# Does Bose-Einstein condensation of CMB photons cancel $\mu$ distortions created by dissipation of sound waves in the early Universe?

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#### **ABSTRACT**

The difference in the adiabatic indices of photons and non-relativistic baryonic matter in the early Universe causes the electron temperature to be lower than the radiation temperature by a small amount. Comptonization of photons with colder electrons results in the transfer of energy from photons to electrons and ions due to the recoil effect. Thermalization of photons with a colder plasma results in the accumulation of photons in the Rayleigh-Jeans tail, aided by stimulated recoil, while the higher frequency spectrum tries to approach Planck spectrum at the electron temperature  $T_{\gamma}^{\text{final}} = T_{\text{e}} < T_{\gamma}^{\text{initial}}$ , i.e. Bose-Einstein condensation of photons occurs. We find new solutions of the Kompaneets equation describing this effect. No actual condensate is, in reality, possible since the process is very slow and photons drifting to low frequencies are efficiently absorbed by bremsstrahlung and double Compton processes. The spectral distortions created by Bose-Einstein condensation of photons are within an order of magnitude, with exactly the same spectrum but opposite in sign, of those created by diffusion damping of the acoustic waves on small scales corresponding to a comoving wavenumber  $45 < k < 10^4 \, \text{Mpc}^{-1}$ , the initial perturbations on these scales are completely unobservable today due to their being erased completely by Silk damping. There is cancellation of the two aforementioned distortions, leading to suppression of  $\mu$  distortions expected in the standard model of cosmology. The net distortion depends on the scalar power index  $n_s$  and running of the index d  $\ln n_s/d \ln k$  and vanishes for the currently favored parameters for a running spectrum,  $n_s = 1$ , d  $\ln n_s/d \ln k = -0.038$ . We arrive at an intriguing conclusion: even a null result, non-detection of  $\mu$ -type distortion at a sensitivity of  $10^{-9}$ , gives a quantitative measure of the primordial small scale power spectrum.

Key words. cosmic background radiation — cosmology:theory — early universe —

### 1. Introduction

In the early Universe we have two strongly interacting fluids: cosmic microwave background (CMB) radiation and plasma (=ions+electrons). Electrons and CMB are strongly coupled due to the Compton process with rate of energy transfer from CMB to electrons given by  $R_{\text{compton}} \sim 1.4 \times 10^{-20} (1+z)^4 \text{s}^{-1}$ . This is much faster than the expansion rate,  $H(z) \sim 2.1 \times 10^{-20} (1+z)^2 \text{s}^{-1}$ <sup>1</sup>, and keeps  $T_{\gamma} - T_{\rm e} \ll T_{\gamma}$  at redshifts  $z \gtrsim 200$ .  $T_{\gamma}$  is the effective temperature of radiation (to be defined below) and  $T_{\rm e}$ is the electron temperature. Ions and electrons exchange energy via Coulomb collisions at a rate  $R_{\text{coulomb}} \sim 3 \times 10^{-9} (1+z)^{3/2} \text{s}^{-1}$ (Lifshitz & Pitaevskii 1981) keeping  $T_e - T_i \ll T_e$ , where  $T_i$  is the temperature of ions. Thus we have  $T_{\gamma} \approx T_{\rm e} \approx T_{\rm i}$  to high precision at z > 200. Non-relativistic matter has an adiabatic index of 5/3 while the adiabatic index of radiation is 4/3. Adiabatic cooling due to the expansion of the Universe thus makes the matter cool faster than the radiation while comptonization tries to maintain matter and radiation at the same temperature by transferring energy from radiation to matter. As a result the energy of radiation decreases while the number of photons is conserved (neglecting absorption of photons by bremsstrahlung and double Compton). This effect was discussed by Zeldovich et al. (1968) and Peebles (1968) and leads to a decrease in the temperature of plasma compared to the radiation temperature at  $z \lesssim 200$ when comptonization becomes inefficient due to depletion of electrons from recombination. It also drives the 21-cm line spin temperature to below the radiation temperature raising the possibility of 21-cm absorption of CMB photons by neutral hydrogen. This transfer of energy from CMB to matter happens at all redshifts, as long as there is significant free electron number density, and results in deviations in CMB spectrum from the blackbody. Recently Chluba & Sunyaev (2011) have numerically studied these deviations from the blackbody in the CMB spectrum. Below we will demonstrate that the problem of spectral deviations of CMB due to loss of energy to plasma, in the case of small spectral deviations and small Compton parameter y, has a simple analytic solution, when photon production and destruction is neglected. The resulting flow of photons towards low frequencies as the spectrum tries to approach the Planck spectrum is in fact the Bose-Einstein condensation of photons. The Bose-Einstein condensation of photons unfortunately does not progress very far in reality as low frequency photons are efficiently absorbed by bremsstrahlung and double Compton processes and the Compton process freezes out as the electron den-

<sup>&</sup>lt;sup>1</sup> We give the formula valid during radiation domination just for illustration. Hubble rate remains much smaller than comptonization rate at z > 200 and smaller than the Coulomb rate at even lower redshifts.

sity falls due to the expansion of the Universe and recombination.

Early papers about energy release in the early Universe were concerned with exotic sources like annihilation of matter and antimatter, primordial turbulence, decay of new unstable particles, unwinding of topological defects like domain walls and cosmic strings etc. Experiments beginning with COBE FIRAS (Fixsen et al. 1996) have been unable to find any significant distortions in the CMB from blackbody. New proposals like Pixie (Kogut et al. 2011) are demonstrating that a tremendous increase in the sensitivity is possible in the future experiments. Pixie is proposed to be 1000 times more sensitive than COBE FIRAS. There is hope that this is still not the last word and even higher sensitivity might become possible in the future. Under these circumstances we decided to check what minimum levels of deviations from blackbody spectrum are expected in the standard cosmological model (Komatsu et al. 2011). In this paper we will consider only the spectral distortions arising before the end of dark ages and beginning of reionization. We will demonstrate that, in the absence of decay and annihilation of new unknown particles or any other new physics beyond the standard model, there are only three key reasons for significant global spectral distortions in the CMB.

- 1. Bose-Einstein condensation of CMB photons due to the difference in the adiabatic indices of non-relativistic plasma and photons.
- 2. The energy release due to dissipation of sound waves and initial perturbations due to Silk damping (Silk 1968) and second order Doppler effect due to non-zero peculiar velocity of electrons and baryons in the CMB rest frame, both leading to the superposition of blackbodies (Zeldovich et al. 1972) in the electron rest frame.
- 3. The cosmological recombination radiation from hydrogen and helium (Dubrovich 1975; Peebles 1968; Zeldovich et al. 1968).

We will omit the distortions caused by the cosmological recombination process (e.g., see Chluba & Sunyaev 2006; Sunyaev & Chluba 2009, for more details and references therein) in the discussion below. They have narrow features and different continuum spectrum and can be distinguished from y and  $\mu$  distortions from the first two mechanisms. Cosmological recombination radiation can however become comparable or larger than those discussed here (Chluba & Sunyaev 2011), if the distortions from the first and second process partially cancel. We note also that for any experiment with finite beam size there will be a mixing of blackbodies in the beam due to the temperature fluctuations on the last scattering surface on scales smaller than the beam size leading to inevitable y distortions of magnitude  $\sim (\Delta T/T)^2 \sim 10^{-9} - 10^{-10}$  (Chluba & Sunyaev 2004).

