

$f_{NL}$

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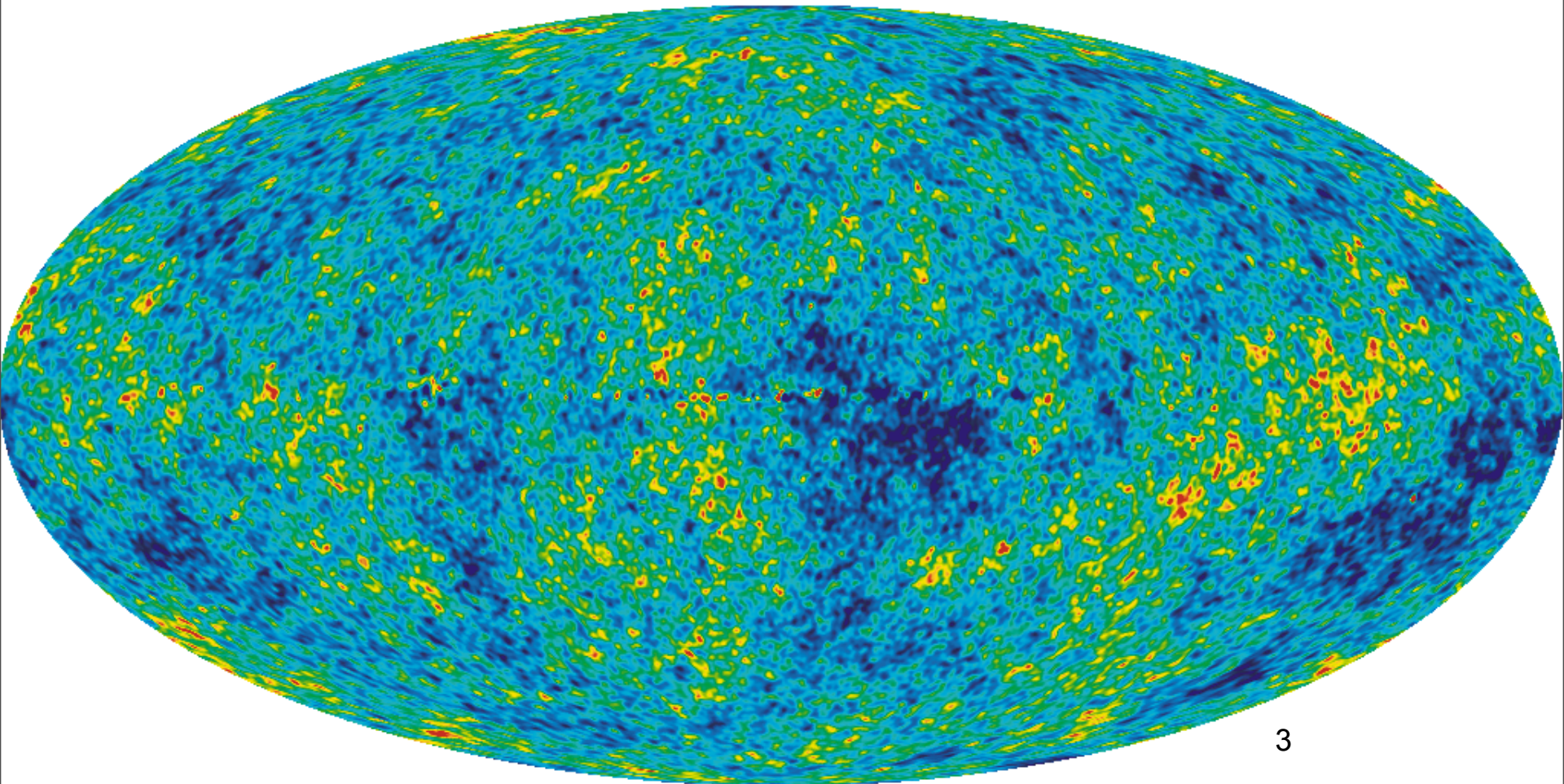
String Theory & Cosmology,

KITPC, December 10, 2007

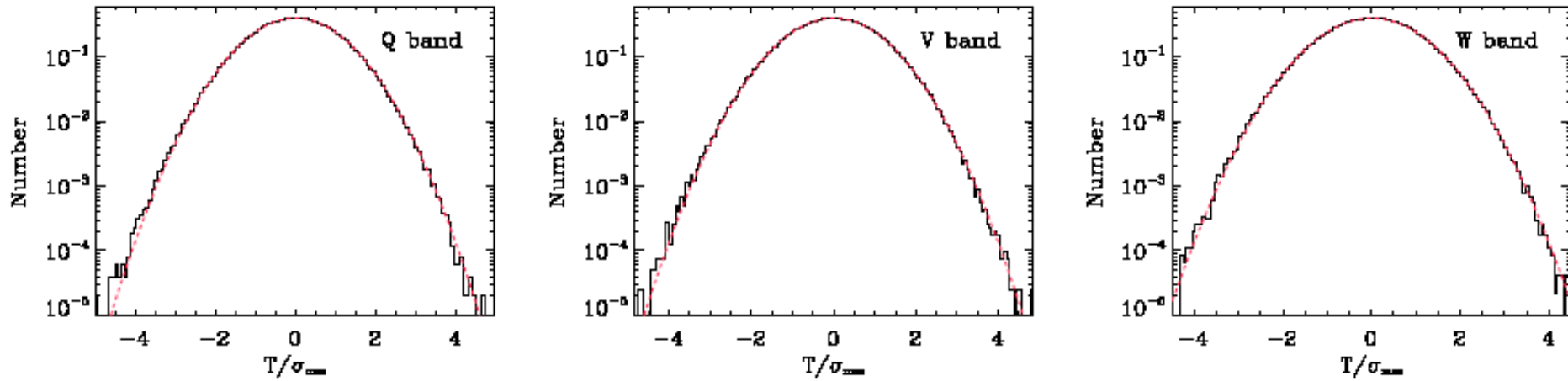
# Why Study Non-Gaussianity?

- **Who said that CMB must be Gaussian?**
  - **Don't let people take it for granted.**
  - It is rather remarkable that the distribution of the observed temperatures is so close to a Gaussian distribution.
  - The WMAP map, when smoothed to 1 degree, is entirely dominated by the CMB signal.
    - If it were still noise dominated, no one would be surprised that the map is Gaussian.
  - The WMAP data are telling us that primordial fluctuations are pretty close to a Gaussian distribution.
    - How common is it to have something so close to a Gaussian distribution in astronomy?
  - It is not so easy to explain why CMB is Gaussian, unless we have a compelling early universe model that predicts Gaussian primordial fluctuations: e.g., ***Inflation***.

# How Do We Test *Gaussianity* of CMB?



# One-point PDF from WMAP



- The one-point distribution of CMB temperature anisotropy looks pretty Gaussian.
  - Left to right: Q (41GHz), V (61GHz), W (94GHz).
- We are therefore talking about quite a subtle effect.

# • Two approaches to Finding NG.

## • I. Null (Blind) Tests / “Discovery” Mode

- This approach has been most widely used in the literature.
- One may apply one’s favorite statistical tools (higher-order correlations, topology, isotropy, etc) to the data, and show that the data are *(in)consistent* with Gaussianity at  $xx\%$  CL.
- PROS: This approach is model-independent. Very generic.
- CONS: We don’t know how to interpret the results.
  - “**The data are consistent with Gaussianity**” --- what physics do we learn from that? It is not clear what could be ruled out on the basis of this kind of test.

## • II. “Model-testing,” or “Strong Prior” Mode

- Somewhat more recent approaches.
- Try to constrain “Non-gaussian parameter(s)” (e.g.,  $f_{NL}$ )
- PROS: We know what we are testing, we can quantify our constraints, and we can compare different data sets.
- CONS: Highly model-dependent. We may well be missing other important non-Gaussian signatures.

# Cosmology and Strings: 6 Numbers

- Successful early-universe models **must** satisfy the following observational constraints:
  - The observable universe is nearly flat,  $|\Omega_k| < \mathcal{O}(0.02)$
  - The primordial fluctuations are
    - Nearly Gaussian,  $|f_{NL}| < \mathcal{O}(100)$
    - Nearly scale invariant,  $|n_s - 1| < \mathcal{O}(0.05)$ ,  $|dn_s/d\ln k| < \mathcal{O}(0.05)$
    - Nearly adiabatic,  $|S/R| < \mathcal{O}(0.2)$



# Cosmology and Strings: 6 Numbers

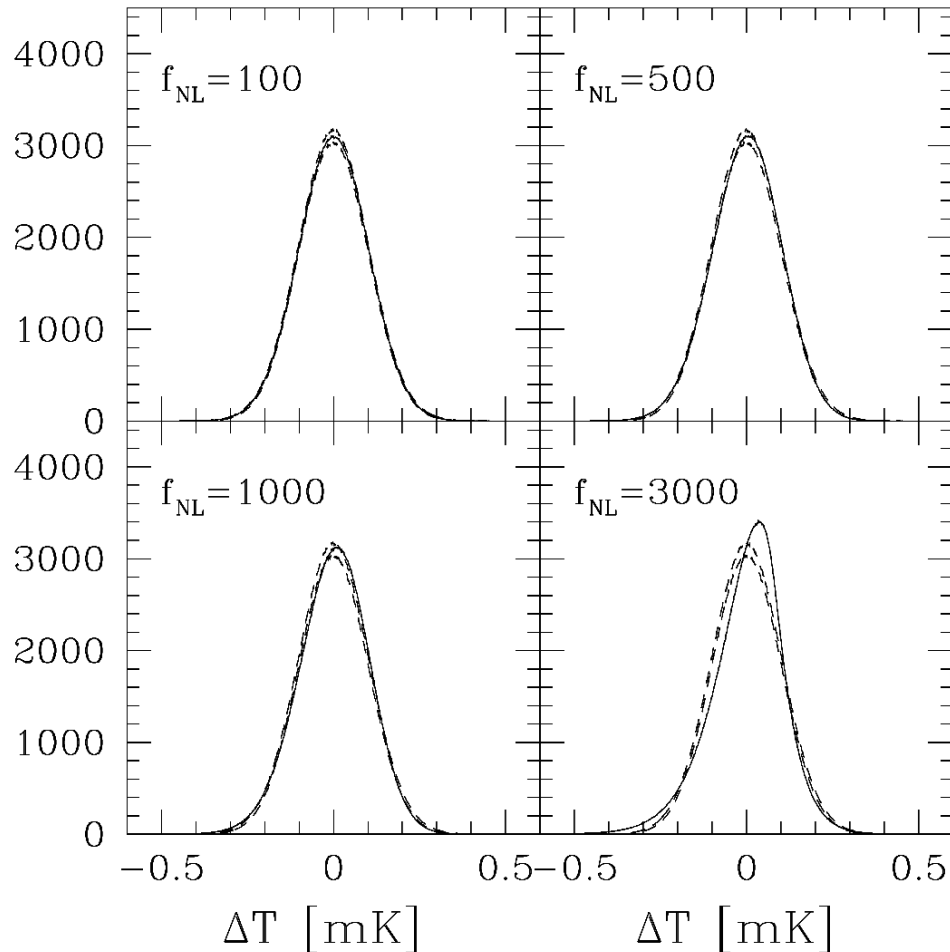
- A “generous” theory would make cosmologists very happy by producing detectable primordial gravity waves ( $r > 0.01$ )...
  - But, this is not a requirement yet.
  - Currently,  $r < 0(0.5)$

