### May 20, 1964 CMB Di Discovered 6.7-2.3-0.8-0.1 $= 3.5 \pm 1.0 K$

Z

Schreiberaufzeichnung der ersten Messung des Mikrowellenhintergrundes am 20.5.1964

1724

with Cdl

E DT HUS

gration Gard

Recording of the first measurement of cosmic microwave backgrounds radiation taken on 5/20/1964.





Full-dome movie for planetarium Director: Hiromitsu Kohsaka

# HORIZON

Beyond the Edge of the Visible Universe

#### Won the Best Movie Awards at "FullDome Festival" at Brno, June 5–8, 2018

1 2:27 / 2:51

HORIZON :Beyond the Edge of the Visible Universe [Trailer]

### **1989 COBE**







### WMAP Science Team July 19, 2002

- WMAP was launched on June 30, 2001
- The WMAP mission ended after 9 years of operation



### Concept of "Last Scattering Surface"









# Notation

 Notation in my lectures follows that of the text book "Cosmology" by Steven Weinberg



# **Cosmological Parameters**

Unless stated otherwise, we shall assume a spatially-flat
 Λ Cold Dark Matter (ΛCDM) model with

 $\Omega_B h^2 = 0.022$  [baryon density]  $\Omega_M h^2 = 0.14$  [total mass density]  $\Omega_M = 0.3$ 

which implies:

 $\Omega_A = 0.7, \ \Omega_D h^2 = 0.118, \ \Omega_B = 0.04714$ 

 $H_0 = 100 \ h \ \mathrm{km \ s^{-1} \ Mpc^{-1}}$ ;  $H_0 = 68.31 \ \mathrm{km \ s^{-1} \ Mpc^{-1}}$ 

# How light propagates in a clumpy universe?

Photons gain/lose energy by gravitational blue/redshifts

this lecture

Photons change their directions via gravitational lensing

not covered

- Static (i.e., non-expanding) Euclidean space
  - In Cartesian coordinates  $\boldsymbol{x} = (x, y, z)$

$$ds^2 = dx^2 + dy^2 + dz^2$$

- Homogeneously expanding Euclidean space
  - In Cartesian **comoving** coordinates x = (x, y, z)

$$ds^{2} = a^{2}(t)(dx^{2} + dy^{2} + dz^{2})$$

"scale factor"

- Homogeneously expanding Euclidean space
  - In Cartesian **comoving** coordinates x = (x, y, z)

$$ds^2 = a^2(t) \sum_{i=1}^3 \sum_{j=1}^3 \delta_{ij} dx^i dx^j$$
  
"scale factor"  $i=1 \ j=1 \ \delta_{ij} = 1 \ \delta_{ij} = 1$ 

- Inhomogeneous curved space
  - In Cartesian **comoving** coordinates x = (x, y, z)

$$\frac{ds^2}{ds^2} = a^2 \sum_{i=1}^3 \sum_{j=1}^3 (\delta_{ij} + h_{ij}) dx^i dx^j$$
"metric perturbation"
-> CURVED SPACE!

# Not just space...

- Einstein told us that a clock ticks slowly when gravity is strong...
- Space-time distance, ds<sub>4</sub>, is modified by the presence of gravitational fields

$$ds_4^2 = -\exp(2\Phi)dt^2 + a^2\exp(-2\Psi)\sum_{i=1}^3\sum_{j=1}^3 [\exp(D)]_{ij}dx^i dx^j$$

- ${I\hspace{-.2em}/}\Phi$  : Newton's gravitational potential
- $\Psi$  : Spatial scalar curvature perturbation

 $D_{ij}$  : Tensor metric perturbation [=gravitational waves]

### Tensor perturbation D<sub>ij</sub>: Area-conserving deformation

• Determinant of a matrix

 $[\exp(D)]_{ij} \equiv \delta_{ij} + D_{ij} + \frac{1}{2} \sum_{k=1}^{3} D_{ik} D_{kj} + \frac{1}{6} \sum_{km} D_{ik} D_{km} D_{mj} + \cdots$ 

is given by  $\exp(\sum_{i} D_{ii})$ 

- Thus, D<sub>ij</sub> must be trace-less  $\sum_i D_{ii} = 0$ if it is area-conserving deformation of two points in space



# Not just space...

- Einstein told us that a clock ticks slowly when gravity is strong...
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- ${I\hspace{-.2em}/}\Phi$  : Newton's gravitational potential
- $\boldsymbol{\Psi}$  : Spatial scalar curvature perturbation
  - is a perturbation to the determinant of spatial metric