In this paper we find new solutions of the Kompaneets equation describing the CMB spectral distortions arising due to process 1 described above. Second mechanism results in a y-distortion and heating of the electrons at low redshifts. At high redshifts comptonization of CMB on the hotter electrons converts the y-type distortion to a  $\mu$ -type distortion (Sunyaev & Zeldovich (1970b); Illarionov & Sunyaev (1975b)). We will demonstrate below that the aforementioned two sources of distortions work against each other, individually resulting in spectral distortions with opposite sign but the same spectral shape in any part of the CMB spectrum.

The cooling of electrons and the corresponding spectral distortions are easy to calculate and depend only on the standard cosmological parameters like baryon to photon number density  $n_{\rm B}/n_{\rm y}$  and helium fraction which decide the amount of energy losses by CMB, Hubble constant  $(H_0)$  and densities of con-

stituents of the Universe which determine the expansion rate and thus the efficiency of comptonization. On the other hand, the energy released due to dissipation of sound waves crucially depends on the power in small scale fluctuations, and thus the spectral index  $(n_s)$  in the standard cosmological model in addition to the other well measured parameters of the standard cosmological model, (Komatsu et al. 2011). It is interesting to note that for a spectrum with constant  $n_s$  the energy release due to dissipation exceeds the energy losses to adiabatic cooling of baryons and there is net heating of electrons. For a primordial spectrum with a running spectral index, the role of comptonization with colder electrons and Bose-Einstein condensation can become dominant, with the spectral distortions changing sign, and there can be net cooling of electrons and a corresponding decrease of CMB entropy per baryon (specific entropy). Additional increase of entropy during the recombination epoch due to superposition of blackbodies, free streaming as well as Silk damping and second order Doppler effect only produce y-type distortions and can be distinguished from the  $\mu$  distortions created in the earlier epoch.

#### 2. Thermodynamic equilibrium in the early Universe

We can obtain the energy losses due to adiabatic cooling of baryons under the assumption that Compton scattering, bremsstrahlung and double Compton scattering can maintain full thermodynamic equilibrium between the electrons and photons. This is true to high accuracy at  $z > 10^6$  while at smaller redshifts, although bremsstrahlung and double Compton scattering cannot help in restoring a Planck spectrum at all frequencies, comptonization keeps the electron temperature equal to the effective radiation temperature (cf. Eq. (16)) to high precision until  $z \sim 200$ . In the thermodynamic equilibrium entropy is conserved and we can in fact use this to calculate the energy losses due to the expansion of the Universe without referring to comptonization, since it is not really important which physical process is responsible for the equilibrium.

We start with the thermodynamic relation,

$$T_{\gamma} dS = dU + PdV - \mu dN, \tag{1}$$

where S is the entropy, U total thermal energy, P pressure, Vvolume,  $\mu$  chemical potential and N number of particles.  $T_{\gamma}$  is the common temperature of photons, ions and electrons. We can ignore the last term for ions and electrons since their number is fixed after big bang nucleosynthesis ends. For photons also we can ignore the last term if we assume that their chemical potential is 0, which is true in full thermodynamic equilibrium. With this assumption we can write the equation for total entropy per baryon,  $\sigma = s/n_B$ , where s is the entropy density and  $n_B$  is the baryon number density:

$$d\sigma = \frac{d(E/n_B) + Pd(1/n_B)}{T_{\gamma}}$$

$$E = a_R T_{\gamma}^4 + \frac{3}{2} N n_B k_B T_{\gamma}$$
(2)

$$E = a_{\rm R} T_{\gamma}^4 + \frac{3}{2} N n_{\rm B} k_{\rm B} T_{\gamma} \tag{3}$$

$$P = \frac{1}{3}a_{\rm R}T_{\gamma}^4 + Nn_{\rm B}k_{\rm B}T_{\gamma}.\tag{4}$$

Here  $a_R$  is the radiation constant and N is the number of non relativistic particles per baryon, so that  $N n_{\rm B} = n_{\rm eb}$ . We now integrate Eq. (2) to obtain

$$\sigma = \frac{4a_{\rm R}T_{\gamma}^{3}}{3n_{\rm B}} + Nk_{\rm B} \ln \left(\frac{T_{\gamma}^{3/2}}{n_{\rm B}C}\right),\tag{5}$$

where C is an arbitrary constant of integration which is not important for our calculation. The first term above is the contribution from photons and it is clear that in the absent of second term,  $T_{\gamma} \propto (1+z)$ . The second term is the contribution of non relativistic particles and causes the temperature to drop slightly faster in order to conserve the entropy per baryon. We can write  $T_{\gamma} = T(1+t)$ , where  $T = T_i(1+z)/(1+z_i)$  is the background temperature proportional to 1+z and  $t \ll 1$  is the fractional deviation from this law. We can take the initial deviation  $t(z_i) = 0$  at initial redshift  $z_i$  and then calculate the subsequent energy losses to adiabatic cooling of baryons at later redshifts. Thus we can write  $\sigma$ , correct to leading order in t, as

$$\sigma = \frac{4a_{\rm R}T^3}{3n_{\rm B}} + \frac{4a_{\rm R}T^3(3t)}{3n_{\rm B}} + Nk_{\rm B}\ln\left(\frac{T^{3/2}}{n_{\rm B}C}\right) + \frac{3Nk_{\rm B}t}{2}.$$
 (6)

Equating the above to the initial entropy  $\sigma_i = \sigma(z_i)$  with  $t \equiv 0, T \equiv T_i$ , we get upon solving for t (and ignoring the last term in Eq. (6) compared to the second term)

$$t = \frac{3Nn_{\rm B}k_{\rm B}}{8a_{\rm R}T^3} \ln\left(\frac{1+z}{1+z_i}\right)$$

$$= \frac{E_{\rm B}}{4E_{\gamma}} \ln\left(\frac{1+z}{1+z_i}\right)$$

$$= -\frac{5.9 \times 10^{-10}}{4} \ln\left(\frac{1+z_i}{1+z}\right)$$
(7)

with  $\Delta E/E=4t$ , since  $E_{\gamma} \propto T^4$ , and  $E_{\rm B}=\frac{3}{2}k_{\rm B}n_{\rm eb}T_{\rm e}$ . Note that t is negative since  $z_i>z$  and there is net energy loss.  $\ln(z_{\rm max}/z_{\rm min})\sim 10$  for the redshift range of interest,  $200< z<2\times 10^6$ , where a mixture of  $\mu$  and y-type distortions are produced. This result for the total energy extraction is consistent with the estimate obtained by Chluba (2005) and Chluba & Sunyaev (2011).

To estimate the magnitude of total distortions,  $\mu$  and y-type, we take  $z_{\rm max}=z_i=2\times 10^6$  since at greater redshifts spectral distortions are rapidly destroyed by bremsstrahlung and double Compton processes and comptonization, the latter redistributes photons over the whole spectrum. For  $z_{\rm min}=200$  we get  $\Delta E/E=5.4\times 10^{-9}$ . This transfer of energy from radiation to baryons due to a difference in their adiabatic indices results in an inevitable distortion and Bose-Einstein condensation of photons. We will show below that the distortion has a magnitude of  $Y_{\rm BEC}\sim (1/4)\,\Delta E/E\sim 10^{-9}$ . We will define parameter  $Y_{\rm BEC}$  and prove this result below. The corresponding deviation of the electron temperature from the equilibrium temperature of electrons in the radiation field is described by Eq.(16) and is  $(T_{\gamma}-T_{\rm e})/T_{\gamma}\approx 10^{-12}$  at  $z=10^6$  growing to  $\sim 0.01$  at z=500.