# Gaussianity vs Flatness

- We are generally happy that geometry of our observable Universe is flat.
  - Geometry of our Universe is consistent with a flat geometry to ~2% accuracy at 95% CL. (Spergel et al., WMAP 3yr)
- What do we know about Gaussianity?
  - Parameterize non-Gaussianity:  $\Phi = \Phi_L + f_{NL} \Phi_L^2$ 
    - $\Phi_L \sim 10^{-5}$  is a Gaussian, linear curvature perturbation in the matter era
  - Therefore,  $f_{NL} < 100$  means that the distribution of  $\Phi$  is consistent with a Gaussian distribution to  $\sim 100 \times (10^{-5})^2 / (10^{-5}) = \underline{0.1\%}$  accuracy at 95% CL.
- **Remember this fact: “Inflation is supported more by Gaussianity than by flatness.”**



# How Would $f_{\text{NL}}$ Modify PDF?



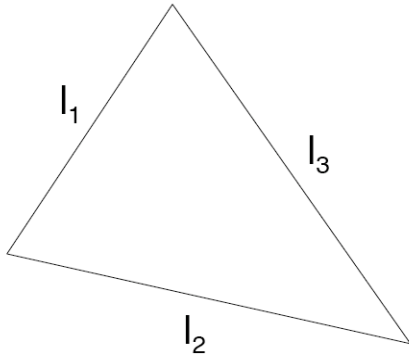
One-point PDF is not useful for measuring primordial NG. We need something better:

- Three-point Function
  - Bispectrum
- Four-point Function
  - Trispectrum
- Morphological Test
  - Minkowski Functionals

# Bispectrum of Primordial Perturbations

- Bispectrum is the Fourier transform of three-point correlation function.
  - Cf. Power spectrum is the Fourier transform of two-point correlation function.
- Bispectrum( $\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3$ ) =  $\langle \Phi(\mathbf{k}_1)\Phi(\mathbf{k}_2)\Phi(\mathbf{k}_3) \rangle$   
 $= 2(2\pi)^3 \delta^{(3)}(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) f_{NL} P_\Phi(k_1) P_\Phi(k_2)$   
where  
 $\langle \Phi_L(\mathbf{k}_1)\Phi_L(\mathbf{k}_2) \rangle = (2\pi)^3 P_\Phi(k_1) \delta^{(3)}(\mathbf{k}_1 + \mathbf{k}_2).$

# Bispectrum of CMB



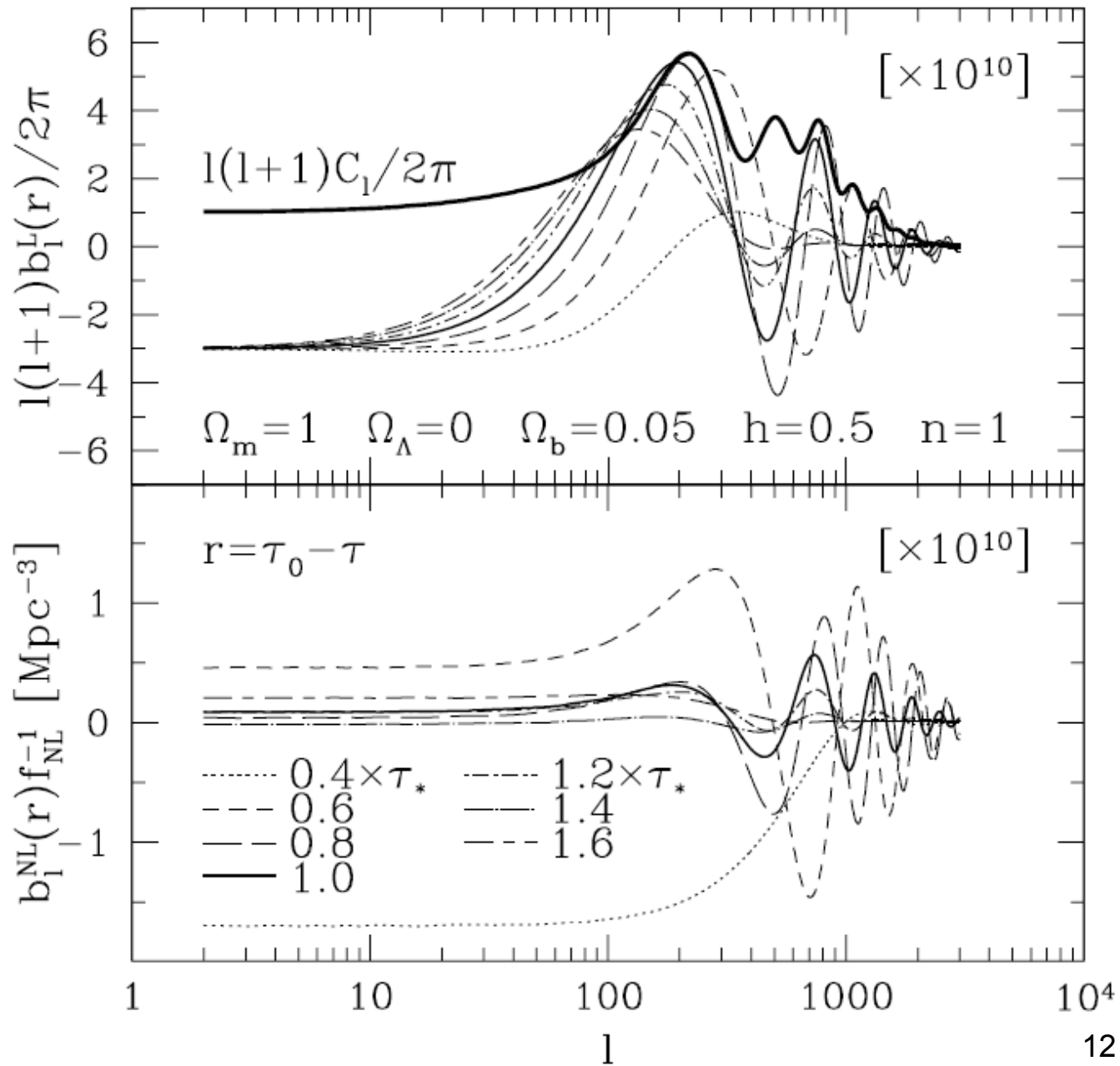
$$\begin{aligned}
 a_{lm} &\equiv \int d^2 \hat{\mathbf{n}} \frac{\Delta T(\hat{\mathbf{n}})}{T} Y_{lm}^*(\hat{\mathbf{n}}) \\
 &= 4\pi (-i)^l \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \Phi(\mathbf{k}) g_{Tl}(k) Y_{lm}^*(\hat{\mathbf{k}})
 \end{aligned}$$

$$B_{l_1 l_2 l_3}^{m_1 m_2 m_3} \equiv \langle a_{l_1 m_1} a_{l_2 m_2} a_{l_3 m_3} \rangle = \mathcal{G}_{l_1 l_2 l_3}^{m_1 m_2 m_3} b_{l_1 l_2 l_3}$$

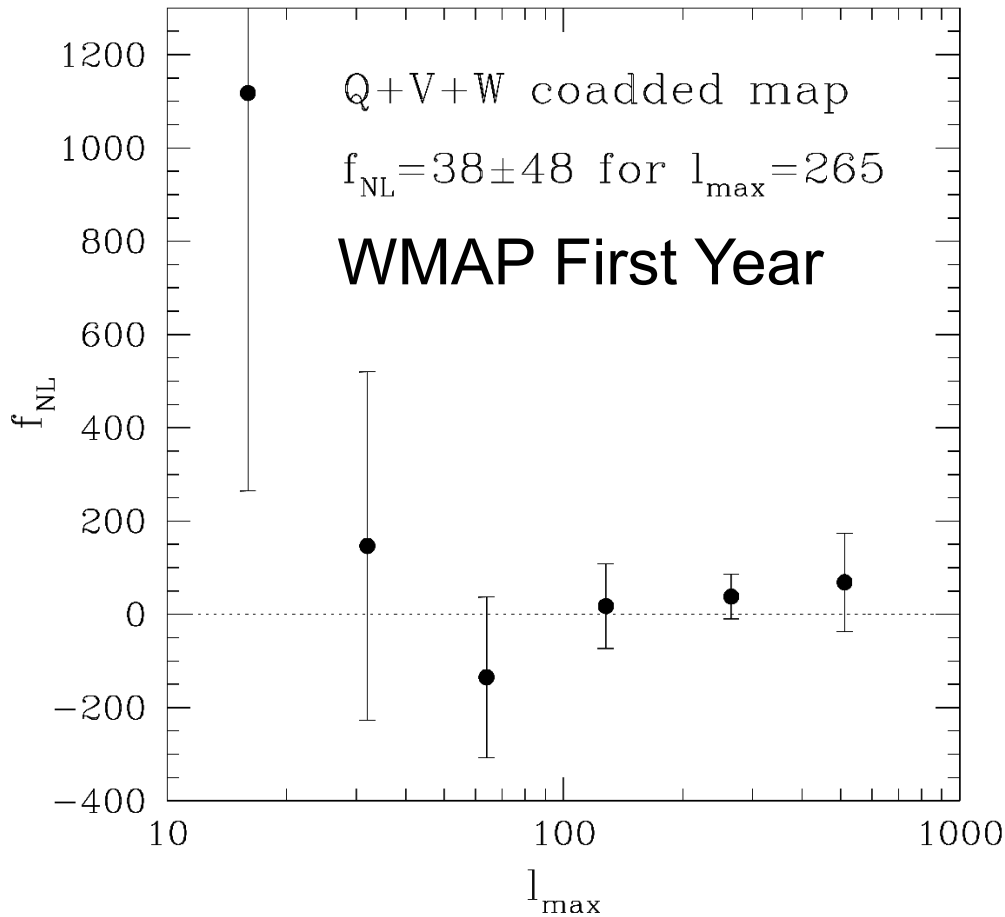
$$b_{l_1 l_2 l_3}^{\text{primary}} = 2 \int_0^\infty r^2 dr \left[ b_{l_1}^L(r) b_{l_2}^L(r) b_{l_3}^{NL}(r) + (\text{cyclic}) \right]$$

$$b_l^L(r) \equiv \frac{2}{\pi} \int_0^\infty k^2 dk P_\Phi(k) g_{Tl}(k) j_l(kr),$$

$$b_l^{NL}(r) \equiv \frac{2}{\pi} \int_0^\infty k^2 dk f_{NL} g_{Tl}(k) j_l(kr).$$