# Evolution of photon's coordinates

• Photon's path is determined such that the distance traveled by a photon between two points is minimised. This yields the equation of motion for photon's coordinates  $x^{\mu} = (t, x^{i})$   $\mathbf{y}^{\dagger}$  $\frac{d^{2}x^{\lambda}}{du^{2}} + \sum_{\mu=0}^{3} \sum_{\nu=0}^{3} \Gamma^{\lambda}_{\mu\nu} \frac{dx^{\mu}}{du} \frac{dx^{\nu}}{du} = 0$ 

photon's pat

This equation is known as the "geodesic equation". The second term is needed to keep the form of the equation unchanged under general coordinate transformation => GRAVITATIONAL EFFECTS!
# Evolution of photon's momentum

 It is more convenient to write down the geodesic equation in terms of the photon momentum:



$$\begin{split} & \textbf{Some calculations...} \\ & \frac{dp^{\lambda}}{dt} + \sum_{\mu=0}^{3} \sum_{\nu=0}^{3} \Gamma_{\mu\nu}^{\lambda} \frac{p^{\mu}p^{\nu}}{p^{0}} = 0 \\ & \textbf{With } \overline{ds_{4}^{2}} = \sum_{\mu\nu} g_{\mu\nu} dx^{\mu} dx^{\nu} \left( \frac{g_{00} = -\exp(2\Phi), \ g_{0i} = 0,}{g_{ij} = a^{2}\exp(-2\Psi)[\exp(D)]_{ij}} \right) \\ & \Gamma_{\mu\nu}^{\lambda} \equiv \frac{1}{2} \sum_{\rho=0}^{3} g^{\lambda\rho} \left( \frac{\partial g_{\rho\mu}}{\partial x^{\nu}} + \frac{\partial g_{\rho\nu}}{\partial x^{\mu}} - \frac{\partial g_{\mu\nu}}{\partial x^{\rho}} \right) \\ & \textbf{Scalar perturbation [valid to all orders]} \quad \textbf{Tensor perturbation [valid to 1st order in D]} \\ & \Gamma_{0j}^{\alpha} = \left( \frac{a}{a} - \psi \right) \delta_{j}^{i}, \ \Gamma_{0j}^{\alpha} = \exp(-2\Phi) \left( \frac{a}{a} - \psi \right) g_{ij}, \\ & \Gamma_{ij}^{k} = \delta_{ij} \sum_{\ell} \delta^{k\ell} \frac{\partial \Psi}{\partial x^{\ell}} - \delta_{i}^{k} \frac{\partial \Psi}{\partial x^{j}} - \delta_{j}^{k} \frac{\partial \Psi}{\partial x^{i}}, \end{split}$$

Recap

Math may be messy but the concept is transparent!

- Requiring photons to travel between two points in space-time with the minimum path length, we obtained the geodesic equation
- The geodesic equation contains Γ<sup>λ</sup><sub>µν</sub> that is required to make the form of the equation unchanged under general coordinate transformation
- Expressing  $\Gamma^{\lambda}_{\mu\nu}$  in terms of the metric perturbations, we obtain the desired result the equation that describes the rate of change of the photon energy!

$$p^2 \equiv \sum_{i=1}^3 \sum_{j=1}^3 g_{ij} p^i p^j$$

#### The Result

$$\frac{1}{p}\frac{dp}{dt} = -\frac{\dot{a}}{a} + \dot{\Psi} - \frac{1}{a}\sum_{i}\frac{\partial\Phi}{\partial x^{i}}\gamma^{i} - \frac{1}{2}\sum_{ij}\dot{D}_{ij}\gamma^{i}\gamma^{j}$$

γ<sup>i</sup> is a unit vector of the direction of photon's momentum:

 $\sum_{i} (\gamma^i)^2 = 1$ 

• Let's interpret this equation *physically* 

 $\sum (\gamma^i)^2 = 1$ 

#### The Result

$$\frac{1}{p}\frac{dp}{dt} = -\frac{\dot{a}}{a} + \dot{\Psi} - \frac{1}{a}\sum_{i}\frac{\partial\Phi}{\partial x^{i}}\gamma^{i} - \frac{1}{2}\sum_{ij}\dot{D}_{ij}\gamma^{i}\gamma^{j}$$

γ<sup>i</sup> is a unit vector of the direction of photon's momentum:

- Cosmological redshift
  - Photon's wavelength is stretched in proportion to the scale factor, and thus the photon energy decreases as

$$p \propto a^{-1}$$

#### The Result

$$\frac{1}{p}\frac{dp}{dt} = -\frac{\dot{a}}{a} + \dot{\Psi} - \frac{1}{a}\sum_{i}\frac{\partial\Phi}{\partial x^{i}}\gamma^{i} - \frac{1}{2}\sum_{ij}\dot{D}_{ij}\gamma^{i}\gamma^{j}$$

- Cosmological redshift part II
  - The spatial metric is given by  $ds^2 = a^2(t) \exp(-2\Psi) d\mathbf{x}^2$
  - Thus, locally we can define a new scale factor:

$$\tilde{a}(t, \mathbf{x}) = a(t) \exp(-\Psi)$$

• Then the photon momentum decreases as

$$p \propto \tilde{a}^{-1}$$

#### The Result

$$\frac{1}{p}\frac{dp}{dt} = -\frac{\dot{a}}{a} + \dot{\Psi} - \frac{1}{a}\sum_{i}\frac{\partial\Phi}{\partial x^{i}}\gamma^{i} - \frac{1}{2}\sum_{ij}\dot{D}_{ij}\gamma^{i}\gamma^{j}$$

Gravitational blue/redshift (Scalar)



#### The Result

$$\frac{1}{p}\frac{dp}{dt} = -\frac{\dot{a}}{a} + \dot{\Psi} - \frac{1}{a}\sum_{i}\frac{\partial\Phi}{\partial x^{i}}\gamma^{i} - \frac{1}{2}\sum_{ij}\dot{D}_{ij}\gamma^{i}\gamma^{j}$$

Gravitational blue/redshift (Tensor)

$$D_{ij} = \begin{pmatrix} h_+ & h_{\times} & 0 \\ h_{\times} & -h_+ & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

#### The Result

$$\frac{1}{p}\frac{dp}{dt} = -\frac{\dot{a}}{a} + \dot{\Psi} - \frac{1}{a}\sum_{i}\frac{\partial\Phi}{\partial x^{i}}\gamma^{i} - \frac{1}{2}\sum_{ij}\dot{D}_{ij}\gamma^{i}\gamma^{j}$$

 $\uparrow$ 

Gravitational blue/redshift (Tensor)

$$D_{ij} = \begin{pmatrix} h_{+} & h_{\times} & 0 \\ h_{\times} & -h_{+} & 0 \\ 0 & 0 & 0 \end{pmatrix} \xrightarrow{i} \xrightarrow{i} \xrightarrow{h_{+} > 0} \times$$









# Initial Condition



- "Were photons hot or cold at the bottom of the potential well at the last scattering surface?"
- This must be assumed a priori only the data can tell us!

#### "Adiabatic" Initial Condition

- <u>Definition</u>: "Ratios of the number densities of all species are equal everywhere initially"
  - For i<sup>th</sup> and j<sup>th</sup> species,  $n_i(x)/n_j(x) = constant$
- For a quantity X(t,x), let us define the **fluctuation**,  $\delta X$ , as  $\delta X(t,m{x})\equiv X(t,m{x})-ar{X}(t)$
- Then, the adiabatic initial condition is

$$\frac{\delta n_i(t_{\text{initial}}, \mathbf{x})}{\bar{n}_i(t_{\text{initial}})} = \frac{\delta n_j(t_{\text{initial}}, \mathbf{x})}{\bar{n}_j(t_{\text{initial}})}$$

#### Example: Thermal Equilibrium

- When photons and baryons were in thermal equilibrium in the past, then
  - $n_{photon} \sim T^3$  and  $n_{baryon} \sim T^3$
  - That is to say, thermal equilibrium naturally gives the adiabatic initial condition
  - This gives

$$3 rac{\delta T(t_i, \boldsymbol{x})}{\bar{T}(t_i)}$$

$$rac{\delta 
ho_B(t_i, oldsymbol{x})}{ar{
ho}_B(t_i)}$$

- "B" for "Baryons"
- ρ is the mass density

# **Big Question**

- How about dark matter?
- If dark matter and photons were in thermal equilibrium in the past, then they should also obey the adiabatic initial condition
  - If not, there is no a priori reason to expect the adiabatic initial condition!
- The current data are consistent with the adiabatic initial condition. This means something important for the nature of dark matter!