In the Universe there are also inevitable processes like the dissipation of acoustic waves and SZ effect from reionization which would lead to normal SZ effect of similar or greater magnitude. Due to the additivity of small spectral distortions these effects will cancel the  $Y_{\rm BEC}$  distortions and this diminishes the hope that this Bose-Einstein condensation will ever be observed. Nevertheless it is important to stress that in the standard cosmology there was an epoch  $10^6 > z > 1000$  when Bose-Einstein condensation of CMB photons due to the difference in the adiabatic indices of matter and radiation was able to create a peculiar deviation of CMB spectrum from the blackbody.

### 3. Alternative direct calculation of Energy losses in CMB

Let us remind the reader that adiabatic cooling of baryons leads to the cooling of radiation but comptonization itself conserves the number of photons. We can write the equation for evolution of average thermal energy density  $E_{\rm B}$  in baryons before recombination as

$$\frac{\mathrm{d}E_B}{\mathrm{d}z} \equiv \frac{3}{2}k_\mathrm{B}T_\mathrm{e}\frac{\mathrm{d}n_\mathrm{eb}}{\mathrm{d}z} + \frac{3}{2}k_\mathrm{B}n_\mathrm{eb}\frac{\mathrm{d}T_\mathrm{e}}{\mathrm{d}z}.\tag{8}$$

The derivative in the first term on the right hand side,  $dn_{eb}/dz = 3n_{eb}/(1+z)$ , is just the decrease in number density of particles (ions and electrons) with redshift z due to the Hubble expansion, with  $n_{eb} = \rho_b/\mu$ , where  $\rho_b$  is the baryon mass density and  $\mu$  is the mean molecular weight. The change in temperature has contribution from adiabatic cooling and also from energy gained from CMB by comptonization.

$$\frac{\mathrm{d}T_{\mathrm{e}}}{\mathrm{d}z} = \frac{2T_{\mathrm{e}}}{1+z} - \frac{2}{3k_{\mathrm{B}}n_{\mathrm{eb}}} S_{\mathrm{Compton}} \tag{9}$$

where  $S_{\text{Compton}} = 4k_{\text{B}}E_{\gamma}n_{\text{e}}\sigma_{\text{T}}(T_{\gamma} - T_{\text{e}})/m_{\text{e}}cH(z)(1+z)$  is the energy transfer rate per unit volume from radiation to baryons by Compton scattering,  $n_{\text{e}}$  is the number density of free electrons,  $\sigma_{\text{T}}$  is the Thomson scattering cross section,  $k_{\text{B}}$  is the Boltzmann constant,  $E_{\gamma}$  is the energy density of radiation, c is the speed of light,  $m_{\text{e}}$  is the mass of electron and H(z) is the Hubble parameter. Note that redshift decreases with increasing time, so the terms with "+" sign are cooling terms and terms with "–" sign are heating terms. The change in photon energy density can therefore be written as,

$$\frac{dE_{\gamma}}{dz} = \left(\frac{dE_{\gamma}}{dz}\right)^{\text{adiabatic}} + \left(\frac{dE_{\gamma}}{dz}\right)^{\text{Compton}}$$

$$= \frac{4E_{\gamma}}{1+z} + S_{\text{Compton}}, \tag{10}$$

where the first term is just the adiabatic cooling due to the expansion of the Universe. We can estimate the energy transfer,  $S_{\text{Compton}}$ , by noting that the baryon temperature  $T_{\text{e}} = T_{\gamma} \propto 1 + z$  to a high accuracy until  $z \sim 200$ , where  $T_{\gamma} = 2.725(1+z)\,\text{K}$  is the CMB temperature. Using this to evaluate the total derivative of electron temperature  $\mathrm{d}T_{\mathrm{e}}/\mathrm{d}z$  on the left hand side of Eq. (9) and the first term on the right hand side we get

$$S_{\text{Compton}} = \frac{3}{2} k_{\text{B}} n_{\text{eb}} \frac{2T_{\gamma}}{1+z} - \frac{3}{2} k_{\text{B}} n_{\text{eb}} \frac{dT_{\gamma}}{dz}$$
$$= \frac{E_{\text{B}}}{1+z}. \tag{11}$$

Thus we have fractional rate at which energy is lost by radiation to baryons

$$\frac{(dE_{\gamma}/dz)^{\text{Compton}}}{E_{\gamma}} = \frac{S_{\text{Compton}}}{E_{\gamma}} = \frac{E_{\text{B}}/E_{\gamma}}{(1+z)} = \frac{5.9 \times 10^{-10}}{1+z}.$$
 (12)

We can now calculate the total *fractional* energy losses of radiation which contribute to the spectral distortions between redshifts  $z_{min}$  and  $z_{max}$ ,

$$\frac{\Delta E}{E} = \int_{z_{\text{max}}}^{z_{\text{min}}} \frac{dE_{\gamma}}{E_{\gamma}}$$

$$= \int_{z_{\text{max}}}^{z_{\text{min}}} \frac{dz}{E_{\gamma}} \left(\frac{dE_{\gamma}}{dz}\right)^{\text{Compton}}$$

$$= -5.9 \times 10^{-10} \ln \left(\frac{1 + z_{\text{max}}}{1 + z_{\text{min}}}\right). \tag{13}$$

We have integrated dE/E since immediate distortions are proportional to  $\Delta E/E$  and the distortions can be added linearly if they are small. This is the same result as Eq. (7).

#### 4. Kompaneets equation

The interaction of radiation with electrons through Compton scattering or comptonization is described by the Kompaneets equation (Kompaneets 1956) in the Fokker-Planck approximation, when the energy transfer in each scattering is small compared to temperature, and the incoming photon distribution is wide compared to the width of the scattering kernel:

$$\frac{\partial n}{\partial y} = \frac{1}{x^2} \frac{\partial}{\partial x} x^4 \left( n + n^2 + \frac{T_e}{T_{BB}} \frac{\partial n}{\partial x} \right),\tag{14}$$

where we have defined the Compton parameter

$$y(z) = -\int_{z}^{0} dz \frac{k_{\rm B}\sigma_{\rm T}}{m_{\rm e}c} \frac{n_{\rm e}T_{\rm BB}}{H(1+z)},$$
 (15)

which is convenient to use instead of time or redshift. One can furthermore introduce the Compton equilibrium electron temperature in a radiation field (Levich & Sunyaev 1971; Zel'Dovich & Levich 1970)

$$\frac{T_{\rm e}^{\rm eq}}{T_{\rm BB}} = \frac{\int n(1+n)x^4 {\rm d}x}{4 \int nx^3 {\rm d}x}.$$
 (16)

Here  $T_{\rm BB}$  is a reference temperature which is equal to the radiation blackbody temperature if the initial radiation field is a Planck spectrum, and  $x = h\nu/k_{\rm B}T_{\rm BB}$  is the dimensionless frequency with  $\nu$  being the frequency of photons. The Equations written in this way factor out the expansion of the Universe and are applicable to Cosmology as well as non-expanding astrophysical systems.