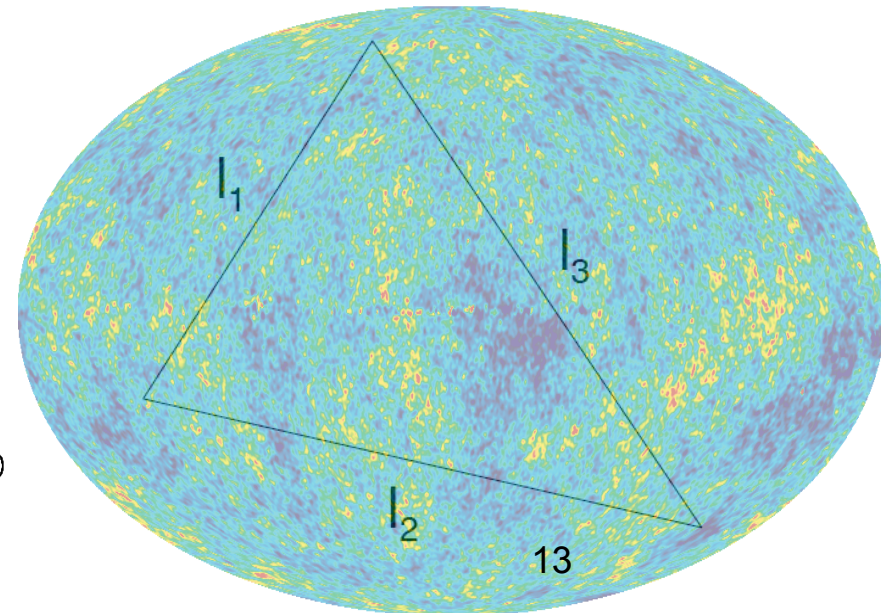
# Bispectrum Constraints



**$-58 < f_{\text{NL}} < +134$  (95% CL) (1yr)**



**$-54 < f_{\text{NL}} < +114$  (95% CL) (3yr)**

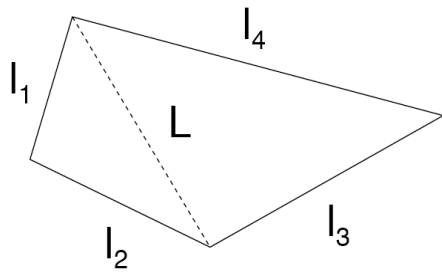


# Trispectrum of Primordial Perturbations

- Trispectrum is the Fourier transform of four-point correlation function.
- $\text{Trispectrum}(k_1, k_2, k_3, k_4)$   
 $= \langle \Phi(k_1) \Phi(k_2) \Phi(k_3) \Phi(k_4) \rangle$

which can be sensitive to the higher-order terms:

$$\Phi(\mathbf{x}) = \Phi_L(\mathbf{x}) + f_{\text{NL}} [\Phi_L^2(\mathbf{x}) - \langle \Phi_L^2(\mathbf{x}) \rangle] + f_2 \Phi_L^3(\mathbf{x})$$



# Trispectrum of CMB

$$\langle a_{l_1 m_1} a_{l_2 m_2} a_{l_3 m_3} a_{l_4 m_4} \rangle = \sum_{LM} (-1)^M \begin{pmatrix} l_1 & l_2 & L \\ m_1 & m_2 & -M \end{pmatrix} \begin{pmatrix} l_3 & l_4 & L \\ m_3 & m_4 & M \end{pmatrix} T_{l_3 l_4}^{l_1 l_2}(L)$$

$$T_{l_3 l_4}^{l_1 l_2}(L) = P_{l_3 l_4}^{l_1 l_2}(L) + (2L + 1) \sum_{L'} \left[ (-1)^{l_2 + l_3} \begin{Bmatrix} l_1 & l_2 & L \\ l_4 & l_3 & L' \end{Bmatrix} P_{l_2 l_4}^{l_1 l_3}(L') + (-1)^{L + L'} \begin{Bmatrix} l_1 & l_2 & L \\ l_3 & l_4 & L' \end{Bmatrix} P_{l_3 l_2}^{l_1 l_4}(L') \right],$$

where

$$P_{l_3 l_4}^{l_1 l_2}(L) = t_{l_3 l_4}^{l_1 l_2}(L) + (-1)^{2L + l_1 + l_2 + l_3 + l_4} t_{l_4 l_3}^{l_2 l_1}(L) + (-1)^{L + l_3 + l_4} t_{l_4 l_3}^{l_1 l_2}(L) + (-1)^{L + l_1 + l_2} t_{l_3 l_4}^{l_2 l_1}(L).$$

$$t_{l_3 l_4}^{l_1 l_2}(L) = \int r_1^2 dr_1 r_2^2 dr_2 F_L(r_1, r_2) \alpha_{l_1}(r_1) \beta_{l_2}(r_1) \alpha_{l_3}(r_2) \beta_{l_4}(r_2) h_{l_1 L l_2} h_{l_3 L l_4} \\ + \int r^2 dr \beta_{l_2}(r) \beta_{l_4}(r) [\mu_{l_1}(r) \beta_{l_3}(r) + \beta_{l_1}(r) \mu_{l_3}(r)] h_{l_1 L l_2} h_{l_3 L l_4},$$

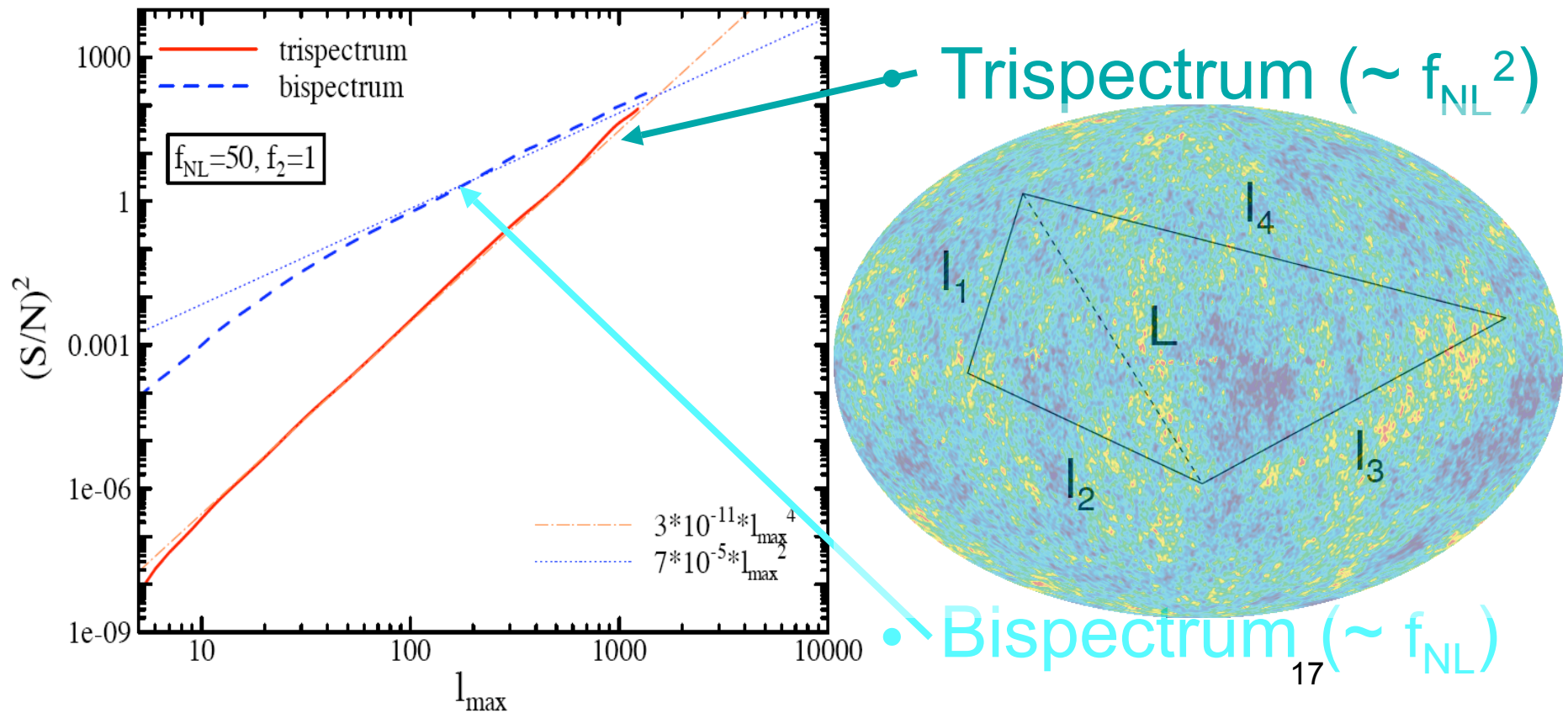
$$\alpha_{l_1}(r) = 2b_l^{NL}(r); \beta_{l_1}(r) = b_l^L(r); \mu_l(r) \equiv \frac{2}{\pi} \int k^2 dk f_2 g_{Tl}(k) j_l(kr)$$