We shall assume the adiabatic initial condition throughout the lectures

# Adiabatic Solution



• At the last scattering surface, the temperature fluctuation is given by the matter density fluctuation as

$$\frac{\delta T(t_L, \mathbf{x})}{\bar{T}(t_L)} = \frac{1}{3} \frac{\delta \rho_M(t_L, \mathbf{x})}{\bar{\rho}_M(t_L)}$$

### Adiabatic Solution



• On large scales, the matter density fluctuation during the matter-dominated era is given by  $\delta \rho_M / \bar{\rho}_M = -2\Phi$ ; thus,

$$\frac{\delta T(t_L, \mathbf{x})}{\bar{T}(t_L)} = \frac{1}{3} \frac{\delta \rho_M(t_L, \mathbf{x})}{\bar{\rho}_M(t_L)} = -\frac{2}{3} \Phi(t_L, \mathbf{x})$$

$$\frac{\delta T(t_L, \mathbf{x})}{\bar{\rho}_M(t_L)} = -\frac{1}{3} \Phi(t_L, \mathbf{x})$$

the potential well, but...

# Over-density = Cold spot



• Therefore:  $\frac{\Delta T(\hat{n})}{T_0} = \frac{1}{3} \Phi(t_L, \hat{r}_L)$ 

This is negative in an over-density region!





#### Data Analysis

- Decompose temperature fluctuations in the sky into a set of waves with various wavelengths
- Make a diagram showing the strength of each wavelength









Spherical Harmonic  
Transform  
$$\sum_{k=1}^{\infty} \sum_{k=1}^{\ell} V m(x)$$

$$\Delta T(\hat{n}) = \sum_{\ell=1}^{\infty} \sum_{m=-\ell}^{\infty} a_{\ell m} Y_{\ell}^{m}(\hat{n})$$

• Values of  $a_{lm}$  depend on coordinates, but the squared amplitude,  $\sum_{m=-\ell}^{\ell} a_{\ell m} a_{\ell m}^*$ , does not depend on coordinates







For I=m, a halfwavelength,  $\lambda_{\theta}/2$ , corresponds to  $\pi/I$ . Therefore,  $\lambda_{\theta}=2\pi/I$ 



# alm of the SW effect

• Using the inverse transform  $a_{\ell m} = \int d\Omega \Delta T(\hat{n}) Y_{\ell}^{m*}(\hat{n})$ on the Sachs-Wolfe (SW) formula  $\frac{\Delta T(\hat{n})}{T_0} = \frac{1}{3} \Phi(t_L, \hat{r}_L)$ 

and Fourier-transforming the potential, we obtain:

$$a_{\ell m}^{\rm SW} = \frac{T_0}{3} \int d\Omega \ Y_{\ell}^{m*}(\hat{n}) \int \frac{d^3 q}{(2\pi)^3} \ \varPhi_{\boldsymbol{q}} \exp(i\boldsymbol{q} \cdot \hat{n}r_L)$$

\*q is the 3d Fourier wavenumber

The left hand side is the coefficients of <u>2d spherical waves</u>, whereas the right hand side is the coefficients of <u>3d plane</u> <u>waves</u>. How can we make the connection?

# Spherical wave decomposition of a plane wave

$$\exp(i\boldsymbol{q}\cdot\hat{n}r_L) = 4\pi\sum_{\ell=0}^{\infty}i^{\ell}j_{\ell}(qr_L)\sum_{m=-\ell}^{\ell}Y_{\ell}^m(\hat{n})Y_{\ell}^{m*}(\hat{q})$$

This "partial-wave decomposition formula" (or Rayleigh's formula) then gives

$$a_{\ell m}^{\rm SW} = \frac{4\pi T_0 i^{\ell}}{3} \int \frac{d^3 q}{(2\pi)^3} \, \varPhi_{\boldsymbol{q}} j_{\ell}(qr_L) Y_{\ell}^{m*}(\hat{q})$$

 This is the exact formula relating 3d potential at the last scattering surface onto a<sub>lm</sub>. How do we understand this?

$$\mathbf{q} \rightarrow \mathbf{l} \operatorname{projection}$$
$$a_{\ell m}^{\mathrm{SW}} = \frac{4\pi T_0 i^{\ell}}{3} \int \frac{d^3 q}{(2\pi)^3} \, \varPhi_{\mathbf{q}} j_{\ell}(qr_L) Y_{\ell}^{m*}(\hat{q})$$

• A half wavelength,  $\lambda/2$ , at the last scattering surface subtends an angle of  $\lambda/2r_{L}$ . Since  $q=2\pi/\lambda$ , the angle is given by  $\delta\theta=\pi/qr_{L}$ . Comparing this with the relation  $\delta\theta=\pi/l$  (for

I=m), we obtain  $=Q\Gamma_L$ . How can we see this?