The three terms in the inner brackets of Eq. (14) describe recoil (n), induced recoil  $(n^2)$  and the Doppler effect due to thermal motion of electrons  $(T_e/T_{\rm BB}\partial n/\partial x)$ . For  $y\ll 1$  and an initial blackbody spectrum with temperature  $T_{\rm BB}$ ,  $n_{\rm BB}=1/(e^x-1)$ , a simple first order correction to the blackbody spectrum can be found (Zeldovich & Sunyaev 1969). By approximating  $2n+n^2\approx -\partial n/\partial x$  in Eq. (14) we get

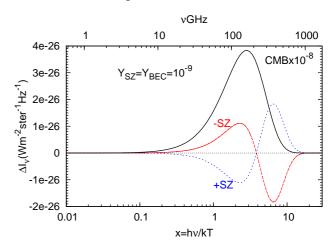
$$\frac{\partial n(x,y)}{\Delta \partial y} = \frac{1}{x^2} \frac{\partial}{\partial x} \left[ x^4 \frac{\partial n(x,y)}{\partial x} \right],\tag{17}$$

where  $\Delta \equiv T_{\rm e}/T_{\rm BB}-1$ . This particular form of equation emphasizes that the Doppler term dominates over recoil and is giving rise to the spectral distortion by boosting low energy photons to higher frequency. The above equation can be reduced to the diffusion or heat equation (Zeldovich & Sunyaev 1969) by changing variables and for which the Green's function is well known.

For small distortions the solution takes a particularly simple form and can be found by substituting  $n_{\rm BB}$  in the right hand side of Eq. (14) or Eq. (17):

$$\Delta n \equiv n(x, Y_{SZ}) - n_{BB}(x) = Y_{SZ} \frac{xe^x}{(e^x - 1)^2} \left[ x \frac{e^x + 1}{e^x - 1} - 4 \right], \quad (18)$$

where we have defined  $Y_{\rm SZ}=\int_0^y \Delta dy$ . The SZ parameter is related to fractional energy release by the formula  $Y_{\rm SZ}=(1/4)\Delta E_\gamma/E_\gamma$ . This can be obtained by integrating the above spectrum over all frequencies.



**Fig. 1.** Difference in intensity from the blackbody radiation for normal SZ effect with  $Y_{SZ} = 10^{-9}$  and negative SZ effect with  $Y_{REC} = 10^{-9}$ .

# 5. New solution of Kompaneets equation for comptonization of photons with colder plasma

The Kompaneets equation describes, to lowest order, the competition between the Doppler boosting of low energy photons to high energies and down scatter of high energy photons to low energies by recoil and stimulated recoil. The initial radiation spectrum is blackbody with temperature  $T_{\rm BB}$ . If the electrons are also at same temperature,  $T_{\rm e}=T_{\rm BB}$ , then these two effects cancel each other exactly. If  $T_{\rm e}>T_{\rm BB}$ , the Doppler boosting becomes stronger than recoil and we have the normal SZ effect in the limit of small y parameter. If  $T_{\rm e}< T_{\rm BB}$  then Doppler boosting is weaker and we have a net movement of photons from high to low energies, completely opposite of the SZ effect. But since at  $T_{\rm e}=T_{\rm BB}$  the two effects exactly balance each other, at linear order the spectral distortions for  $T_{\rm e}< T_{\rm BB}$  would be exactly the same as that for  $T_{\rm e}>T_{\rm BB}$  just with opposite sign.

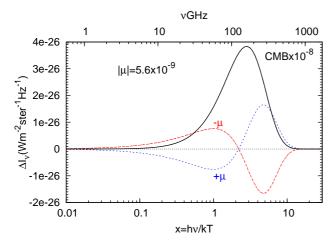
For  $T_{\rm e} < T_{\rm BB}$ , we can write the approximate Kompaneets equation by approximating  $\partial n/\partial x \approx -(n+n^2)$  in Eq. (14),

$$\frac{\partial n}{\partial y} = (1 - \frac{T_{\rm e}}{T_{\rm BB}}) \frac{1}{x^2} \frac{\partial}{\partial x} x^4 \left( n + n^2 \right). \tag{19}$$

Analytic solutions can be obtained by the standard method of characteristics for the recoil (n) and induced recoil  $(n^2)$  terms individually and consist of photons moving down the frequency axes at a speed proportional to  $x^2$  for the recoil term (Arons 1971; Illarionov & Syunyaev 1972) and at speed proportional to the  $x^2n$  for the induced recoil term (Zel'Dovich & Levich (1969); Syunyaev (1971)). To get the linear solution we can just substitute  $n(x) = n_{BB}(x)$  in the right hand side of Eq. (19) and defining the parameter for the amplitude of distortion in the case  $T_{\rm e} < T_{\rm BB}$  as  $Y_{\rm BEC} = \int_0^y (1 - T_{\rm e}/T_{\rm BB}) {\rm dy}$ , the result is Eq. (18) with  $Y_{\rm SZ} = -Y_{\rm BEC}$ . We should emphasize that the linear solution, Eq. (18), can be arrived at in a straightforward way by just substituting  $n(x) = n_{BB}(x)$  in the right hand side of the full Kompaneets equation, Eq. (14), without any restriction on  $T_{\rm e}$ . However the dominant physical effects when  $T_{\rm e} > T_{\rm BB}$ (Doppler) and when  $T_{\rm e}$  <  $T_{\rm BB}$  (recoil and induced recoil) are completely different and it is the fact that in equilibrium they exactly balance each other which gives us the same mathematical form of the linear solution in both cases.

In the Rayleigh-Jeans part of the spectrum we now have a increase in the brightness temperature of  $\Delta T/T = +2Y_{\rm BEC}$  which is

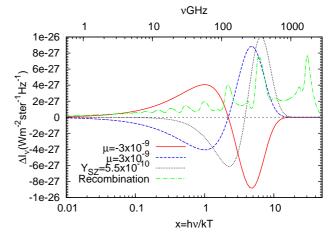
<sup>&</sup>lt;sup>2</sup> For a Bose-Einstein distribution with constant chemical potential this expression is an identity.



**Fig. 2.** Difference in intensity from the blackbody radiation for  $\mu$  distortion defined by the equation with  $n(\nu)=1/(e^{h\nu/k_{\rm B}T_{\mu}+\mu}-1)$ .  $T_{\mu}$  is the temperature to which the spectrum relaxes for an initial energy addition/loss of  $\Delta E/E$  with  $\Delta T_{\mu}/T=0.64\Delta E/E=\pm 2.5\times 10^{-9}$ .  $\Delta E/E=\pm 4\times 10^{-9}$  is the energy addition/loss which gives rise to SZ distortion of  $10^{-9}$ . The chemical potential  $\mu$  is given by  $\mu=2.2\Delta T/T=\pm 5.6\times 10^{-9}$ . This is the spectrum that an initial spectrum with  $Y_{\rm BEC}$ ,  $Y_{\rm SZ}=10^{-9}$  will approach at high y at  $x\gg |\mu|\sim 10^{-9}$ . Note that the frequency at which the distortion crosses zero is at x=2.19 compared to x=3.83 for the SZ distortion in Fig. 1.