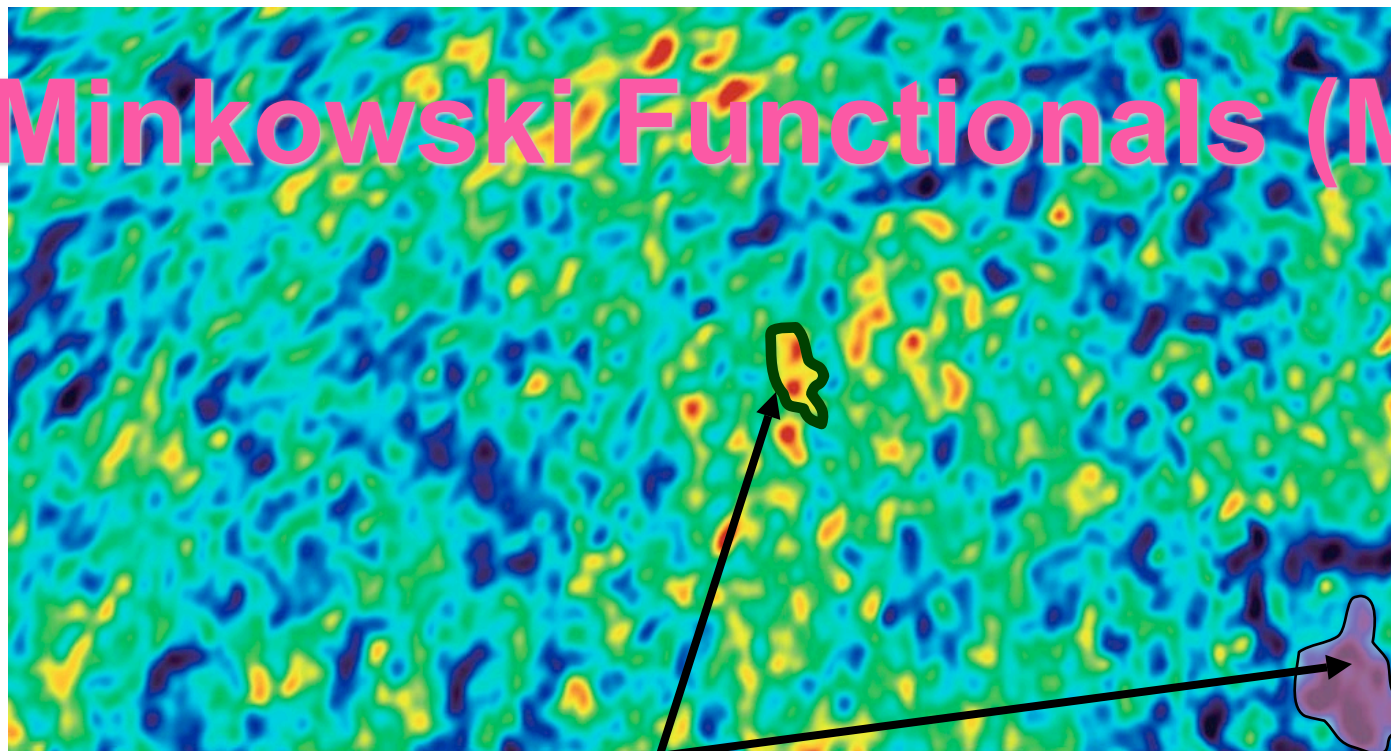
# Measuring Trispectrum

- It's pretty painful to measure all the quadrilateral configurations.
  - Measurements from the COBE 4-year data (Komatsu 2001; Kunz et al. 2001)
- Only limited configurations measured from the WMAP 3-year data
  - Spergel et al. (2007)
- No evidence for non-Gaussianity, but  $f_{\text{NL}}$  has not been constrained by the trispectrum yet. (Work to do.)

# Trispectrum: Not useful for WMAP, but maybe useful for Planck, if $f_{NL}$ is greater than $\sim 50$

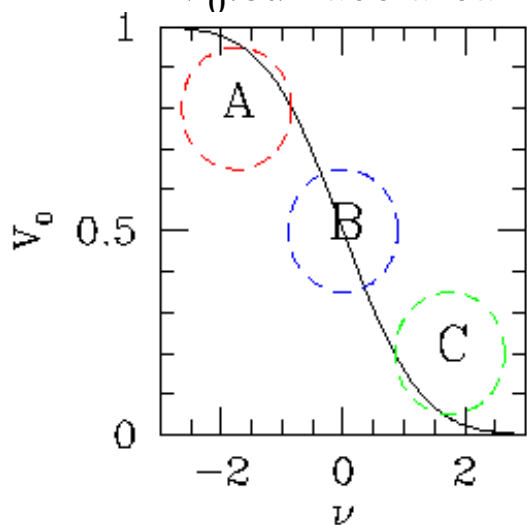


# Minkowski Functionals (MFs)

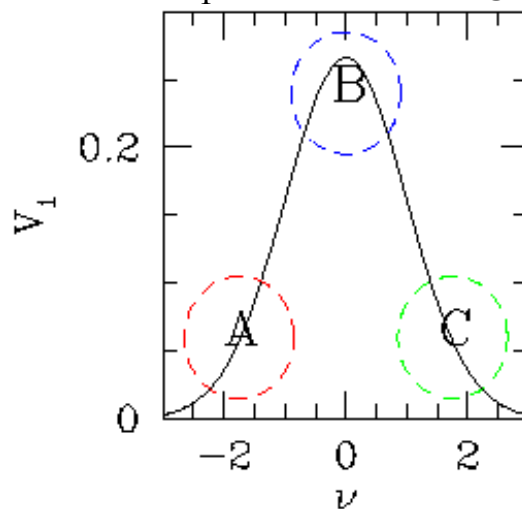


The number of hot spots minus cold spots.

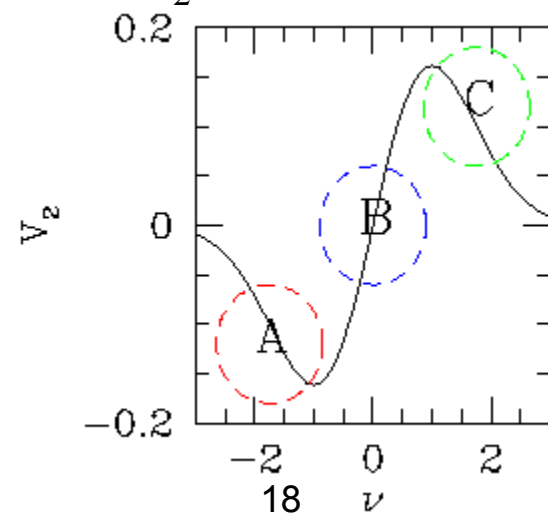
$V_0$ : surface area



$V_1$ : Contour Length



$V_2$ : Euler Characteristic



# Analytical formulae of MFs

Perturbative formulae of MFs (Matsubara 2003)

$$V_k(\mathbf{v}) = \frac{1}{(2\pi)^{(k+1)/2}} \frac{\omega_2}{\omega_{2-k}\omega_k} \left( \frac{\sigma_1}{\sqrt{2}\sigma_0} \right)^k e^{-\mathbf{v}^2/2} \{H_{k-1}(\mathbf{v})\} \quad \text{Gaussian term}$$

$$+ \left[ \frac{1}{6} S^{(0)} H_{k+2}(\mathbf{v}) + \frac{k}{3} S^{(1)} H_k(\mathbf{v}) + \frac{k(k-1)}{6} S^{(2)} H_{k-2}(\mathbf{v}) \right] \sigma_0 + O(\sigma_0^2)$$

leading order of Non-Gaussian term

$$\sigma_j^2 = \frac{1}{4} \sum_l (2l+1) [l(l+1)] C_l W_l^2 \quad W_l: \text{smoothing kernel}$$

$$\omega_0 = 1, \omega_1 = 1, \omega_2 = \pi, \omega_3 = 4\pi/3 \quad H_k: k\text{-th Hermite polynomial}$$

$$S^{(a)}: \text{skewness parameters } (a = 0, 1, 2)$$

In weakly non-Gaussian fields ( $\sigma_0 \ll 1$ ), the non-Gaussianity in MFs is characterized by three skewness parameters  $S^{(a)}$ .

# 3 “Skewness Parameters”

- Ordinary skewness

$$S^{(0)} \equiv \frac{\langle f^3 \rangle}{\sigma_0^4},$$

- Second derivative

$$S^{(1)} \equiv -\frac{3}{4} \frac{\langle f^2 (\nabla^2 f) \rangle}{\sigma_0^2 \sigma_1^2},$$

- (First derivative)<sup>2</sup> x Second derivative

$$S^{(2)} \equiv -\frac{3d}{2(d-1)} \frac{\langle (\nabla f) \cdot (\nabla f) (\nabla^2 f) \rangle}{\sigma_1^4},$$

$$S^{(0)} = \frac{3}{2\pi\sigma_0^4} \sum_{2 \leq l_1 \leq l_2 \leq l_3} I_{l_1 l_2 l_3}^2 b_{l_1 l_2 l_3} W_{l_1} W_{l_2} W_{l_3},$$

$$S^{(1)} = \frac{3}{8\pi\sigma_0^2\sigma_1^2} \sum_{2 \leq l_1 \leq l_2 \leq l_3} [l_1(l_1 + 1) + l_2(l_2 + 1) + l_3(l_3 + 1)] \\ \times I_{l_1 l_2 l_3}^2 b_{l_1 l_2 l_3} W_{l_1} W_{l_2} W_{l_3},$$