 For I>>1, the spherical Bessel function, ji(qrL), peaks at I=qrL and falls gradually toward qrL>I. Thus, a given q mode contributes to large angular scales too.



#### More intuitive approach: Flay-sky Approximation

- Not all of us are familiar with spherical bessel functions...
  - The fundamental complication here is that we are trying to relate a 3d plane wave with a spherical wave.
  - More intuitive approach would be to relate a 3d plane wave with a 2d plane wave

# Decomposition

#### • Full sky

- Decompose temperature fluctuations using spherical harmonics
- Flat sky
  - Decompose temperature fluctuations using Fourier transform
- The former approaches the latter in the small-angle limit



#### 2d Fourier Transform

$$\Delta T(\hat{n}) = \int \frac{d^2 \ell}{(2\pi)^2} a_{\ell} \exp(i\ell \cdot \theta)$$
$$= \int_{-\infty}^{\infty} \frac{\ell d\ell}{d\ell} \int_{-\infty}^{2\pi} \frac{d\phi_{\ell}}{d\ell} a_{\ell} \exp(i\ell \cdot \theta)$$

$$-\int_{0}^{\infty} 2\pi \int_{0}^{\pi} 2\pi u \exp(i \mathbf{c} \cdot \mathbf{v})$$
C.f.,
$$\Delta T(\hat{n}) = \sum_{\ell=1}^{\infty} \sum_{m=-\ell}^{\ell} a_{\ell m} Y_{\ell}^{m}(\hat{n})$$

# a(I) of the SW effect

• Using the inverse 2d Fourier transform on the Sachs-Wolfe (SW) formula  $\frac{\Delta T(\hat{n})}{T_0} = \frac{1}{3} \Phi(t_L, \hat{r}_L)$ 

and Fourier-transforming the potential, we obtain:

$$a_{\ell}^{SW} = \frac{T_0}{3} \int d^2\theta \exp(-i\ell \cdot \theta)$$
$$\times \int \frac{d^3q}{(2\pi)^3} \Phi_{q} \exp(iq_{\perp}r_L \cdot \theta + iq_{\parallel}r_L \cos\theta)$$

flat-sky approx.

$$\begin{aligned} & \operatorname{Flat-sky}\operatorname{Result} \\ a_{\ell}^{\mathrm{SW}} &= \frac{T_0}{3r_L^2} \int_{-\infty}^{\infty} \frac{dq_{\parallel}}{2\pi} \, \varPhi_{\mathbf{q}} \left( \mathbf{q}_{\perp} = \frac{\ell}{r_L}, q_{\parallel} \right) \exp(iq_{\parallel}r_L) \\ & \stackrel{q = \sqrt{\ell^2/r_L^2 + q_{\parallel}^2} \text{ i.e., } q \ge \ell/r_L}{q = \sqrt{\ell^2/r_L^2 + q_{\parallel}^2} \text{ i.e., } q \ge \ell/r_L} \\ \text{C.f.,} & a_{\ell m}^{\mathrm{SW}} &= \frac{4\pi T_0 i^{\ell}}{3} \int \frac{d^3 q}{(2\pi)^3} \, \varPhi_{\mathbf{q}} j_{\ell}(qr_L) Y_{\ell}^{m*}(\hat{q}) \end{aligned}$$

5A1

It is now manifest that only the perpendicular wavenumber contributes to I,

i.e.,  $|=Qperp\Gamma_L$ , giving  $|<qr_L$ 

# Angular Power Spectrum

 The angular power spectrum, C<sub>1</sub>, quantifies how much correlation power we have at a given angular separation.

$$C_{\ell} \equiv \frac{1}{2\ell+1} \sum_{m=-\ell}^{\ell} a_{\ell m} a_{\ell m}^*$$

More precisely: it is l(2l+1)Cl/4π that gives the fluctuation power at a given angular separation, ~π/l. We can see this by computing variance:

$$\int \frac{d\Omega}{4\pi} \Delta T^2(\hat{n}) = \frac{1}{4\pi} \sum_{\ell=2}^{\infty} \sum_{m=-\ell}^{\ell} a_{\ell m} a_{\ell m}^* = \sum_{\ell=2}^{\infty} \frac{2\ell+1}{4\pi} C_{\ell}$$

Bennett et al. (1996)

### **COBE 4-year Power Spectrum**