independent of frequency and thus maintains the Rayleigh-Jeans shape of the spectrum. In the Wien part we have a decrease in intensity  $\Delta I/I = \Delta n/n \approx -x^2 Y_{\rm BEC}$ . Fig. 1 shows the difference in intensity from the blackbody radiation for normal SZ effect with  $Y_{SZ} = 10^{-9}$  and negative SZ effect with  $Y_{BEC} = 10^{-9}$ . Fig. 2 shows the spectrum which would be achieved at high value of the Compton parameter y and is a Bose-Einstein spectrum with chemical potential  $\mu$  defined by the equation with  $n(v) = 1/(e^{hv/k_BT_{\mu}+\mu} - 1)$ .  $T_{\mu}$  is the temperature to which the spectrum relaxes for an initial energy addition/loss of  $\Delta E/E$  with  $\Delta T_{\mu}/T = 0.64 \Delta E/E = \pm 2.5 \times 10^{-9}$  (Illarionov & Sunyaev 1975a).  $\Delta E/E = \pm 4 \times 10^{-9}$  is the energy addition/loss which gives rise to SZ distortion of  $10^{-9}$ . The chemical potential  $\mu$  is given by  $^3\mu = 2.2\Delta T/T = \pm 5.6 \times 10^{-9}$ . This is the spectrum that an initial spectrum with  $Y_{\rm BEC}$ ,  $Y_{\rm SZ} = 10^{-9}$  will approach at high y at  $x\gg\mu\sim 10^{-9}$ . Note that the frequency at which the distortion crosses zero is at x = 2.19 compared to x = 3.83 for the SZ distortion in Fig. 1.

y-type and  $\mu$ -type distortions expected in the early Universe (to be calculated in the later sections) are compared with the cosmological recombination spectrum (Rubiño-Martín et al. 2006) in Fig. 3. Clearly  $\mu$  type distortions have a different spectral shape than the recombination radiation (both from hydrogen (Chluba & Sunyaev 2006) and helium (Rubiño-Martín et al. 2008)) and y-type distortions and can be distinguished from the latter two. This is very important because although y-type distortions from the early Universe get swamped by much bigger y-type distortions from low redshifts and are difficult to separate, the information in the  $\mu$  type distortions about the early Universe physics can be extracted.



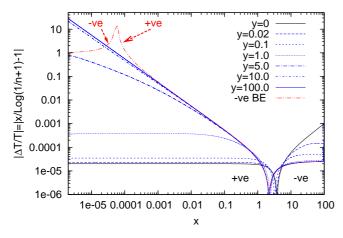
**Fig. 3.** Comparison of positive and negative  $\mu$ -type distortions expected in the early Universe (to be calculated in the latter sections) with the *y*-type distortions before reionization and the cosmological recombination spectrum (taken from Chluba & Sunyaev 2006; Rubiño-Martín et al. 2008) for illustration. Clearly  $\mu$  type distortions have a different spectral shape and are distinguishable from the recombination radiation and the *y*-type distortions.  $\mu$  distortions expected from the early Universe also have higher magnitude than the recombination spectrum in the Rayleigh-Jeans part of the spectrum but has no quasiperiodic structure like the cosmological recombination radiation.

This flow of photons toward lower frequencies as the spectrum tries to approach Planck spectrum due to recoil and induced recoil is Bose-Einstein condensation of photons (Illarionov & Sunyaev 1975a; Landau & Lifshitz 1980). We show the evolution of the spectrum (solution of the coupled system of Eqs. (14) and (16)) starting with the initial distortion given by Eq. (18) with  $-Y_{SZ} = Y_{BEC} = 10^{-5}$  in Fig. 4. The initial evolution is similar to that of a spectrum with positive  $Y_{SZ}$  with the photon distribution approaching a Bose-Einstein spectrum defined by  $n(\nu) = 1/(e^{h\nu/k_{\rm B}T_{\rm e}+\mu}-1)$  with negative  $\mu$  (marked '-ve BE' in the figure). We should emphasize that there is no singularity in the actual solutions of Kompaneets equation plotted above. The singularity is just in the mathematical formula which correctly describes the spectrum at high frequencies,  $x \gg |\mu|$ . The actual spectrum deviates from the Bose-Einstein spectrum near the singularity (it is everywhere positive in the Rayleigh-Jeans region) and can be described by a chemical potential decreasing in magnitude with decreasing frequency. The evolution at y > 1is therefore very different from the positive  $Y_{SZ}$  case. For  $x \gg |\mu|$ the spectrum is the Bose-Einstein spectrum at the electron temperature. For  $x \ll |\mu|$  there is an excess of photons compared to the Bose-Einstein spectrum which grows with time, a feature of Bose-Einstein condensation.  $\Delta T/T$  takes a very simple form in the Rayleigh-Jeans region ( $x \ll 1$ ) for a Bose-Einstein spectrum and can be understood as follows. It is given, in terms of frequency referred to the electron temperature,  $x_e \equiv h\nu/kT_e$ , by

$$\frac{\Delta T}{T} = \frac{x_e}{x_e + \mu} - 1 = \frac{-\mu}{x_e + \mu} \tag{20}$$

For  $|\mu| \ll x_e \ll 1$  we have  $\Delta T/T = -\mu/x_e$ . Thus the fractional temperature deviation is positive for negative  $\mu$  and negative for the positive  $\mu$ . For  $x_e$  greater than and close to  $|\mu|, \mu < 0$  the spectral distortions can become very large and exceed unity. Since the high frequency spectrum is in equilibrium at the electron temperature by y = 10, the subsequent evolution is very slow.  $|\mu|$ 

<sup>&</sup>lt;sup>3</sup> These relationships can be easily derived using photon number and energy conservation and requiring that the final spectrum have the equilibrium Bose-Einstein distribution.



**Fig. 4.** Evolution of initial spectrum at y = 0 given by Eq. (18) with  $-Y_{SZ} = Y_{BEC} = 10^{-5}$ .  $|\Delta T/T| \equiv |T_{eff}/T - 1|$  is plotted, where  $T_{\rm eff}$  is the temperature of a blackbody spectrum with the corresponding intensity at a particular frequency. In the Rayleigh-Jeans region intensity  $I_{\nu} \propto T_{\rm eff}$  and therefore  $\Delta T/T = \Delta I/I$ . The initial evolution, in the linear regime of small distortions, is similar to that of a spectrum with positive  $Y_{SZ}$ , with the spectrum approaching a Bose-Einstein spectrum defined by n(v) = $1/(e^{h\nu/\hat{k}_BT_e+\mu}-1)$  with negative  $\mu$  (marked '-ve BE', red dashed double dotted line in the figure). The low frequency spectrum approaches the stationary solution of Kompaneets equation with only the induced recoil term,  $n(x) \propto 1/x^2$ . We have neglected the photon absorption at low frequencies due to bremsstrahlung and double Compton scattering. The distortions are positive in the Rayleigh-Jeans region in the left side and negative in the Wien region in the right side of the figure.

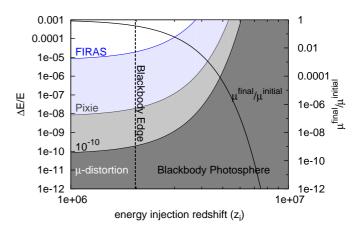
decreases while the electron temperature increases slowly as the photon distribution approaches a Planck spectrum with the extra photons accumulating at low frequencies, i.e. Bose-Einstein condensation happens. The low frequency spectrum approaches the stationary solution of Kompaneets equation with only the induced recoil term,  $n(x) \propto 1/x^2$ , with a continuous flow of photons towards x=0 (Syunyaev 1971).  $T_{\rm e}/T-1$  just increases from  $-2.558 \times 10^{-5}$  to  $-2.555 \times 10^{-5}$  in going from y=10 to y=100 for the chosen energy losses of  $\Delta E/E=4 \times 10^{-5}$ . The effect discussed below in the real Universe is of magnitude  $\sim 10^{-9}$ .