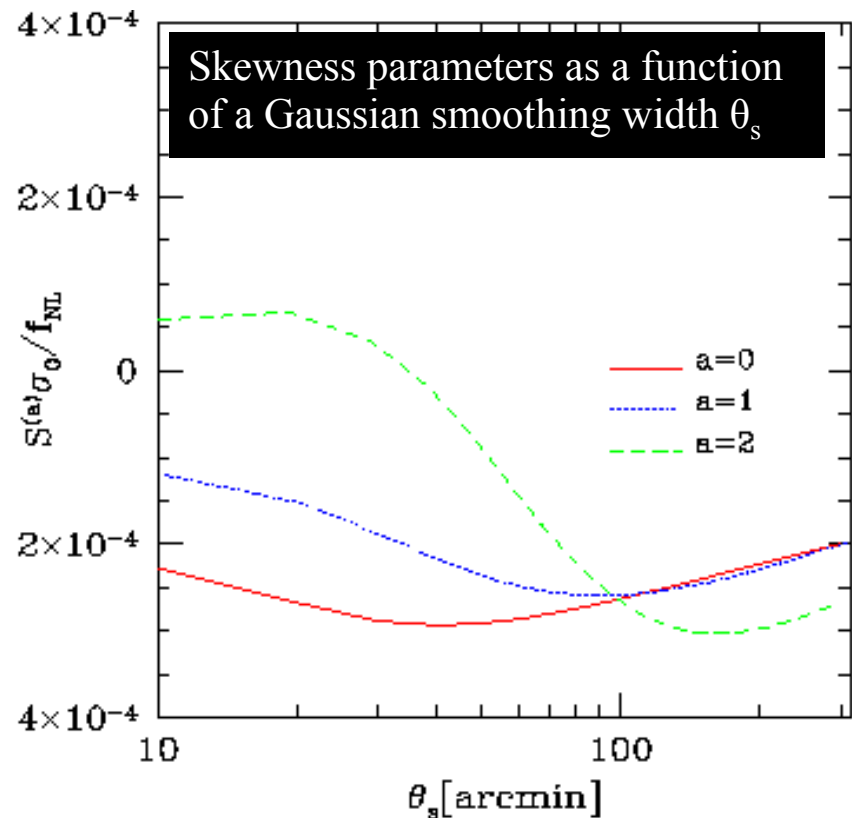
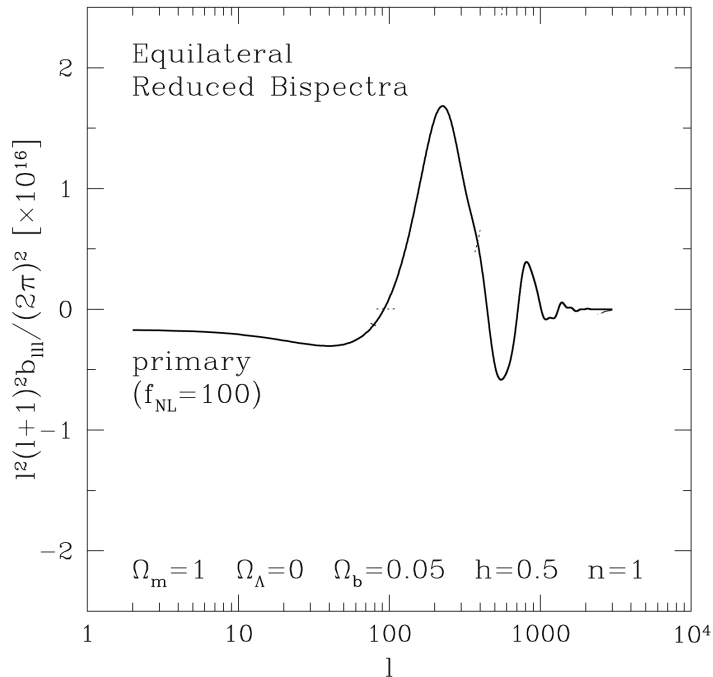
$$S^{(2)} = \frac{3}{4\pi\sigma_1^4} \sum_{2 \leq l_1 \leq l_2 \leq l_3} \{[l_1(l_1 + 1) + l_2(l_2 + 1) - l_3(l_3 + 1)] \\ \times l_3(l_3 + 1) + (\text{cyc.})\} I_{l_1 l_2 l_3}^2 b_{l_1 l_2 l_3} W_{l_1} W_{l_2} W_{l_3},$$

$S^{(0)}$ : Simple average of  $b_{l_1 l_2 l_3}$

$S^{(1)}$ :  $l^2$  weighted average

$S^{(2)}$ :  $l^4$  weighted average

Analytical predictions of bispectrum at  $f_{\text{NL}}=100$  (Komatsu & Spergel 2001)



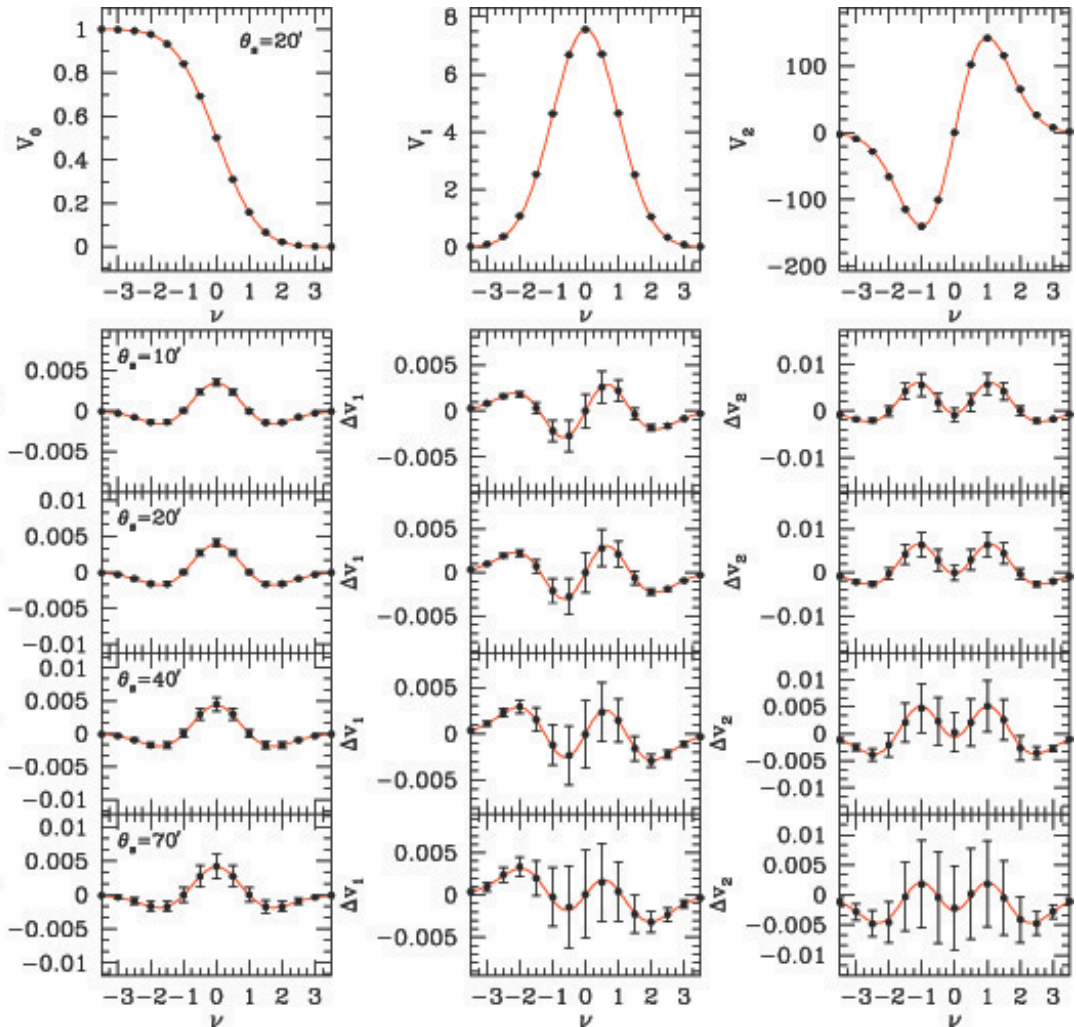
# Note: This is Generic.

- The skewness parameters are the direct observables from the Minkowski functionals.
- The skewness parameters can be calculated directly from the bispectrum.
- It can be applied to *any* form of the bispectrum!
  - Statistical power is weaker than the full bispectrum, but the application can be broader than a bispectrum estimator that is tailored for a specific form of non-Gaussianity, like  $f_{\text{NL}}$ .



# Comparison of analytical formulae with Non-Gaussian simulations

Surface area    Contour Length    Euler Characteristic



Comparison of MFs between analytical predictions and non-Gaussian simulations with  $f_{NL}=100$  at different Gaussian smoothing scales,  $\theta_s$

Simulations are done for WMAP.

**Analytical formulae agree with non-Gaussian simulations very well.**

difference ratio of MFs

# MFs from *WMAP*

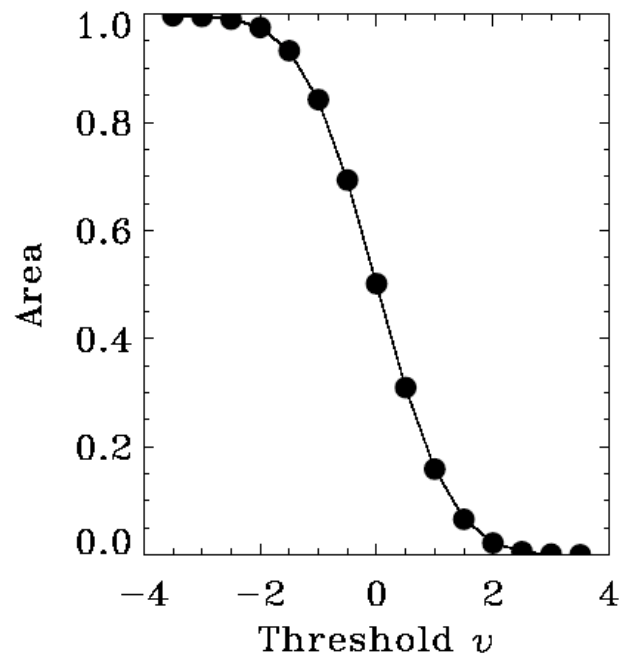
(1yr)

(3yr)

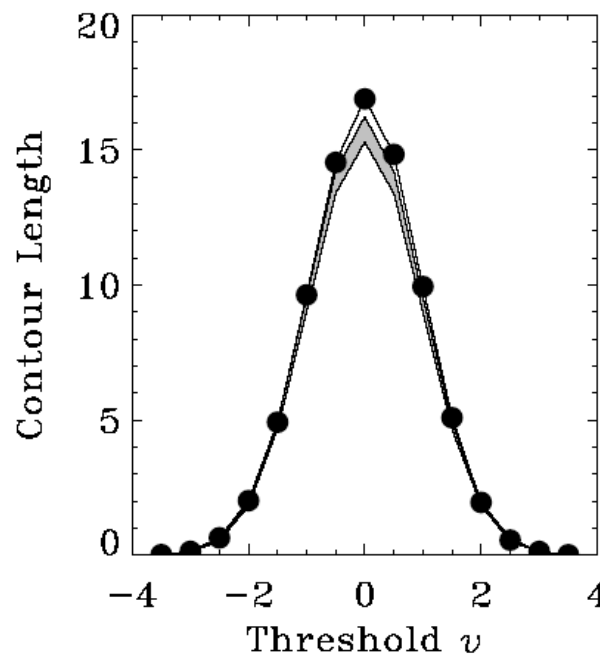
$f_{NL} < +117$  (95% CL)