# 6. Bose-Einstein condensation of CMB photons in the early Universe.

In the early Universe, before  $z \sim 2 \times 10^6$  double Compton along with comptonization destroy any spectral distortions and maintain Planck spectrum of CMB. For small distortions due to single, quasi-instantaneous episode of energy release, we can write the ratio of final to initial  $\mu$  as an exponential function of redshift defined by the square root of the product of comptonization and absorption rates (Sunyaev & Zeldovich 1970b). In the case of double Compton process this formula gives (Danese & de Zotti 1982),

$$G(z) = \frac{\mu^{\text{final}}}{\mu^{\text{initial}}} \approx e^{-(z_i/z_{\text{dc}})^{5/2}},$$
(21)

where  $z_{\rm dc} \approx 1.98 \times 10^6$  defines the "edge of the blackbody photosphere". We call this function G(z) the blackbody visibility function for spectral distortions. We call the region  $z > z_{\rm dc}$  where the

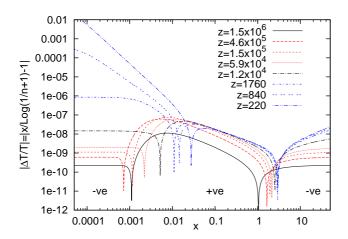


**Fig. 5.** Regions of the blackbody photosphere probed by different experiments, COBE FIRAS with sensitivity  $\mu=10^{-5}$ , Pixie with sensitivity  $\mu=10^{-8}$  and a hypothetical experiment with sensitivity of  $\mu=10^{-10}$ . Shaded regions below the curves are allowed and inaccessible to these experiments. We also plot the ratio of final  $\mu$  distortion today to the initial  $\mu$  distortion as a function of energy injection redshift. We define the blackbody edge as the energy injection redshift such that  $\mu^{\rm final}/\mu^{\rm initial}=1/e$ ,  $z_{\rm bb}=1.98\times10^6$ .

initial  $\mu$  distortion can be reduced by a factor of more than e the "blackbody photosphere". Thus inside the blackbody photosphere a Planckian spectrum can be efficiently established. Fig. 5 shows how the  $\mu$  distortion at high redshifts decreases due to the double Compton and Compton scattering. Regions of the blackbody photosphere allowed by different experiments are shaded.

At redshifts  $10^5 < z < 2 \times 10^6$ , we have the Compton parameter y > 1 and Bose-Einstein spectrum with a negative chemical potential is established at  $x \ge 0.01$ . At smaller frequencies bremsstrahlung and double Compton create a Planck spectrum corresponding to the electron temperature. At  $z < 10^4$ the Compton parameter y < 0.01 and the distortions created can be described by the linear solution of Eq. (18). Thus the net distortions created in the early Universe due to adiabatic cooling of baryons are a linear superposition of distortions corresponding to different values of y, few of them are shown in Fig. 6. We show the evolution of actual spectrum (Eq. 14) and 16) including the effects of bremsstrahlung and double Compton processes (Burigana et al. 1991; Chluba & Sunyaev 2011; Hu & Silk 1993; Illarionov & Sunyaev 1975a,b) in the ΛCDM cosmology with WMAP parameters (Komatsu et al. 2011). The reference spectrum is the blackbody CMB spectrum at  $z = 10^7$ . At x < 0.01 the spectrum is dominated by bremsstrahlung and double Compton processes which destroy the condensing low frequency photons. The effect of bremsstrahlung compared to comptonization becomes stronger with decreasing redshift and can be felt at successively higher frequencies.

At  $z \gtrsim 5 \times 10^4$  the spectral distortions in the  $x \gtrsim 0.01$  region can relax to a Bose-Einstein spectrum due to high value of parameter y. Contributions from lower redshifts are described by the analytic solution of Eq. (18). The distortions created at  $z < 10^4$  are just the negative of the usual SZ effect and would be completely overwhelmed by the SZ effect from reionization at  $z \sim 10$  which is expected to be of order  $Y_{\rm SZ} = (k_{\rm B}T_{\rm e}/m_{\rm e}c^2)\tau_{ri} \sim 10^{-6} \times 0.1 = 10^{-7}$ , where  $\tau_{ri} \sim 0.1$  is the optical depth to the last scattering surface due to reionization and  $T_{\rm e} \sim 10^4 {\rm K}$  is the av-



**Fig. 6.** Evolution of spectral distortions in CMB in  $\Lambda$ CDM cosmology. The values of Compton y parameter for different curves are  $(z=1.5\times10^6,y=109), (z=4.6\times10^5,y=10), (z=1.5\times10^5,y=1), (z=5.9\times10^4,y=0.16), (z=1.2\times10^4,y=0.005), (z=1760,y=3\times10^{-5}), (z=840,y=1.8\times10^{-8})$  and  $(z=220,y=1.2\times10^{-10}). y=0$  was defined to be at z=100. Reference spectrum is the blackbody CMB spectrum at  $z=10^7$ . At low frequencies the spectrum is dominated by double Compton and bremsstrahlung which maintain Planck spectrum at the electron temperature. The zero point at low frequencies moves to the right at low redshifts because bremsstrahlung dominates over the Compton scattering at higher and higher frequencies and absorbs photons, although both are slower than the expansion rate.

erage electron temperature during reionization. The distortions created by energy loss at  $z > 10^5$  can however be destroyed only by energy injection at  $z > 10^5$  since at smaller redshifts comptonization cannot create  $\mu$ -type distortions.

## 7. Energy release from dissipation of acoustic waves during radiation domination

In the standard cosmology the main source of energy injection at high redshifts is the dissipation of acoustic waves in the baryonphoton plasma (Sunyaev & Zeldovich 1970b) due to Silk damping (Silk 1968). Sunyaev & Zeldovich (1970a) first proposed to use the resulting spectral distortions to measure the spectral index and power in the small scale fluctuations which themselves do not survive. Later during recombination the second order Doppler effect due to non-zero electron/baryon velocity in the CMB rest frame also becomes important but at that time only ytype distortions similar to the SZ effect arise and  $\mu$  distortions can not be created. We can estimate the amount of  $\mu$  distortions created by dissipation of acoustic waves following Hu et al. (1994) by calculating how the total power in the density fluctuations changes with time due to diffusion of photons. The energy density in acoustic waves in the photon-baryon plasma (neglecting the baryon energy density) is given by  $Q = \rho_{\gamma} c_s^2 \langle \delta_{\gamma}(\mathbf{x})^2 \rangle$ , where  $\rho_{\gamma}$  is the photon energy density,  $c_s^2 \sim 1/3$  is sound speed squared,  $\delta_{\gamma}$  is the photon density perturbation at position **x** and angular brackets denotes the ensemble average.