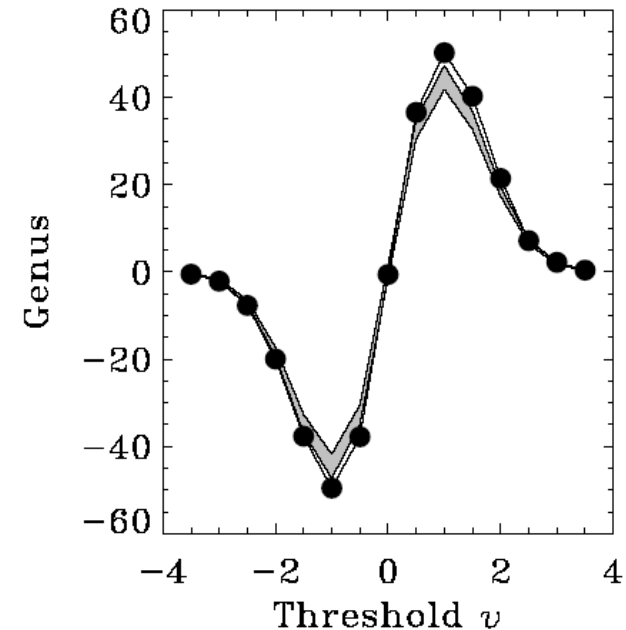
$-70 < f_{NL} < +90$  (95% CL)



Area



Contour Length



Euler  
Characteristic  
24

# Gaussianity vs Flatness: Future

- **Flatness will never beat Gaussianity.**
  - In 5-10 years, we will know **flatness** to 0.1% level.
  - In 5-10 years, we will know **Gaussianity** to 0.01% level ( $f_{\text{NL}} \sim 10$ ), or even to 0.005% level ( $f_{\text{NL}} \sim 5$ ), at 95% CL.
- However, a real potential of Gaussianity test is that **we might detect something at this level** (multi-field, curvaton, DBI, ghost cond., new ekpyrotic...)
  - Or, we might detect curvature first?
  - Is 0.1% curvature interesting/motivated?

# Confusion about $f_{\text{NL}}$ (1): Sign

- What is  $f_{\text{NL}}$  that is actually measured by WMAP?
- When we expand  $\Phi$  as  $\Phi = \Phi_{\text{L}} + f_{\text{NL}} \Phi_{\text{L}}^2$ ,  $\Phi$  is **Bardeen's curvature perturbation (metric space-space)**,  $\Phi_{\text{H}}$ , in the **matter dominated era**.
  - Let's get this stright:  $\Phi$  is **not** Newtonian potential (which is metric time-time, not space-space)
  - Newtonian potential in this notation is  $-\Phi$ . (There is a minus sign!)
  - In the large-scale limit, temperature anisotropy is  $\Delta T/T = -(1/3)\Phi$ .
  - A positive  $f_{\text{NL}}$  results in a negative skewness of  $\Delta T$ .
- **It is useful to remember the physical effects:**
  - $f_{\text{NL}}$  positive**
  - = Temperature skewed negative (more cold spots)**
  - = Matter density skewed positive (more objects)**

# Confusion about $f_{\text{NL}}$ (2): Primordial vs Matter Era

- In terms of the **primordial** curvature perturbation in the comoving gauge,  $R$ , Bardeen's curvature perturbation in the matter era is given by  $\Phi_L = +(3/5)R_L$  at the linear level (notice the plus sign).

- Therefore,  $R = R_L + (3/5)f_{\text{NL}}R_L^2$  x  $R = R_L - (3/5)f_{\text{NL}}R_L^2$   
x  $R = R_L + f_{\text{NL}}R_L^2$

- There is another popular quantity,  $\zeta = +R$ . (Bardeen, Steinhardt & Turner (1983); Notice the plus sign.)

$$\zeta = \zeta_L + (3/5)f_{\text{NL}}\zeta_L^2 \quad \text{x} \quad \zeta = \zeta_L - (3/5)f_{\text{NL}}\zeta_L^2$$

# Confusion about $f_{NL}$ (3): Maldacena Effect

- Juan Maldacena's celebrated non-Gaussianity paper (Maldacena 2003) uses the sign convention that is minus of that in Komatsu & Spergel (2001):
  - $+f_{NL}(\text{Maldacena}) = -f_{NL}(\text{Komatsu\&Spergel})$
- The result: cosmologists and high-energy physicists have often been using different sign conventions.
- It is always useful to ask ourselves, “*do we get more cold spots in CMB for  $f_{NL} > 0$ ?*”
  - If yes, it's Komatsu&Spergel convention.
  - If no, it's Maldacena convention.

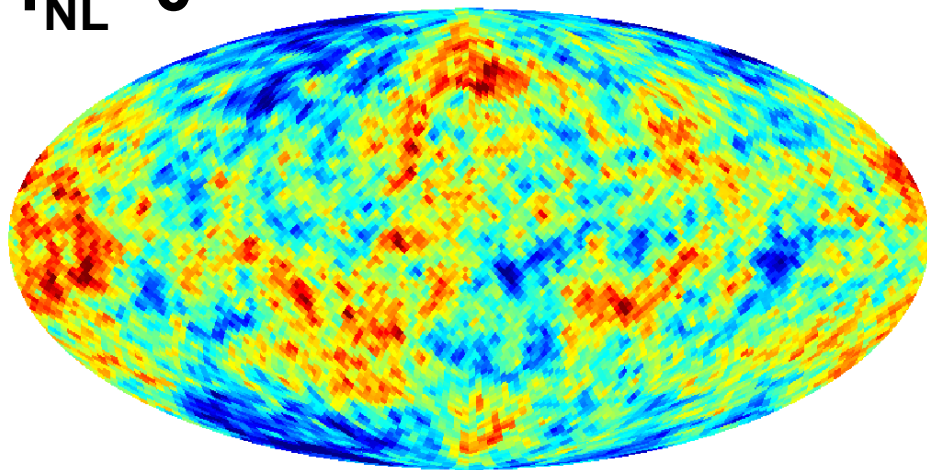


# Positive $f_{NL} = \text{More Cold Spots}$

Simulated temperature maps from  $\Phi(x) = \Phi_G(x) + f_{NL} \Phi_G^2(x)$

$f_{NL}=0$

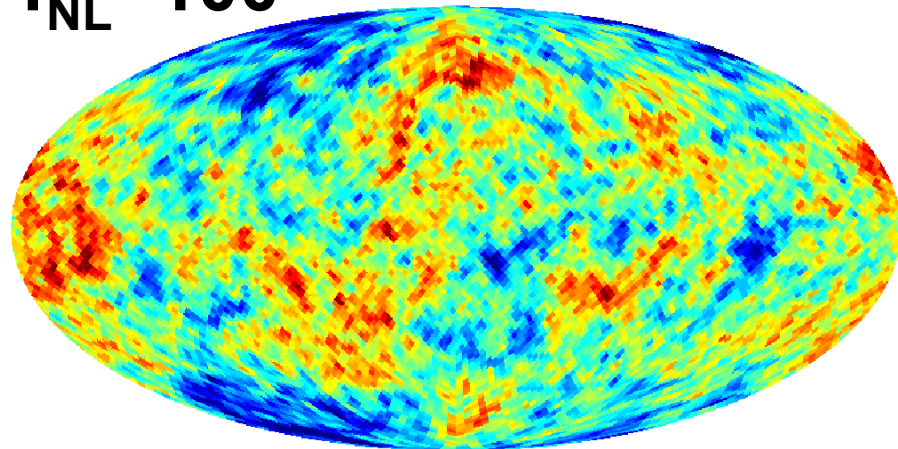
Gaussian simulation,  $n=1024 \sim 3$



-2.00e-04 2.00e-04 K

$f_{NL}=100$

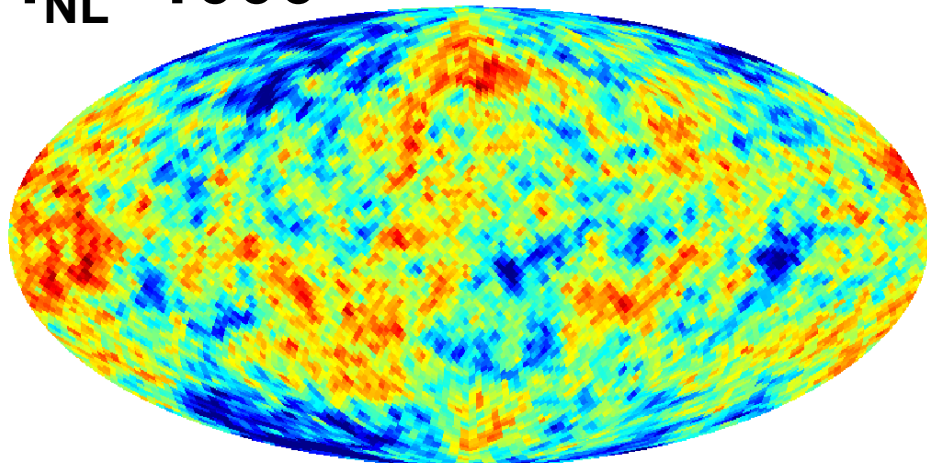
Gaussian simulation,  $f_{NL}=100$ ,  $n=1024 \sim 3$



-2.00e-04 2.00e-04 K

$f_{NL}=1000$

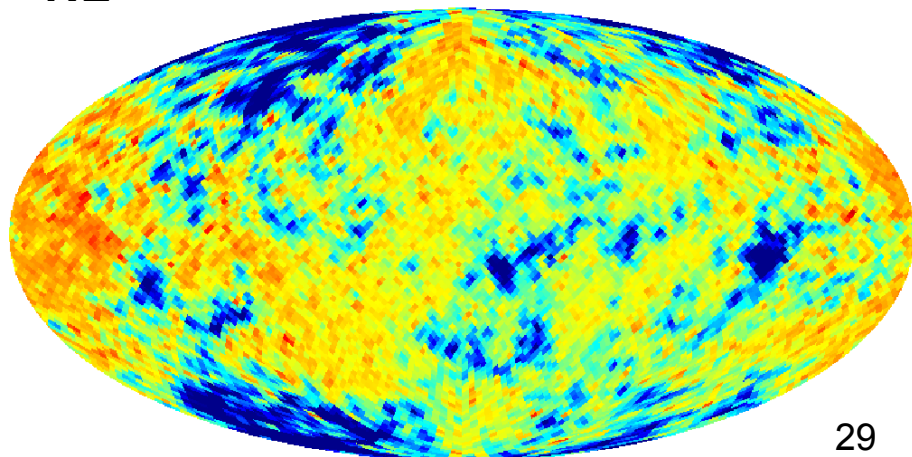
Gaussian simulation,  $f_{NL}=1000$ ,  $n=1024 \sim 3$



-2.00e-04 2.00e-04 K

$f_{NL}=5000$

Gaussian simulation,  $f_{NL}=5000$ ,  $n=1024 \sim 3$



-2.00e-04 2.00e-04 K



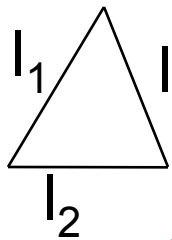
# Journey For Measuring $f_{\text{NL}}$

- **2001**: Bispectrum method proposed and developed for  $f_{\text{NL}}$  (*Komatsu & Spergel*)
- **2002**: First observational constraint on  $f_{\text{NL}}$  from the COBE 4-yr data (*Komatsu, Wandelt, Spergel, Banday & Gorski*)
  - $-3500 < f_{\text{NL}} < +2000$  (95%CL;  $l_{\text{max}}=20$ )
- **2003**: First numerical simulation of CMB with  $f_{\text{NL}}$  (*Komatsu*)
- **2003**: WMAP 1-year (*Komatsu, WMAP team*)
  - $-58 < f_{\text{NL}} < +134$  (95% CL;  $l_{\text{max}}=265$ )

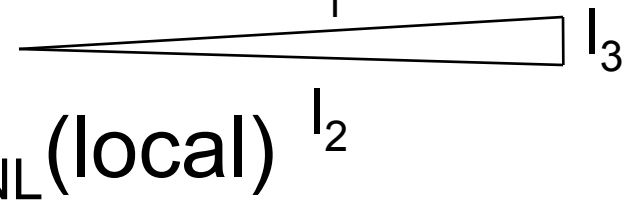
# Journey For Measuring $f_{NL}$

- **2004:** Classification scheme of triangle dependence proposed (Babich, Creminelli & Zaldarriaga)

Eq.



– There are two “ $f_{NL}$ ”: the original  $f_{NL}$  is called “local,” and the new one is called “equilateral.”



- **2005:** Fast estimator for  $f_{NL}$  (local) developed (“KSW” estimator; *Komatsu, Spergel & Wandelt*)

# Journey For Measuring $f_{NL}$

- **2006**: Improvement made to the KSW method, and applied to WMAP 1-year data by Harvard group (*Creminelli, et al.*)
  - $-27 < f_{NL}(\text{local}) < +121$  (95% CL;  **$l_{\text{max}}=335$** )
- **2006**: Fast estimator for  $f_{NL}$  (equilateral) developed, and applied to WMAP 1-year data by Harvard group (*Creminelli, et al.*)
  - $-366 < f_{NL}(\text{equilateral}) < +238$  (95% CL;  **$l_{\text{max}}=405$** )

# Journey For Measuring $f_{NL}$

- **2007**: WMAP 3-year constraints
  - **-54 <  $f_{NL}(\text{local}) < +114$**  (95% CL;  **$l_{\text{max}}=350$** )  
(*Spergel, WMAP team*)
  - **-36 <  $f_{NL}(\text{local}) < +100$**  (95% CL;  **$l_{\text{max}}=370$** )  
(*Creminelli, et al.*)
  - **-256 <  $f_{NL}(\text{equilateral}) < +332$**  (95% CL;  **$l_{\text{max}}=475$** ) (*Creminelli, et al.*)
- **2007**: We've made further improvement to Harvard group's extension of the KSW method; **now, the estimator is very close to optimal** (*Yadav, Komatsu, Wandelt*)



# Latest News on $f_{NL}$

- **2007**: Latest constraint from the WMAP 3-year data using the new YKW estimator
  - $+27 < f_{NL}(\text{local}) < +147$  (95% CL;  $I_{\text{max}}=750$ ) (Yadav & Wandelt, arXiv:0712.1148)
  - Note a significant jump in  $I_{\text{max}}$ .
  - A “hint” of  $f_{NL}(\text{local}) > 0$  at more than two  $\sigma$ ?
- **Our independent analysis showed a similar level of  $f_{NL}(\text{local})$ , but no evidence for  $f_{NL}(\text{equilateral})$ .**

There have been many claims of non-Gaussianity at the 2-3  $\sigma$ .

This is the best physically motivated one, and will be testable with more data.

# WMAP: Future Prospects

- Could more years of data from WMAP yield a definitive answer?
  - 3-year latest [Y&W]:  $f_{\text{NL}}(\text{local}) = 87 \pm 60$  (95%)
- Projected 95% uncertainty from WMAP
  - 5yr:  $\text{Error}[f_{\text{NL}}(\text{local})] \sim 50$
  - 8yr:  $\text{Error}[f_{\text{NL}}(\text{local})] \sim 42$
  - 12yr:  $\text{Error}[f_{\text{NL}}(\text{local})] \sim 38$

**An unambiguous ( $>4\sigma$ ) detection of  $f_{\text{NL}}(\text{local})$  at this level with the future (e.g., 8yr) WMAP data could be a truly remarkable discovery.**

# More On Future Prospects

- CMB: Planck (temperature + polarization):  
 $f_{NL}(\text{local}) < 6$  (95%)
  - Yadav, Komatsu & Wandelt (2007)
- Large-scale Structure: e.g., ADEPT, CIP:  
 $f_{NL}(\text{local}) < 7$  (95%);  $f_{NL}(\text{equilateral}) < 90$  (95%)
  - Sefusatti & Komatsu (2007)
- CMB and LSS are independent. By combining these two constraints, we get  $f_{NL}(\text{local}) < 4.5$ .  
This is currently the best constraint that we can possibly achieve in the foreseeable future (~10 years)



# A Comment on Jeong&Smoot

- Jeong&Smoot (arXiv:0710.2371) claim significant detections of  $f_{\text{NL}}$  from the WMAP 3-yr data,  $+23 < f_{\text{NL}}(\text{local}) < +75$  (95% CL)
- Their analysis is based on one-point distribution of temperature, which is mostly measuring skewness.
- However, we know that it is not possible to see  $f_{\text{NL}}$  at this level from just skewness of the WMAP data (as proved by Komatsu&Spergel 2001). So, what is going on?

# Here is the Reason...

- The biggest issue is that their simulations of CMB are not correct.
  - They completely ignored pixel-to-pixel correlation of the CMB signal.
  - In other words, they simulated “CMB” as a pure random, white noise (just like detector noise).
  - Their simulation therefore underestimated the uncertainty in their  $f_{\text{NL}}$  grossly; the 95% error should be more like 160 rather than 13, which is what they report.

If  $f_{NL}$  is large,  
what are the  
implications?

# Three Sources of Non-Gaussianity

- It is important to remember that  $f_{\text{NL}}$  receives **three contributions**:
  1. Non-linearity in inflaton fluctuations,  $\delta\phi$ 
    - Falk, Rangarajan & Srendnicki (1993)
    - Maldacena (2003)
  2. Non-linearity in  $\Phi$ - $\delta\phi$  relation
    - Salopek & Bond (1990; 1991)
    - Matarrese et al. (2nd order PT papers)
    - $\delta N$  papers; gradient-expansion papers
  3. Non-linearity in  $\Delta T/T$ - $\Phi$  relation
    - Pyne & Carroll (1996)
    - Mollerach & Matarrese (1997)

Gaussian quantum fluctuation

$$\delta\phi \sim g_{\delta\phi}(\eta + m_{\text{pl}}^{-1}f_{\eta}\eta^2)$$

Non-Gaussian inflaton fluctuation

$$\Phi \sim m_{\text{pl}}^{-1}g_{\Phi}(\delta\phi + m_{\text{pl}}^{-1}f_{\delta\phi}\delta\phi^2)$$

Non-Gaussian curvature perturbation

$$\Delta T/T \sim g_T(\Phi + f_{\Phi}\Phi^2)$$

Non-Gaussian CMB anisotropy

$$\Delta T/T \sim g_T[\Phi_L + (f_{\Phi} + g_{\Phi}^{-1}f_{\delta\phi} + g_{\Phi}^{-1}g_{\delta\phi}^{-1}f_{\eta})\Phi_L^2]$$

- $g_{\delta\phi} = 1$
- $f_{\eta} \sim O(\epsilon^{1/2})$   
in slow-roll
- $g_{\Phi} \sim O(1/\epsilon^{1/2})$
- $f_{\delta\phi} \sim O(\epsilon^{1/2})$   
in slow-roll
- $g_T = -1/3$
- $f_{\Phi} \sim O(1)$   
for Sachs-Wolfe

$$f_{\text{NL}} \sim f_{\Phi} + g_{\Phi}^{-1}f_{\delta\phi} + g_{\Phi}^{-1}g_{\delta\phi}^{-1}f_{\eta} \sim O(1) + O(\epsilon) \text{ in slow-roll}$$

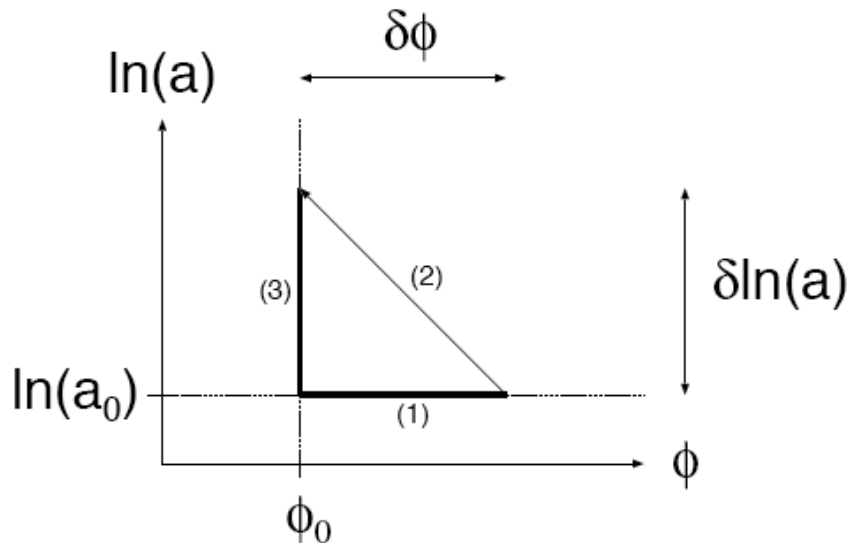
# 1. Generating Non-Gaussian $\delta\phi$