$$\langle \delta_{\gamma}(\mathbf{x})^2 \rangle = \int \frac{\mathrm{d}^3 k}{(2\pi)^3} P_{\gamma}(k),$$
 (22)

where  $P_{\gamma}(k) = \Delta_{\gamma}^{2}(k)P_{\gamma}^{i}(k)$ ,  $P_{\gamma}^{i}(k)$  is the initial power spectrum. It was shown by Mukhanov & Chibisov (1981) that primordial

fluctuations from inflation can have a spectrum deviating from the scale invariant Harrison-Zeldovich spectrum, with spectral index  $n_s < 1$ . In the cyclic ekpyrotic models  $n_s > 1$  is also possible (Lehners et al. 2007). WMAP gives the constraint on curvature perturbation in comoving gauge,  $\zeta$ . This is related to the gravitational perturbation  $\psi$  in the radiation era (assuming neutrinos are free streaming) by the relation  $\psi = \zeta/(2/5R_v + 1.5)$ , where  $R_v = \rho_v/(\rho_\gamma + \rho_v) \approx 0.4$ ,  $\rho_v$  is the neutrino energy density and  $\delta_\gamma^i = -2\psi$  (Ma & Bertschinger 1995). Thus  $P_\gamma^i = 4/(2/5R_v + 1.5)^2P_\zeta = 1.45P_\zeta$  and  $P_\zeta = (A_\zeta 2\pi^2/k^3)(k/k_0)^{n_s-1}$ ,  $k_0 = 0.002\,\mathrm{Mpc}^{-1}$ ,  $A_\zeta = 2.4 \times 10^{-9}$  ((Komatsu et al. 2011; R. Keisler et al. 2011)). The transfer function for modes well inside the horizon before recombination is given by

$$\Delta_{\gamma} \approx 3\cos(kr_s)e^{-k^2/k_{\rm D}^2} \tag{23}$$

and the diffusion scale by (Kaiser 1983; Weinberg 2008)

$$\frac{1}{k_{\rm D}^2} = \int_z^{\infty} dz \frac{c(1+z)}{6H(1+R)n_{\rm e}\sigma_{\rm T}} \left(\frac{R^2}{1+R} + \frac{16}{15}\right)$$
(24)

$$\frac{d(1/k_{\rm D}^2)}{dz} = -\frac{c(1+z)}{6H(1+R)n_{\rm e}\sigma_{\rm T}} \left(\frac{R^2}{1+R} + \frac{16}{15}\right),\tag{25}$$

where  $R \equiv 3\rho_b/4\rho_\gamma$ ,  $\rho_b$  is the baryon energy density.

Replacing cos<sup>2</sup> with its average value over an oscillation of 1/2, we get the energy release per unit redshift<sup>4</sup>

$$\frac{\mathrm{d}Q/\mathrm{d}z}{\rho_{\gamma}} = \frac{-1}{3} \int \frac{d^{3}k}{(2\pi)^{3}} P_{\gamma}^{i}(k) \frac{\mathrm{d}\Delta_{\gamma}^{2}}{\mathrm{d}z} 
= 3 \int \frac{\mathrm{d}^{3}k}{(2\pi)^{3}} P_{\gamma}^{i}(k) k^{2} e^{-2k^{2}/k_{D}^{2}} \frac{\mathrm{d}(1/k_{D}^{2})}{\mathrm{d}z} 
= \frac{4.3A_{\zeta}}{k_{0}^{n_{s}-1}} \frac{\mathrm{d}(1/k_{D}^{2})}{\mathrm{d}z} \int \mathrm{d}k \, k^{n_{s}} e^{-2k^{2}/k_{D}^{2}} 
= \frac{4.3A_{\zeta}}{k_{0}^{n_{s}-1}} \frac{\mathrm{d}(1/k_{D}^{2})}{\mathrm{d}z} 2^{-(3+n_{s})/2} k_{D}^{n_{s}+1} \Gamma\left(\frac{n+1}{2}\right). \tag{26}$$

We are interested in radiation dominated epoch where  $\mu$  distortions are generated and we have  $H(z) = H_0 \Omega_r^{1/2} (1+z)^2$  and  $n_{\rm e}(z) = (n_{H0} + 2n_{He0})(1+z)^3 \equiv n_{e0}(1+z)^3$ . In addition we have  $k_{\rm D} = A_D^{-1/2} (1+z)^{3/2}$  and  ${\rm d}(1/k_{\rm D}^2)/{\rm d}z = -3A_D(1+z)^{-4}$  and the above equation simplifies to

$$\frac{\mathrm{d}Q/\mathrm{d}z}{\rho_{\gamma}} = -\frac{13A_{\zeta}}{k_{0}^{n_{\mathrm{s}}-1}} 2^{-(3+n_{\mathrm{s}})/2} \Gamma\left(\frac{n_{\mathrm{s}}+1}{2}\right) A_{D}^{(1-n_{\mathrm{s}})/2} (1+z)^{(3n_{\mathrm{s}}-5)/2}$$

where

$$A_D = \frac{8c}{135H_0\Omega_r^{1/2}n_{e0}\sigma_T} = 5.92 \times 10^{10} \text{Mpc}^2.$$
 (27)

The redshift and  $n_s$  dependence obtained above matches that of Hu et al. (1994). The diffusion scale  $k_D = 46 \mathrm{Mpc}^{-1}$  at  $z = 5 \times 10^4$  and  $k_D = 10^4 \mathrm{Mpc}^{-1}$  at  $z = 2 \times 10^6$ . Thus we are probing the

<sup>&</sup>lt;sup>4</sup> The approximations made in this section are accurate at redshifts of interest, where  $\mu$ -type distortions are generated. Although these approximations are less accurate at lower redshifts, where y-type distortions are generated, they still provide useful estimates of y-type distortions. In particular the correct value of sound speed is given by  $c_s^2 = 1/(3(1+R))$ . We should also add the power in baryon perturbations to get total power in acoustic waves, and which also gives a factor of order  $\sim (1+R)$  but in the numerator.

primordial fluctuations on very small scales not accessible in any other way.

Thus for a Harrison-Zeldovich spectrum with  $n_s=1$ 

$$\frac{\mathrm{d}Q/\mathrm{d}z}{\rho_{\gamma}} = \frac{-7.8 \times 10^{-9}}{1+z}$$

$$\frac{Q_{z=2 \times 10^{6}}^{z=5 \times 10^{4}}}{\rho_{\gamma}} = 2.9 \times 10^{-8}.$$
(28)

Let us note the surprising fact that this redshift dependence is exactly the same as for the energy losses due to adiabatic cooling Eq. (13), as already pointed out by Chluba & Sunyaev (2011). For the currently preferred value of  $n_s = 0.96$  we have

$$\frac{dQ/dz}{\rho_{\gamma}} = \frac{-1 \times 10^{-8}}{(1+z)^{1.06}}$$

$$\frac{Q_{z=2\times10^{6}}^{z=5\times10^{4}}}{\rho_{\gamma}} = 1.8 \times 10^{-8}.$$
(29)

Fig. 7 compares the rate of energy release multiplied by the blackbody visibility function,  $G(z)(1+z)dQ/dz/E_{\gamma}$  for different initial power spectra with the energy losses due to the adiabatic cooling of baryons,  $(1 + z)S_{\text{Compton}}/E_{\gamma}$  obtained by solving Eq. (9) for the standard recombination history (Seager et al. 2000) calculated using the effective multilevel approach taking into account recent corrections (Ali-Haïmoud & Hirata 2011; Chluba & Thomas 2011). The spike due to increase in Silk damping during recombination is clearly noticeable<sup>5</sup>. The smaller spikes due to HeII and HeI are also noticeable. Recombination of each species results in decrease in number of particles and hence adiabatic losses. But the photon diffusion length, and the associated energy release, increases due to decrease in the electron fraction. The transition from radiation to matter domination results in unnoticeable change in the slope of the curves. Note that even after recombination photons continue to mix on horizon scales due to free streaming, while the energy losses due to comptonization drop sharply due to the depletion of electrons. We switch to the free streaming solution described in appendix A when diffusion length  $2\pi/k_D$  becomes equal to the comoving particle horizon.