- You need cubic interaction terms (or higher order) of fields.
  - $V(\phi) \sim \phi^3$ : Falk, Rangarajan & Srendnicki (1993) [gravity not included yet]
  - Full expansion of the action, including gravity action, to cubic order was done a decade later by Maldacena (2003)

$$\begin{array}{l}
 \phi = \phi(t) + \varphi(t, x) \\
 \partial^2 \chi = \frac{\dot{\phi}^2}{2\dot{\rho}^2} \frac{d}{dt} \left( -\frac{\dot{\rho}}{\dot{\phi}} \varphi \right) \\
 h_{ij} = e^{2\rho} \hat{h}_{ij}
 \end{array}
 \left|
 \begin{array}{l}
 S_3 = \int e^{3\rho} \left( -\frac{\dot{\phi}}{4\dot{\rho}} \varphi \dot{\varphi}^2 - e^{-2\rho} \frac{\dot{\phi}}{4\dot{\rho}} \varphi (\partial\varphi)^2 - \dot{\varphi} \partial_i \chi \partial_i \varphi + \right. \\
 \left. + \frac{3\dot{\phi}^3}{8\dot{\rho}} \varphi^3 - \frac{\dot{\phi}^5}{16\dot{\rho}^3} \varphi^3 - \frac{\dot{\phi} V'''}{4\dot{\rho}} \varphi^3 - \frac{V''''}{6} \varphi^3 + \frac{\dot{\phi}^3}{4\dot{\rho}^2} \varphi^2 \dot{\varphi} + \frac{\dot{\phi}^2}{4\dot{\rho}} \varphi^2 \partial^2 \chi \right. \\
 \left. + \frac{\dot{\phi}}{4\dot{\rho}} (-\varphi \partial_i \partial_j \chi \partial_i \partial_j \chi + \varphi \partial^2 \chi \partial^2 \chi) \right)
 \end{array}$$

## 2. Non-linear Mapping

- The observable is the curvature perturbation,  $R$ . How do we relate  $R$  to the scalar field perturbation  $\delta\phi$ ?
- Hypersurface transformation (Salopek & Bond 1990); a.k.a.  $\delta N$  formalism.



- (1) Scalar field perturbation
- (2) Evolve the scale factor,  $a$ , until  $\phi$  matches  $\phi_0$
- (3)  $R = \ln(a) - \ln(a_0)$



# Result of Non-linear Mapping

$$N = -\frac{4\pi G}{\partial H/\partial\phi} \quad [\text{N is the Lapse function.}]$$

$$\mathcal{R}_{\text{com}} = -\int_{\phi_0}^{\phi_0+\delta\phi_{\text{flat}}} d\phi \frac{N(\phi)H(\phi)}{\dot{\phi}} = 4\pi G \int_{\phi_0}^{\phi_0+\delta\phi_{\text{flat}}} d\phi \left[ \frac{\partial \ln H}{\partial \phi} \right]^{-1}$$

Expand R to the quadratic order in  $\delta\phi$ :

$$\mathcal{R}_{\text{com}} = \mathcal{R}_{\text{com}}^{\text{L}} + \mathcal{R}_{\text{com}}^{\text{NL}} \iff \begin{aligned} \mathcal{R}_{\text{com}}^{\text{L}} &\equiv 4\pi G \left( \frac{\partial \ln H}{\partial \phi} \right)^{-1} \delta\phi_{\text{flat}}, \\ \mathcal{R}_{\text{com}}^{\text{NL}} &\equiv -\frac{1}{8\pi G} \left( \frac{\partial^2 \ln H}{\partial \phi^2} \right) (\mathcal{R}_{\text{com}}^{\text{L}})^2. \end{aligned}$$

$$f_{\text{NL}} = -\frac{5}{24\pi G} \left( \frac{\partial^2 \ln H}{\partial \phi^2} \right) \approx -\frac{5}{48\pi G} \left( \frac{\partial^2 \ln V}{\partial \phi^2} \right). \quad [\text{For Gaussian } \delta\phi]$$

**For standard slow-roll inflation models, this is of order the slow-roll parameters,  $\mathcal{O}(0.01)$ .**

# Multi-field Generalization

$$\mathcal{R}_{\text{com}} = - \int_{\phi_0^A}^{\phi_0^A + \delta\phi_{\text{flat}}^A} d\phi_A \frac{N(\phi_A) H(\phi_A)}{\dot{\phi}_A}$$

$A=1, \dots, \#$  of fields in the system

Then, again by expanding  $R$  to the quadratic order in  $\delta\phi_A$ , one can find  $f_{\text{NL}}$  for the multi-field case.

Example: the curvaton scenario, in which the second derivative of the integrand with respect to  $\phi_2$ , the “curvaton field,” divided by the square of the first derivative is much larger than slow-roll param.

### 3. Curvature Perturbation to CMB

- The linear Sachs-Wolfe effect is given by  $dT/T = -(1/3)\Phi_H = +(1/3)\Phi_A$
- The non-linear SW effect is

$$\frac{\Delta T}{T} = \frac{1}{3}\Phi_A + \frac{1}{18}\Phi_A^2 - \nabla^{-4}\partial_i\partial^j(\partial^i\Phi_H\partial_j\Phi_H) - \frac{1}{3}\nabla^{-2}(\partial^i\Phi_H\partial_i\Phi_H)$$

where time-dependent terms (called the integrated SW effect) are not shown. (Bartolo et al. 2004)

- These terms generate  $f_{NL}$  of order unity.

# Implications of large $f_{NL}$

- $f_{NL}$  never exceeds 10 in the conventional picture of inflation in which
  - All fields are **slowly rolling**, and
  - All fields have the **canonical kinetic term**.
- Therefore, an unambiguous detection of  $f_{NL} > 10$  rules out most of the existing inflation models.
- Who would the “survivors” be?

# 3 Ways to Get Larger Non-Gaussianity from Early Universe

$$\mathbf{f}_{\text{NL}} \sim \mathbf{f}_{\Phi} + \mathbf{g}_{\Phi}^{-1} \mathbf{f}_{\delta\phi} + \mathbf{g}_{\Phi}^{-1} \mathbf{g}_{\delta\phi}^{-1} \mathbf{f}_{\eta}$$

## 1. Break slow-roll: $\mathbf{f}_{\delta\phi}, \mathbf{f}_{\eta} \gg 1$

- Features (steps, bumps...) in  $V(\phi)$ 
  - Kofman, Blumenthal, Hodges & Primack (1991); Wang & Kamionkowski (2000); Komatsu et al. (2003); Chen, Easther & Lim (2007)
- Ekpyrotic model, old and new
  - Buchbinder, Khoury & Ovrut (2007); Koyama, Mizuno, Vernizzi & Wands (2007)

# 3 Ways to Get Larger Non-Gaussianity from Early Universe

$$\mathbf{f}_{\text{NL}} \sim \mathbf{f}_{\Phi} + \mathbf{g}_{\Phi}^{-1} \mathbf{f}_{\delta\phi} + \mathbf{g}_{\Phi}^{-1} \mathbf{g}_{\delta\phi}^{-1} \mathbf{f}_{\eta}$$

## 2. Amplify field interactions: $\mathbf{f}_{\eta} \gg 1$

- Often done by **non-canonical kinetic terms**
- Ghost inflation  $S = \int d^4x \frac{1}{2} \dot{\pi}^2 - \frac{\alpha^2}{2M^2} (\nabla^2 \pi)^2 - \frac{\beta}{2M^2} \dot{\pi} (\nabla \pi)^2 + \dots$ 
  - Arkani-Hamed, Creminelli, Mukohyama & Zaldarriaga (2004)
- DBI Inflation  $\mathcal{L}_{\text{eff}} = -\frac{1}{g_s} \sqrt{-g} \left( f(\phi)^{-1} \sqrt{1 + f(\phi) g^{\mu\nu} \partial_{\mu} \phi \partial_{\nu} \phi} + V(\phi) \right)$ 
  - Alishahiha, Silverstein & Tong (2004)
- Any other models with a low effective sound speed of scalar field because  $\mathbf{f}_{\eta} \sim 1/(c_s)^2$ 
  - Chen, Huang, Kachru & Shiu (2004); Cheung, Creminelli, Fitzpatrick, Kaplan & Senatore (2007)

# 3 Ways to Get Larger Non-Gaussianity from Early Universe

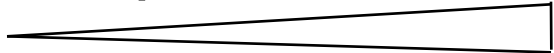
$$\mathbf{f}_{\text{NL}} \sim \mathbf{f}_{\Phi} + \mathbf{g}_{\Phi}^{-1} \mathbf{f}_{\delta\phi} + \mathbf{g}_{\Phi}^{-1} \mathbf{g}_{\delta\phi}^{-1} \mathbf{f}_{\eta}$$

## 3. Suppress the perturbation conversion factor, $\mathbf{g}_{\Phi}, \mathbf{g}_{\delta\phi} \ll 1$

- Generate curvature perturbations from isocurvature (entropy) fluctuations with an efficiency given by  $\mathbf{g}$ .
  - Linde & Mukhanov (1997); Lyth & Wands (2002)
- Curvaton predicts  $\mathbf{g}_{\Phi} \sim \Omega_{\text{curvaton}}$  which can be arbitrarily small
  - Lyth, Ungarelli & Wands (2002)



# Subtlety: Triangle Dependence

- Remember that there are two  $f_{NL}$ 
  - “Local,” which has the largest amplitude in the squeezed configuration 
  - “Equilateral,” which has the largest amplitude in the equilateral configuration

Eq.



Local

- So the question is, “which model gives  $f_{NL}(\text{local})$ , and which  $f_{NL}(\text{equilateral})$ ?”

# Classifying Non-Gaussianities in the Literature

- Local Form
  - Ekpyrotic models
  - Curvaton models
- Equilateral Form
  - Ghost condensation, DBI, low speed of sound models
- Other Forms
  - Features in potential, which produce large non-Gaussianity within narrow region in  $l$

# Classifying Non-Gaussianities in the Literature

- Local Form
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- Other Forms

- Features in potential, which produce large non-Gaussianity within narrow region in  $l$

• Is any of these a winner?  
• Non-Gaussianity may tell us soon. We will find out!

# Summary

- Since the introduction of  $f_{\text{NL}}$ , the research on non-Gaussianity as a probe of the physics of early universe has evolved tremendously.
- I hope I convinced you that  $f_{\text{NL}}$  is as important a tool as  $\Omega_{\text{K}}$ ,  $n_{\text{s}}$ ,  $dn_{\text{s}}/d\ln k$ , and  $r$ , for constraining inflation models.
- In fact, it has the best chance of ruling out the largest population of models...

# Concluding Remarks

- Stay tuned: WMAP continues to observe, and Planck will soon be launched.
- Non-Gaussianity has provided cosmologists and string theorists with a unique opportunity to work together.
- For me, this is one of the most important contributions that  $f_{NL}$  has made to the community.