Energy releases for different power spectra, including the ones with running spectral index, are summarized in Table 1. The energy losses due to adiabatic cooling of baryons during the same time period is  $\sim 2.2 \times 10^{-9}$ . This equals the energy release for currently favored spectral index of  $n_{\rm s}=1.0, {\rm d} \ln n_{\rm s}/{\rm d} \ln k=-0.038$  for a running spectrum. Thus we have the intriguing result that the dissipation of acoustic waves in the early Universe completely cancels the energy losses due to adiabatic cooling of baryons for this critical spectrum (and any other spectrum with the similar small scale power). A detection or non-detection at a sensitivity of  $10^{-9}$  of  $\mu$ -type distortion is thus a very sensitive probe of primordial power spectrum on small scales. Given the importance of the effective heating rate for the amplitude of the net distortion it is important to carry out a more careful and refined calculation of the problem.

#### 8. Conclusions

Comptonization of CMB photons with slightly cooler electrons results in spectral distortions which then evolve (in the absence of any other physical process) towards the Bose-Einstein

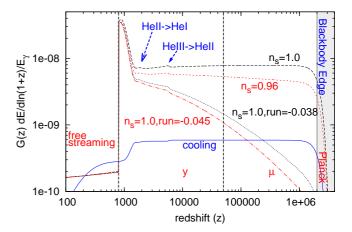


Fig. 7. Sketch of fractional rate of energy release due to Silk damping and free streaming for different initial power spectra. Note the spike during recombination. Smaller spikes due to HeIII to HeII and HeII to HeI recombination are also visible. Also shown for comparison is the rate of energy loss due to adiabatic cooling of baryonic matter. Note that in the blackbody photosphere region the blackbody visibility function G(z) makes the curves drop sharply. Global energy release and y-type distortions during the free streaming epoch after recombination are due to the superposition of blackbody spectra on horizon scales. The energy injected into y-type distortion during recombination in the peak in above plot is 800 < z < 1500 is  $\Delta E/E = 1.1 \times 10^{-8}$ for  $n_s = 0.96$  (see also footnote 5). The y distortion due to mixing of blackbodies during free streaming after recombination and up to reionization, 20 < z < 800, calculated using the result in the appendix A, is  $\Delta E/E \sim 7 \times 10^{-11}$ . These are however difficult to separate from much the larger thermal and non-linear Doppler y distortion from reionization of  $Y_{SZ} \sim 10^{-7}$ .

$n_{\rm s}$	ıd ln n <sub>s</sub> /ıd ln k	$\Delta E/E$
1.04	0	$4.7 \times 10^{-8}$
1.0	0	$2.9 \times 10^{-8}$
1.0	-0.02	$7 \times 10^{-9}$
1.0	-0.038	$2.2 \times 10^{-9}$
1.0	-0.045	$1.4 \times 10^{-9}$
0.96	0	$1.8 \times 10^{-8}$
0.92	0	$1.1 \times 10^{-8}$
BEC	-	$-2.2 \times 10^{-9}$

**Table 1.** Energy injection in  $\mu$  distortions during  $5 \times 10^4 < z < 2 \times 10^6$  for different initial power spectra compared with energy losses due to Bose-Einstein condensation.

condensate solution, which is the equilibrium solution and consists of a blackbody spectrum with zero chemical potential with the extra photons accumulating at zero frequencies (Illarionov & Sunyaev 1975a; Landau & Lifshitz 1980). This is because the radiation has more photons than can be accommodated in a Bose-Einstein spectrum with non negative chemical potential at the electron temperature. However, in reality, bremsstrahlung and double Compton scattering destroy extra photons at low frequencies. Thus in practice we have the high frequency spectrum evolving towards a blackbody with the extra photons slowly moving down in frequency to be eaten up by bremsstrahlung and double Compton scattering. This is in fact what is seen in the numerical solution in Fig. 6.

<sup>&</sup>lt;sup>5</sup> The actual energy injected in the recombination peak would be much smaller because of the transition to free streaming.

The difficulty of conserving photon number is the reason why Bose-Einstein condensation (or accumulation of photons at low frequencies) is not observed in astrophysical systems. Bose-Einstein condensation of photons has in fact been achieved in the laboratory only very recently by Klaers et al. (2010). In the real Universe we also have inevitable sources of energy addition to CMB such as the SZ effect after reionization which create distortions of similar magnitude but with opposite sign canceling and overwhelming this effect. The situation is much more interesting for  $\mu$  distortions which can be created only at high redshifts and thus have no foregrounds at smaller redshifts. In standard cosmology positive  $\mu$  distortions are created by dissipation of acoustic waves at small scales due to photon diffusion. For the currently favored cosmological parameters, the positive  $\mu$  distortions from photon diffusion can almost exactly cancel the negative  $\mu$  distortions from Bose-Einstein condensation of CMB leading to a net distortion which is much smaller. Nevertheless we must emphasize that the photon number conserving comptonization along with differences in adiabatic indices of radiation and matter creates a unique system in the early Universe in which photons can begin to evolve towards a Bose-Einstein condensate. It is a remarkable coincidence that a completely unrelated physical process, diffusion damping of primordial perturbations, can produce distortions of almost exactly the same or greater magnitude, leading to the suppression of this unique effect in astrophysics. We thus arrive at an intriguing conclusion: even a null result, non-detection of  $\mu$ -type distortion at a sensitivity of 10<sup>-9</sup>, rather than just placing a upper limit, actually gives a quantitative measure of the primordial small scale power spectrum. The importance of improving the experimental sensitivity to reach this critical value cannot be overemphasized.

### Appendix A: Erasure of perturbations due to free streaming

We can derive the solution for erasure if perturbations on horizon scales due to free streaming as follows. We start with the Boltzmann hierarchy, ignoring quadrupole and higher order moments. We define multipole  $(\ell)$  moments of the temperature anisotropy  $\Delta T/T \equiv \Theta = \sum_{\ell} (-i)^{\ell} (2\ell+1) \mathcal{P}_{\ell}(\hat{k}.\hat{n}) \Theta_{\ell}$ , where  $\mathcal{P}_{\ell}$  is the Legendre polynomial, and  $\hat{k}.\hat{n}$  is the angle between photon momentum and comoving wavenumber. In the limit of zero Thomson optical depth we get,

$$\frac{d\Theta_0}{d\eta} + k\Theta_1 = 0$$
$$\frac{d\Theta_1}{d\eta} - \frac{k}{3}\Theta_0 = \frac{k\psi}{3},$$

where  $\eta=a$ dt is the conformal time. Using the fact that in the matter dominated era the potential is suppresses by a factor of 9/10 compared to primordial value on the large scales, we have  $\Theta_0=-2\psi/3$  (see for example Dodelson (2003)) and looking for a solution with time dependence of type  $\Theta_\ell \propto e^{i\int d\eta\omega}$  we get  $\omega=ik/\sqrt{6}$  Thus free streaming damps the perturbations by a factor of  $e^{-\int d\eta k/\sqrt{6}}=e^{-0.4\int d\eta k}$ . Finally we want to mention that this solution is very approximate and in principle we should take into account higher  $\ell$  modes also. For example on going up to  $\ell=3$  we get for the damping factor  $e^{-0.3\int d\eta k}$ . Also for free streaming we have super position of black bodies and the y distortion is given by (Chluba & Sunyaev 2004)  $Y_{\rm SZ}=1/2<\Theta^2>=1/32<\delta_\gamma^2>$ , and so the equivalent energy release is  $\Delta E/E=4Y_{\rm SZ}=1/8<\delta_\gamma^2>$ .

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